



HIGH-SPEED RAIL: **CONNECTING AND TRANSFORMING CALIFORNIA**

Caltrain / California High Speed Rail Joint Scheduling Working Group

**Blended Service Operations:
San Francisco to San Jose**

2016 Year End Report

December 2016

Collaboration

Diversity

Excellence

Innovation

Safety

Sustainability





Contents

| | |
|-------------------|---|
| Background | 3 |
| Methodology..... | 4 |
| Results..... | 7 |
| Conclusions | 8 |

Figures

| | |
|--|---|
| Figure 1 - Analysis methodology..... | 4 |
| Figure 2 - Results summary..... | 7 |
| Figure 3 - CBOSS headway results summary | 7 |

This report describes the work undertaken at the direction of the Joint Scheduling Working Group (JSWG) established between the California High Speed Rail Authority (Authority) and Caltrain.

The aim of the JSWG was to identify the success criteria for both parties and to determine viable service specifications that satisfied these criteria for blended service operation.



Background

Previous analysis to establish the “proof of concept” had been undertaken by LTK Engineering Services on behalf of Caltrain. The results of this analysis is shown in two reports: *Caltrain/California HSR Blended Operation Analysis*, dated March 2012 and; *Caltrain / HSR Blended Service Plan Operations Considerations Analysis* (Requested by Stakeholders), dated June 2013.

During 2015, the Authority engaged the services of SMA Rail Consulting to assess the options for future blended operation of services. This work was only for internal consideration and was undertaken independently of Caltrain.

To build on both of these pieces of work Caltrain and the Authority agreed to establish the JSWG in April 2016. The JSWG comprised senior representatives from both organizations supported by their operational planning consultants, LTK Engineering Services (LTK) for Caltrain and, for the Authority, their Rail Delivery Partners and SMA Rail Consulting.



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Methodology

The methodology was developed and agreed upon by the JSWG and is summarized in the diagram below:

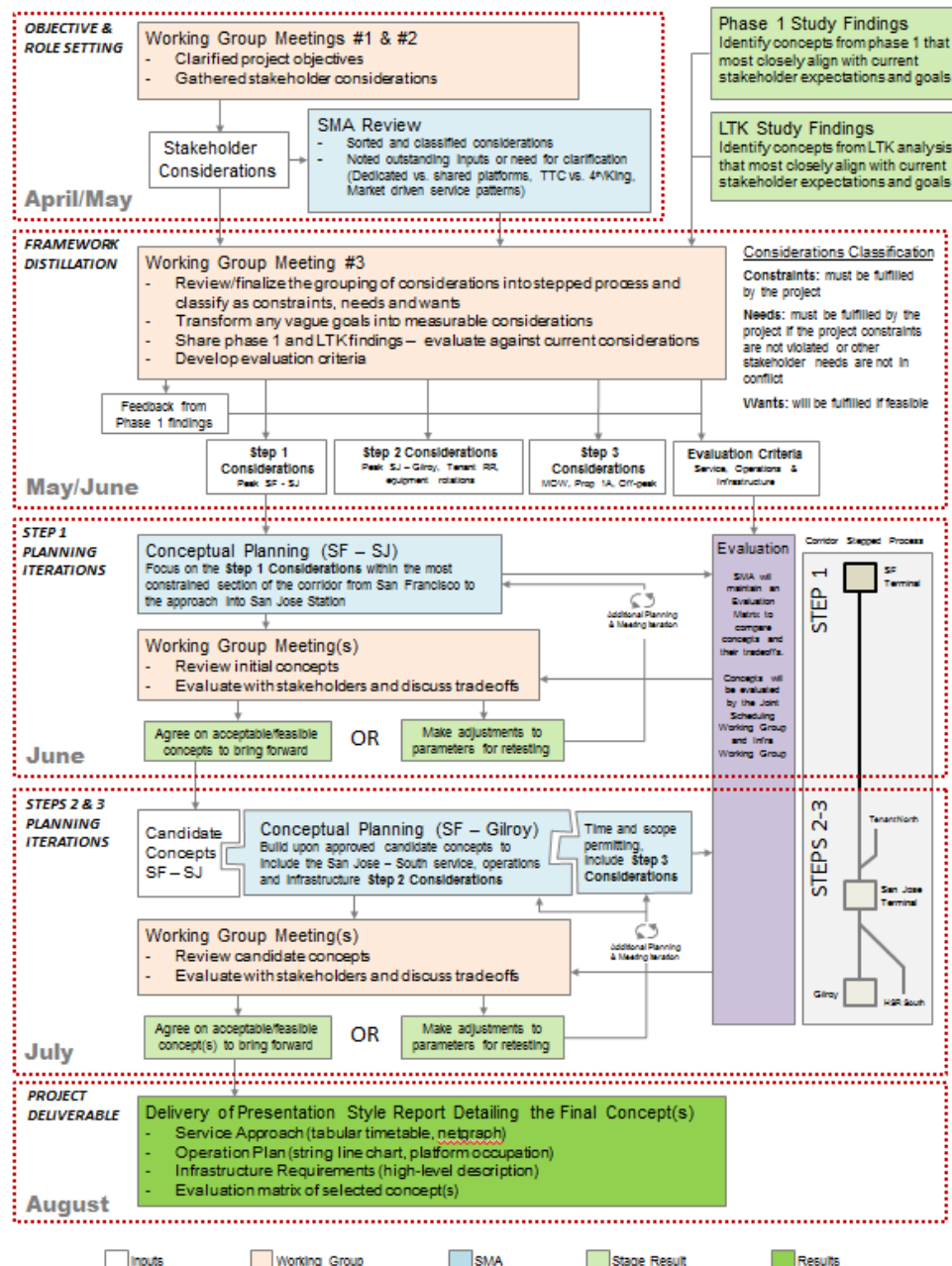


Figure 1 - Analysis methodology



The work undertaken during 2016 has focused on the Objective and Role Setting, Framework Distillation, and Step 1 Planning Iterations. The complexity of these stages and the importance of reaching a clear way forward before proceeding has meant that Steps 2 and 3 remain to be delivered. JSWG agreement will be sought before moving to these steps.

The first main element of the methodology was to establish the Considerations Table to capture the requirements and success measures for Caltrain and for the Authority. Key elements of the table are service plans, service intervals, stopping patterns, journey time expectations, and operational parameters (e.g. dwell times, turn times).

Alongside this work the JSWG identified the infrastructure options to be tested. These were informed by outputs from the Joint Infrastructure Working Group and from the previous work undertaken by LTK. Except for the Baseline case, all options studied assumed the following:

- Peninsula Corridor Electrification Program completed
- Caltrain operating new electrified trains with 6 trains per hour (tph) in peak hours in each direction
- Generic electric high-speed trainset with 4tph in peak hours in each direction
- Standard stopping patterns defined by Caltrain or the Authority
- A planning representation of the Communication Based Overlay Signaling System (CBOSS)
- Alignment improvements to allow for the 110mph passenger speed profile¹

¹ Appendix 1 shows the passenger speed profiles



A Baseline case was established to enable comparison between the operation of Caltrain services with and without CHSR services. This case used the above assumptions with the exceptions that:

- No high-speed trains would be operating
- Today's 79mph passenger speed profile would apply

Having reviewed the previous work by LTK, the JSWG agreed the North and South passing track cases did not warrant further consideration. The group agreed to carry forward analyses of the Short Middle 4-Track (SM4T), Long Middle 4-Track (LM4T), and the Long Middle 3-Track (LM3T). A further case of No Additional Passing Tracks (NAPT) was also assessed.²

A further piece of work was also remitted by JSWG that required an assessment to be made of the capacity and capability of the upgraded signaling system that Caltrain is installing to meet federally mandated positive train control (PTC) protection requirements. In the case for Caltrain, the system is called CBOSS. This work was discharged by a subgroup of the JSWG supported by both LTK and SMA Rail Consulting representatives.³

² Appendix 2 shows the analysis details

³ Appendix 3 shows the CBOSS assessment methodology



Results

The results for each scenario are summarized in the table below⁴:

| Case | Average total journey time | | Average supplemental time | |
|-----------------|----------------------------|----------|---------------------------|---------|
| | Caltrain | CHSR | Caltrain | CHSR |
| Target | 55 min - 65 min | 45 min | 0 min | 0 min |
| Baseline | 62.2 min | n/a | 0 min | n/a |
| NAPT | 62.5 min | 47.1 min | 4.8 min | 4.5 min |
| SM4T | 65.0 min | 44.7 min | 7.4 min | 2.1 min |
| LM4T | 60.9 min | 44.2 min | 3.3 min | 1.6 min |
| LM3T | 58.6 min | 42.7 min | 0.9 min | 0 min |

Figure 2 - Results summary

A methodology was determined to assess the capacity and capability of CBOSS. This methodology was applied in detail to the Baseline case and the No Additional Passing Tracks options in order to validate the assumed planning representation of CBOSS using a generic 3-min corridor headway, with 2-min headway at junctions.

| Case | Average total journey time | | | | | |
|-----------------|----------------------------|---------------|---------|---------------|---------------|---------|
| | Caltrain | | | CHSR | | |
| | 3-min headway | CBOSS headway | Diff | 3-min headway | CBOSS headway | Diff |
| Baseline | 62.2 min | 63.0 min | 0.8 min | n/a | n/a | n/a |
| NAPT | 62.5 min | 63.5 min | 1.0 min | 47.1 min | 48.0 min | 0.9 min |

Figure 3 - CBOSS headway results summary

⁴ Appendix 4 contains the detailed Consideration Table results



Conclusions

- The No Additional Passing Tracks option holds Caltrain neutral against the Baseline scenario
- The No Additional Passing Tracks option exceeds the CHSR desirable target⁵ journey time
- The Short Middle 4-Track option represents a worsenment for Caltrain⁶ over the Baseline case, and is at the upper end of the desirable target journey time range
- The Long Middle 4-Track option and Long Middle 3-Track option deliver improvements in average journey time for Caltrain, and are within the desired target range
- The Short Middle 4-Track, Long Middle 4-Track and Long Middle 3-track options all provide average journey times lower than CHSR's desired target.

⁵ As captured in the Considerations Table

⁶ This results from the forced use of these passing tracks for Caltrain services to enable high-speed trains to pass



Appendix 1

Passenger speed profiles

Collaboration

Diversity

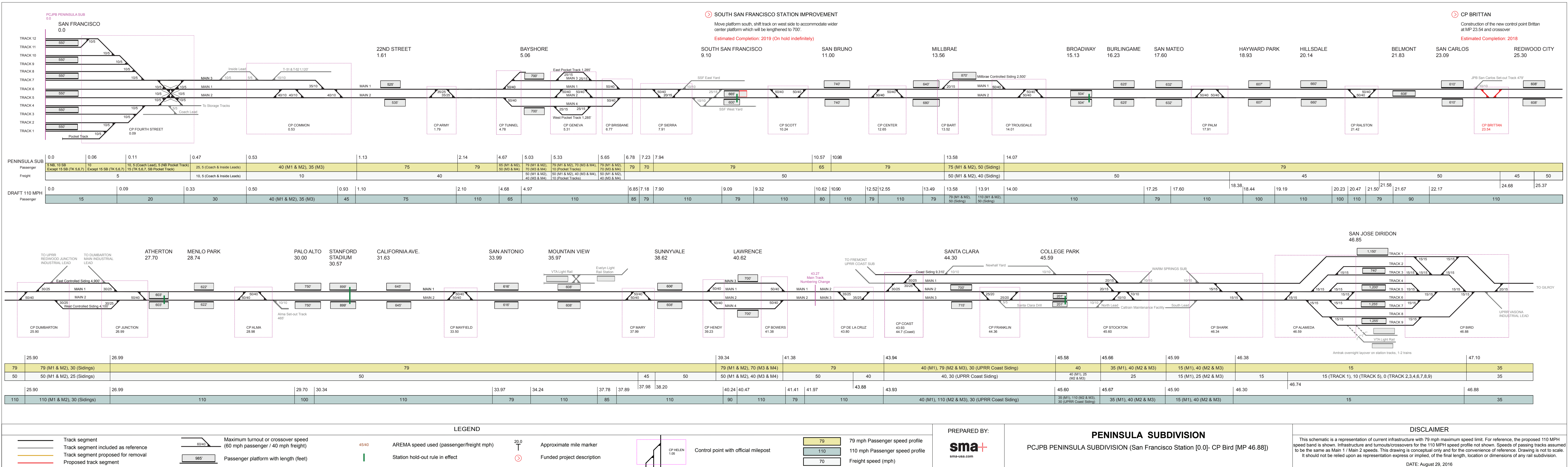
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Appendix 2

Analysis Details

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Not for distribution

Joint Schedule Working Group

Analysis and Outputs - 2016

optimizing railways SMA Rail Consulting + IT, Corp.

2677 N. Main St., Suite 825
Santa Ana, CA 92705
United States of America



Methodology and approach

Discussed: Working Group Meetings #3 - June 3, 2016

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Project Framework

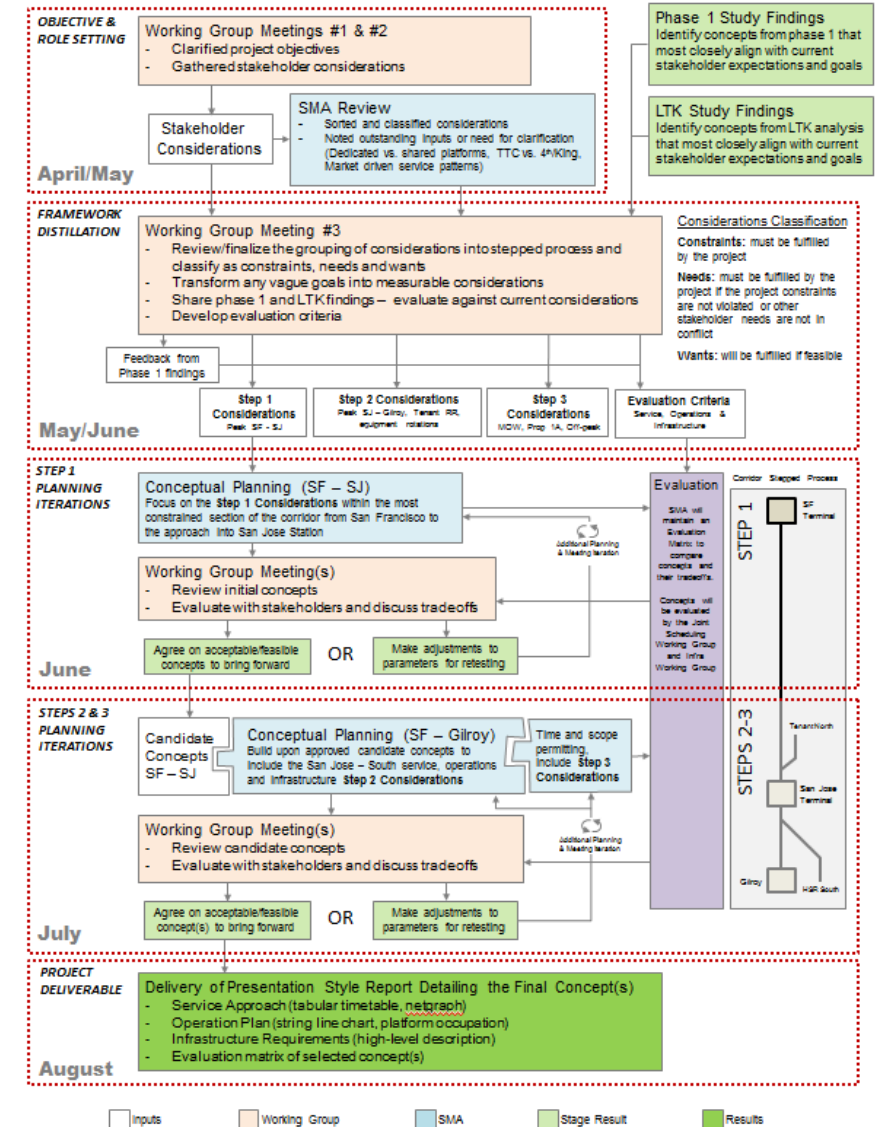
Understand and agree upon stakeholder considerations *(request clarity on vague/conflicting goals)*

Classify and sort considerations

Develop evaluation criteria

First tackle the most constrained part of the system

Build on complexity once fundamental system constraints are satisfied



Classify Considerations

Constraints: Stakeholder expectations that must be fulfilled by the project.

Needs: Stakeholder expectations that must be fulfilled by the project, if the project constraints are not violated or other stakeholder needs are not in conflict.

Wants: Stakeholder expectations that will be considered once constraints and needs are met and if they are feasible.

Sequence of Considerations

Step 1: Tackle the most constrained part of the system from San Francisco terminal to the approach into San Jose

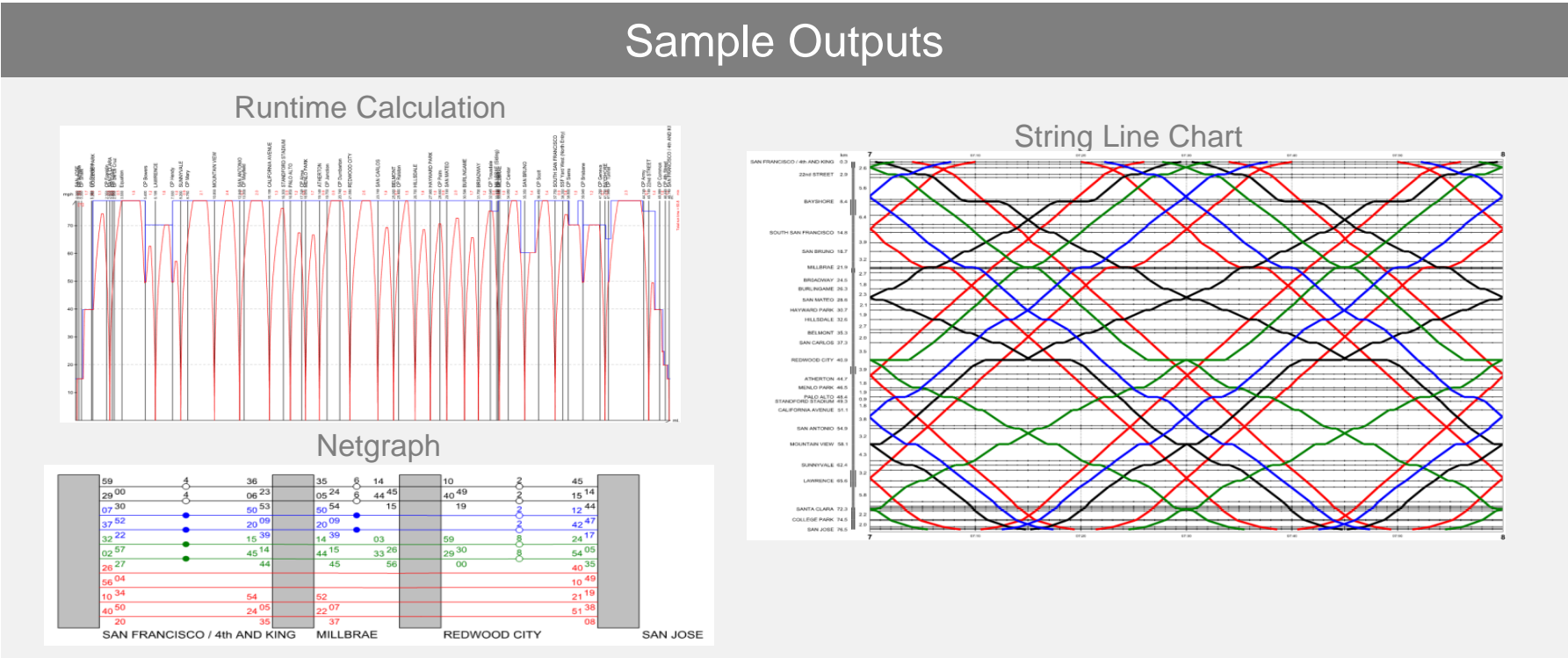
Step 2: Build upon concept(s) that satisfy fundamental corridor constraints to include additional complexity of peak operations at San Jose and to the south

Step 3: Increase the robustness of the concept(s) by taking into consideration broader considerations that are an integral part of successful system operations, but not necessary to implementation of peak service

Concept Evaluations

Achievable service concepts are included in the study’s evaluation matrix. Key visual outputs are string charts, netgraphs and a chart of detailed service characteristics.

Sample Outputs



Concept Evaluation Matrix

Considerations are broken into two categories for evaluation purposes:

1. Constraints
2. Needs/Wants

Constraints: Either fulfilled ✓ or not ✗

Example:

04-C, Caltrain – Serve all stations, including weekend stations, during the peak

Needs/Wants: Ranges of goal achievement categorized good OK or poor based on stakeholder-defined thresholds

Example:

22-N, Caltrain – Avoid dwelling at any intermediate station for longer than the minimum dwell

Concept Evaluation Matrix - Example

Need/Want Example:

22-N, Caltrain – Avoid dwelling at any intermediate station for longer than the minimum dwell

Data provided: wherever there is supplemental dwell at a station in the concept, the evaluation will list the train number, station and length of supplemental dwell

Evaluation: stakeholders will develop evaluation criteria based on decided-upon thresholds of acceptability. Some samples are below, for demonstrative purposes only:

| Evaluation Basis | Sample Criteria | Application |
|--|---|---|
| additional dwell for each station stop occurrence | Acceptable levels of supplemental dwell at each station: 2' or less "good", 2'-7' "OK" and 7'+ "poor" | Any concept that has a train with more than 7' supplemental dwell at any station would be classified as "poor" |
| accumulative additional dwell for one entire train run | Acceptable levels of supplemental dwell for one train run: +0 "good", <10' "OK" and 10'+ "poor" | Any concept in which every train run has less than 10' accumulative supplemental dwell would be classified as "OK" |
| Combination of the above | Acceptable levels of supplemental dwell for one train run: +0 "good", <10' "OK" and 10'+ "poor", AND Acceptable levels of supplemental dwell at each station: 8' or less "good/OK" and 8'+ "poor" | Any concept in which a single train dwells more than 8' at a single station would be classified as "poor" even if the accumulative additional dwell for each train run is less than 10' |

Choosing Concepts for Preliminary Evaluation

A multitude of blended service concepts have been tested to date commissioned by Caltrain and CHSRA. For the purpose of discussion, we chose to evaluate those concepts which most closely align with the considerations voiced in the April meetings concerning the 2029 anticipated infrastructure, operations parameters and 10 TPH service goal.

Caltrain Commissioned Work

| Speed Limit | | | Service Type | Infrastructure | Infra notes |
|-------------|--------------|------------------------|---------------------------------|-------------------------|--|
| Study Year | Caltrain/HSR | TPH | | | |
| 2012 | 79/79 | 6 Caltrain / 0 HSR TPH | Skip-stop prototype | Baseline Infrastructure | *see note below |
| 2012 | 79/79 | 6 Caltrain / 1 HSR TPH | Skip-stop prototype | Baseline Infrastructure | *see note below |
| 2012 | 79/79 | 6 Caltrain / 2 HSR TPH | Skip-stop prototype | Baseline Infrastructure | *see note below |
| 2012 | 79/79 | 6 Caltrain / 3 HSR TPH | Skip-stop prototype | Baseline Infrastructure | *see note below |
| 2012 | 79/79 | 6 Caltrain / 3 HSR TPH | Skip-stop prototype | Full Midline 4-Track | Baseline + 8.9-mile, 4-track Hayward Park-Redwood City |
| 2012 | 79/79 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | Full Midline 4-Track | Baseline + 8.9-mile, 4-track Hayward Park-Redwood City |
| 2012 | 79/79 | 6 Caltrain / 3 HSR TPH | Skip-stop prototype | Short Midline 4-Track | Baseline + 5.9-mile, 4-track Hayward Park - San Carlos |
| 2012 | 79/79 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | Short Midline 4-Track | Baseline + 5.9-mile, 4-track Hayward Park - San Carlos |
| 2012 | 79/110 | 6 Caltrain / 3 HSR TPH | Skip-stop prototype | Full Midline 4-Track | Baseline + 8.9-mile, 4-track Hayward Park-Redwood City |
| 2012 | 79/110 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | Full Midline 4-Track | Baseline + 8.9-mile, 4-track Hayward Park-Redwood City |
| 2012 | 79/110 | 6 Caltrain / 3 HSR TPH | Skip-stop prototype | Short Midline 4-Track | Baseline + 5.9-mile, 4-track Hayward Park - San Carlos |
| 2012 | 79/110 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | Short Midline 4-Track | Baseline + 5.9-mile, 4-track Hayward Park - San Carlos |
| 2012 | 110/110 | 6 Caltrain / 0 HSR TPH | Skip-stop prototype | Baseline Infrastructure | *see note below |
| 2012 | 110/110 | 6 Caltrain / 2 HSR TPH | Skip-stop prototype | Baseline Infrastructure | *see note below |
| 2012 | 110/110 | 6 Caltrain / 3 HSR TPH | Skip-stop prototype | Baseline Infrastructure | *see note below |
| 2012 | 110/110 | 6 Caltrain / 3 HSR TPH | Skip-stop prototype | Full Midline 4-Track | Baseline + 8.9-mile, 4-track Hayward Park-Redwood City |
| 2012 | 110/110 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | Full Midline 4-Track | Baseline + 8.9-mile, 4-track Hayward Park-Redwood City |
| 2012 | 110/110 | 6 Caltrain / 3 HSR TPH | Skip-stop prototype | Short Midline 4-Track | Baseline + 5.9-mile, 4-track Hayward Park - San Carlos |
| 2012 | 110/110 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | Short Midline 4-Track | Baseline + 5.9-mile, 4-track Hayward Park - San Carlos |
| 2013 | 79/79 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | Long-Middle 4-Track | Baseline + 9.1-mile, 4-track segment Hayward Park - Redwood City |
| 2013 | 79/79 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | Short-Middle 4-Track | Baseline + 6.1-mile, 4-track Hayward Park - San Carlos |
| 2013 | 79/79 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | Middle 3-Track | Baseline + 16-mile, 3-track Hayward-California Ave |
| 2013 | 79/79 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | North 4-track | Baseline + 10.2-mile 4-track Bayshore-Millbrae |
| 2013 | 79/79 | 6 Caltrain / 4 HSR TPH | Skip-stop prototype | South 4-track overtake | Baseline + 7.8-mile 4-track San Antonio-Lawrence |
| 2013 | 79/79 | 6 Caltrain / 4 HSR TPH | Redwood City HSR stop | Long-Middle 4-Track | Baseline + 9.1-mile, 4-track segment Hayward Park - Redwood City |
| 2013 | 79/79 | 6 Caltrain / 4 HSR TPH | TTC as Terminal Station 6 TPH | Long-Middle 4-Track | Baseline + 9.1-mile, 4-track segment Hayward Park - Redwood City |
| 2013 | 79/79 | 6 Caltrain / 4 HSR TPH | Dumbarton Rail | Long-Middle 4-Track | Baseline + 9.1-mile, 4-track segment Hayward Park - Redwood City |
| 2013 | 79/79 | 6 Caltrain / 4 HSR TPH | Modified schedule to include BB | Long-Middle 4-Track | Baseline + 9.1-mile, 4-track segment Hayward Park - Redwood City |

*Baseline Infra: Existing infrastructure, 4 track section CP Sylvan to CP Trousdale, San Bruno grade separation, south terminal project add two platforms at SJ, Santa Clara station project remove hold out rule at station, HSR separated alignment south of CP De La Cruz

CHSRA Commissioned Work

| Speed Limit | | 110 mph | | | |
|---------------------------------|--|--|--------------------------|--------------------------|--------------------------|
| SF Terminal Station | | TTC and 4th/King | | | |
| Headway/Seperation | | 2-min near stations and major junctions, 3-min entire corridor | | | |
| Turnaround Time (SF) | | 20 mins for HSR, 15 mins for Caltrain | | | |
| Power Mix | | 100% Electric | | | |
| | | Current | Approach A | | Approach B |
| | | (323, 227, 231, 135) | Base Concept | 2' headway | Bundled |
| Variation Explanation | | | | | |
| Trip Times (min) | | | | | |
| HSR SJ - SF | | | | | |
| Trip Time fastest HSR | | 41.6 | 41.6 | 41.6 | 42.5 |
| Supplemental Time fastest HSR | | 0.0 | 0.0 | 0.0 | 0.0 |
| Trip Time + Stop | | 49.2 | 46.9 | 46.9 | 47.1 |
| Supplemental Time + Stop | | 4.4 | 2.1 | 2.1 | 2.3 |
| Average Speed fastest HSR (mph) | | 69 | 69 | 69 | 68 |
| Caltrain SJ - SF | | | | | |
| Trip Time Express | | 59.0 | 53.2 | 50.6 | 51.7 |
| Supplemental Time Express | | | 2.9 | 1.4 | 3.2 |
| # of Stops | | 4 | 5 | 5 | 8 |
| Trip Time Express | | | | | 72.1 |
| # of Stops | | | | | 9 |
| Trip Time North Zone Express | | 85.0 | 75.2 | 73.1 | 88.3 |
| # of Stops | | 13 | 15 | 15 | |
| Trip Time North Zone Express | | | | | |
| # of Stops | | | | | |
| Trip Time South Zone Express | | 87.0 | 68.4 | 66.1 | 69.4 |
| # of Stops | | 16 | 14 | 14 | |
| Trip Time South Zone Express | | | | | |
| # of Stops | | | | | |
| Trip Time Local | | 93.0 | | | 94.2 |
| # of Stops | | 20 | | | 23 |
| Trip Time fastest SJ - SF | | 59.0 | 53 | 51 | 52 |
| Fastest Palo Alto - SJ | | 39.0 | 35 | 33 | 37 |
| Frequency/Interval at SF (min) | | | | | |
| HSR | | | | | |
| Nonstop | | 30 | 30 | 30 | 30 |
| +Stop | | 30 | 30 | 30 | 30 |
| Interval HSR at ar. SJ | | 10 20 | 12 18 | 08 22 | 14 16 |
| Interval HSR at ar. SF | | 12 18 | 12 18 | 09 27 | 12 18 |
| Caltrain | | | | | |
| Express | | 30 | 30 | 30 | 30 + 30 |
| North Zone Express | | 30 | 30 | 30 | |
| South Zone Express | | 30 | 30 | 30 | |
| Local | | | | | 30 |
| Planned Connections | | | | | |
| Location | | | | LCL - EXP in Palo Alto | LCL - EXP in Hillsdale |
| Remarks | | | | | |
| HSR Shopping Pattern | | No HSR stop at Palo Alto | No HSR stop at Palo Alto | No HSR stop at Palo Alto | No HSR stop at Palo Alto |

Conceptual Planning Evaluation Matrix

CHSRA Commissioned Work

Evaluation based on preliminary criteria

| # | Stakeholder | Consideration | Type | Classification | Planning Hierarchy | CHSRA Commissioned Work | | | | | | |
|------|--------------|--|----------------|----------------|--------------------|--|--------------------------|-----------------------------|---------------------------|----------------------------|---------------------------|----------------------------|
| | | | | | | Approach A Base Concept | Approach A 2' headway | Approach A 20' HSR turns | Approach A HSR Bundled | Approach B Base Concept | Approach B HSR Bundled | Approach C Base Concept |
| 01-C | HSR | 4 TPH between San Jose and San Francisco | Service | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 02-C | HSR | stop 2 TPH at Millbrae | Service | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 03-C | Caltrain | run 6 TPH between San Jose and San Francisco | Service | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 04-C | Caltrain | serve all stations, including weekend stations, during the peak | Service | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 05-C | Caltrain | ensure that CBOSS signal system delivers the performance required to operate Caltrain and HSR service/schedule developed as part of this project | Operation | Constraint | Step 1 | Assumed signal headway 3 min (section), 2 min (station/junction) | | | | | | |
| 06-C | HSR | use dedicated platforms at all stations that are served by HSR trains | Operation | Constraint | Step 1 | ✗ | ✗ | ✗ | ✗ | ✗ | ✗ | ✗ |
| 07-C | HSR | have at least 20 minutes to turn a train at a terminal station | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 08-C | HSR | dwell at least for 2 minutes at intermediate station stops (such as Millbrae, San Jose) | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 09-C | HSR | use 400m "AGV" trains with the performance characteristics as provided by PB | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 10-C | Caltrain | have at least 20 minutes to turn a train at a terminal station | Operation | Constraint | Step 1 | ✓ | ✓ | ✗ | ✗ | ✗ | ✗ | ✗ |
| 11-C | Caltrain | dwell at least at each station for the times specified in the Caltrain EMU RFP | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 12-C | Caltrain | use 8-car EMU's for all their 2029 service between San Francisco and San Jose with the performance defined in Caltrain's EMU RFP | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 13-C | Caltrain/HSR | limit speed increase to 110-MPH speed profile provided by HSR | Infrastructure | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 14-C | Caltrain/HSR | limit the analysis of new passing tracks to consider only a) Hayward Park to Hillsdale, b) Millbrae 4-track station | Infrastructure | Constraint | Step 1 | ✗ | ✗ | ✗ | ✗ | ✗ | ✗ | ✓ |
| 15-C | Caltrain/HSR | use existing infrastructure together with Caltrain identified capital program improvements as a baseline | Infrastructure | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 16-N | Caltrain | provide at least XX trains per hour to all stations during the peak | Service | Need | Step 1 | OK | OK | OK | OK | OK | OK | OK |
| 17-N | Caltrain | operate a clock-face/regular interval service | Service | Need | Step 1 | good | good | good | good | good | good | good |
| 18-N | Caltrain | achieve an end-to-end run-time for express trains of aprox. 60 minutes | Service | Need | Step 1 | good | good | good | good | poor | OK | good |
| 19-N | Caltrain | achieve an end-to-end run-time for local trains of aprox. 70 minutes | Service | Need | Step 1 | OK | good | OK | poor | poor | poor | OK |
| 20-N | Caltrain | avoid bunched train service | Service | Need | Step 1 | Requires clarification | | | | | | |
| 21-N | Caltrain | uniform trip times for all services between San Jose and San Francisco | Service | Need | Step 1 | OK | OK | OK | poor | poor | poor | OK |
| 22-N | Caltrain | avoid dwelling at any intermediate station for longer than the minium dwell | Service | Need | Step 1 | OK | OK | OK | OK | OK | poor | OK |
| 23-N | Caltrain/HSR | primarily use the new TTC station and retain 4th/King as overflow capacity / backup | Infrastructure | Need | Step 1 | OK | OK | good | OK | OK | OK | good |
| 24-N | HSR | use tracks 1-4 at 4th/King when using 4th/King | Infrastructure | Need | Step 1 | Not yet analyzed, but not seen as an issue | | | | | | |

Why was this constraint consistently unfulfilled?

Assumed existing infra at Millbrae

Planned with 15 minute minimum turnaround for Caltrain

Planned with 3 track section Palo Alto - California Ave.

*Note: used LTK prototype schedule as basis

All-stop local included in Approach B

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Conceptual Planning Evaluation Matrix

Caltrain Commissioned Work

Evaluation based on preliminary criteria

| # | Stakeholder | Consideration | Type | Classification | Planning Hierarchy | Caltrain Commissioned Work | | | | |
|------|--------------|--|----------------|----------------|--------------------|--|--|--|---|---|
| | | | | | | Approach D Short-Middle 4 Track Overtake 110mph | Approach E Short-Middle 4 Track Overtake | Approach F Middle 3-Track Overtake | Approach G Long-Middle 4 Track Overtake TTC Terminal | Approach H Long-Middle 4 Track Overtake BB Service |
| 01-C | HSR | 4 TPH between San Jose and San Francisco | Service | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 02-C | HSR | stop 2 TPH at Millbrae | Service | Constraint | Step 1 | ✗ | ✗ | ✗ | ✗ | ✗ |
| 03-C | Caltrain | run 6 TPH between San Jose and San Francisco | Service | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 04-C | Caltrain | serve all stations, including weekend stations, during the peak | Service | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 05-C | Caltrain | ensure that CBOSS signal system delivers the performance required to operate Caltrain and HSR service/schedule developed as part of this project | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 06-C | HSR | use dedicated platforms at all stations that are served by HSR trains | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 07-C | HSR | have at least 20 minutes to turn a train at a terminal station | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 08-C | HSR | dwell at least for 2 minutes at intermediate station stops (such as Millbrae, San Jose) | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 09-C | HSR | use 400m "AGV" trains with the performance characteristics as provided by PB | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 10-C | Caltrain | have at least 20 minutes to turn a train at a terminal station | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✗ | ✓ |
| 11-C | Caltrain | dwell at least at each station for the times specified in the Caltrain EMU RFP | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 12-C | Caltrain | use 8-car EMU's for all their 2029 service between San Francisco and San Jose with the performance defined in Caltrain's EMU RFP | Operation | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 13-C | Caltrain/HSR | limit speed increase to 110-MPH speed profile provided by HSR | Infrastructure | Constraint | Step 1 | ✓ | ✓ | ✓ | ✓ | ✓ |
| 14-C | Caltrain/HSR | limit the analysis of new passing tracks to consider only a) Hayward Park to Hillsdale, b) Millbrae 4-track station | Infrastructure | Constraint | Step 1 | ✗ | ✗ | ✗ | ✗ | ✗ |
| 15-C | Caltrain/HSR | use existing infrastructure together with Caltrain identified capital program improvements as a baseline | Infrastructure | Constraint | Step 1 | ✗ | ✗ | ✗ | ✗ | ✗ |
| 16-N | Caltrain | provide at least XX trains per hour to all stations during the peak | Service | Need | Step 1 | good | good | good | good | good |
| 17-N | Caltrain | operate a clock-face/regular interval service | Service | Need | Step 1 | poor | poor | poor | poor | OK |
| 18-N | Caltrain | achieve an end-to-end run-time for express trains of aprox. 60 minutes | Service | Need | Step 1 | good | OK | OK | OK | good |
| 19-N | Caltrain | achieve an end-to-end run-time for local trains of aprox. 70 minutes | Service | Need | Step 1 | good | good | good | good | good |
| 20-N | Caltrain | avoid bunched train service | Service | Need | Step 1 | Requires clarification | | | | |
| 21-N | Caltrain | uniform trip times for all services between San Jose and San Francisco | Service | Need | Step 1 | good | good | good | good | good |
| 22-N | Caltrain | avoid dwelling at any intermediate station for longer than the minium dwell | Service | Need | Step 1 | good | good | good | good | good |
| 23-N | Caltrain/HSR | primarily use the new TTC station and retain 4th/King as overflow capacity / backup | Infrastructure | Need | Step 1 | poor | poor | poor | OK | poor |
| 24-N | HSR | use tracks 1-4 at 4th/King when using 4th/King | Infrastructure | Need | Step 1 | Not yet analyzed, but not seen as an issue | | | | |

Why was this constraint consistently unfulfilled?

Assumed 4 TPH at Millbrae

*Note: Estimated turns using LTK stringlines

*Note: most concepts used 79 MPH limit

Planned with passing track variations

Planned with separate HSR alignment from CP De la Cruz to San Jose

12 distinct service patterns repeat hourly during AM peak

Planned with 4th/King as SF terminal

Iteration 1 – Existing signaling system

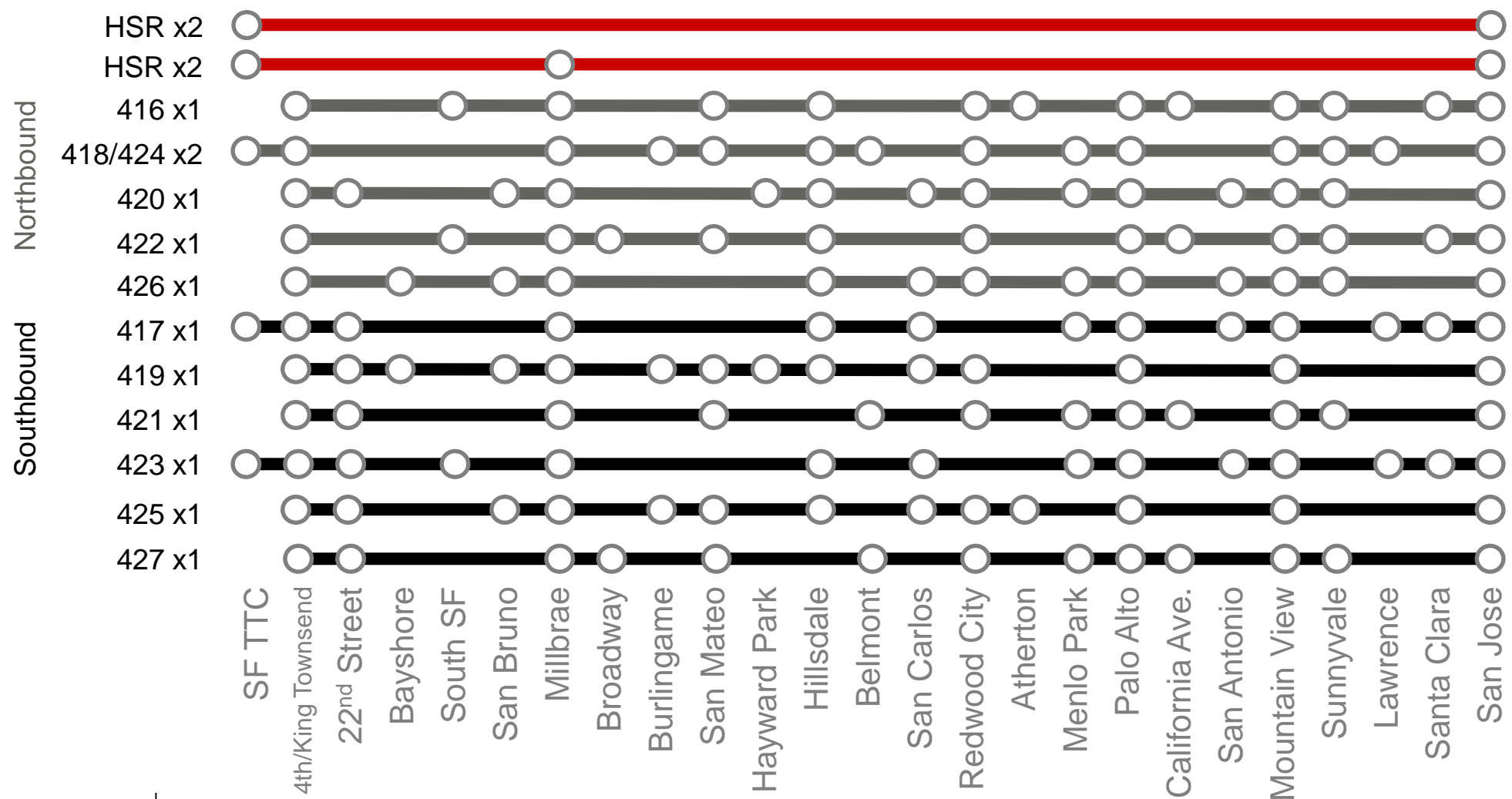
Discussed: Working Group Meeting #4 - July 1, 2016

Planning Assumptions – Iteration 1

| Parameter | Assumption |
|-------------------------|---|
| Headway/ Separation | 5 min corridor, 4 min diverging/merging at junctions |
| Minimum Turnaround Time | HSR: 20 min Caltrain: 20 min |
| Minimum Dwell Time | HSR: 2 min Caltrain: Between 29 and 48 sec depending on the station Based on LTK Blended Operations Analysis |
| Rolling Stock | HSR: Generic High Speed Trainset Caltrain: Adapted to EMU RFP train performance and 8 coach train length |
| Infrastructure | 4-track Improvements assumed: Short-Middle 4-Track: CP Palm (MP 17.91 to just after CP Brittan (24.0) Millbrae Station: CP Center (MP 12.65) to CP Trousdale (MP 14.01) Terminal Configurations at San Jose, 4 th /King |
| Speed Limit | 110 mph |
| Terminal Station SF | HSR: TTC Caltrain: 4 th & King and TTC |

Caltrain Prototypical Skip Stop Pattern

2013 LTK Caltrain-HSR Blended Service Plan Ops Con Report, Table 3



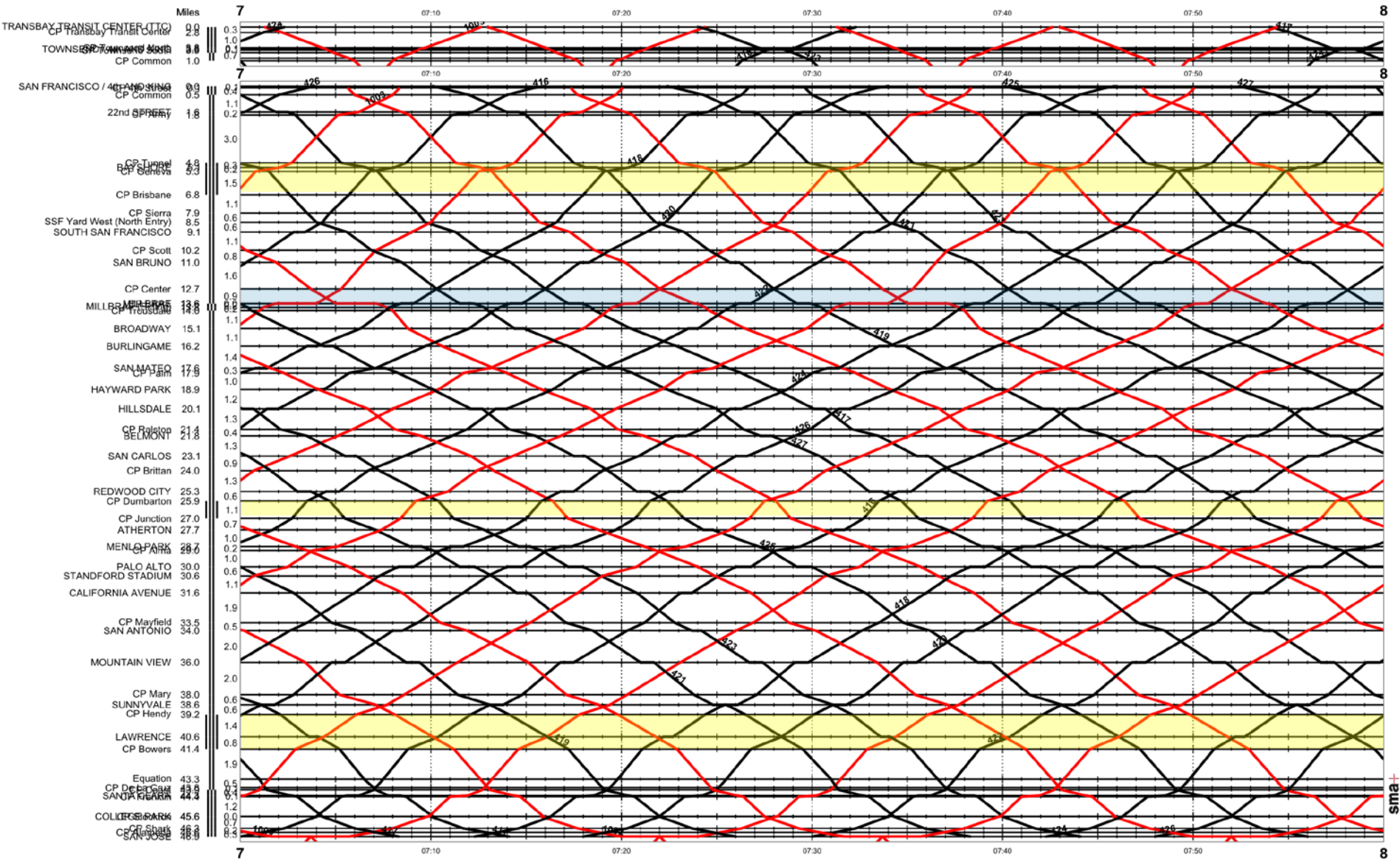
DRAFT
Not for distribution

Skip Stop Concept 1.0 – String Line Chart

- LEGEND
- HSR
 - Caltrain
 - New 4-track
 - Current 4-track

Concept 1.1 Attributes

Caltrain Service: skip-stop
HSR Service: interval
Headways: 5', 4' merging
New 4-track: Millbrae 4-track



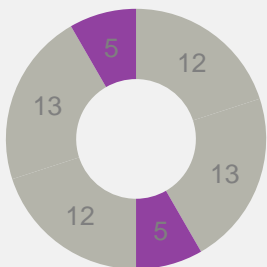
Skip Stop Concept 1.0 – Service Evaluation

SERVICE INTERVAL

Southbound Service Interval at Palo Alto



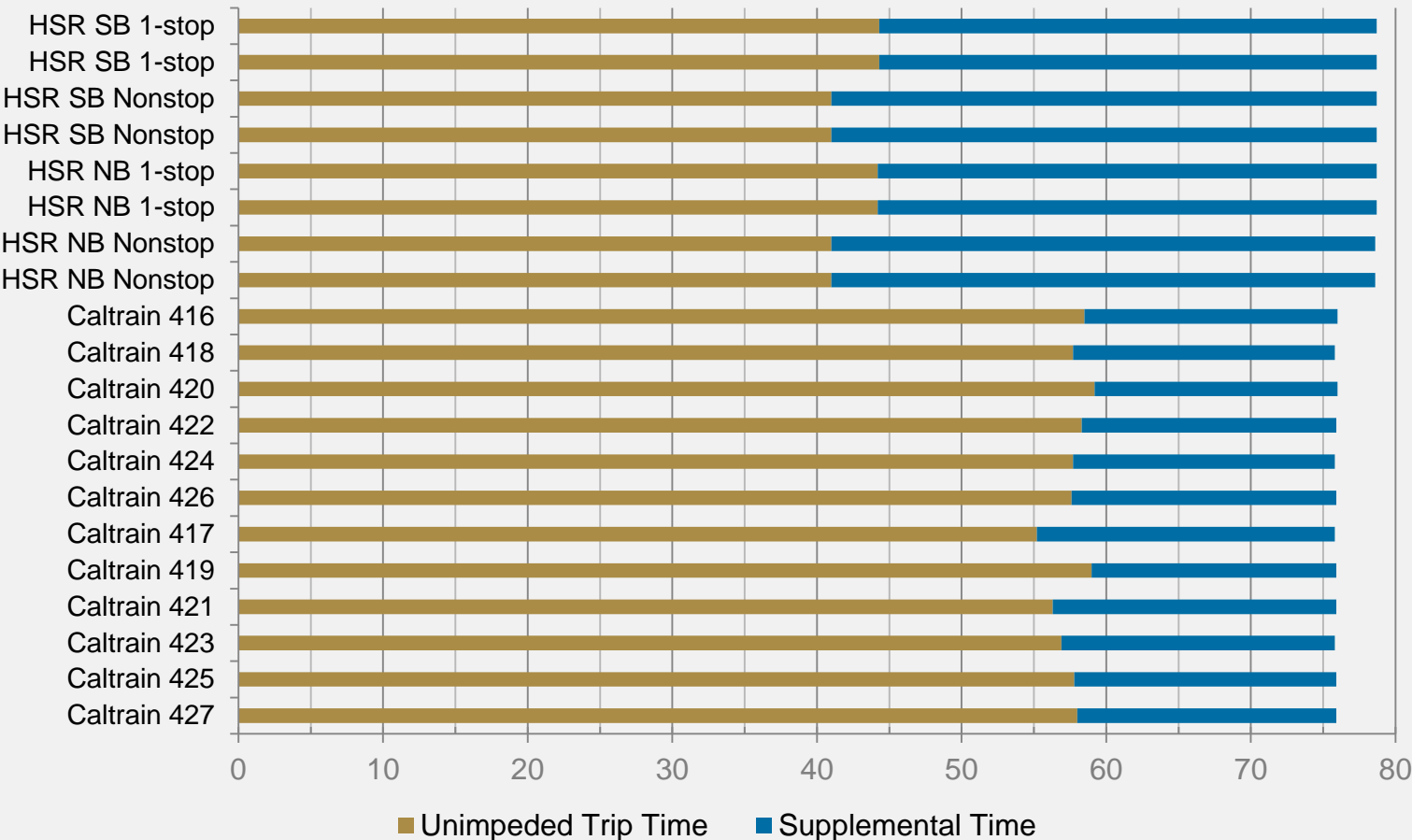
Northbound Service Interval at Palo Alto



AVERAGE TRIP TIME

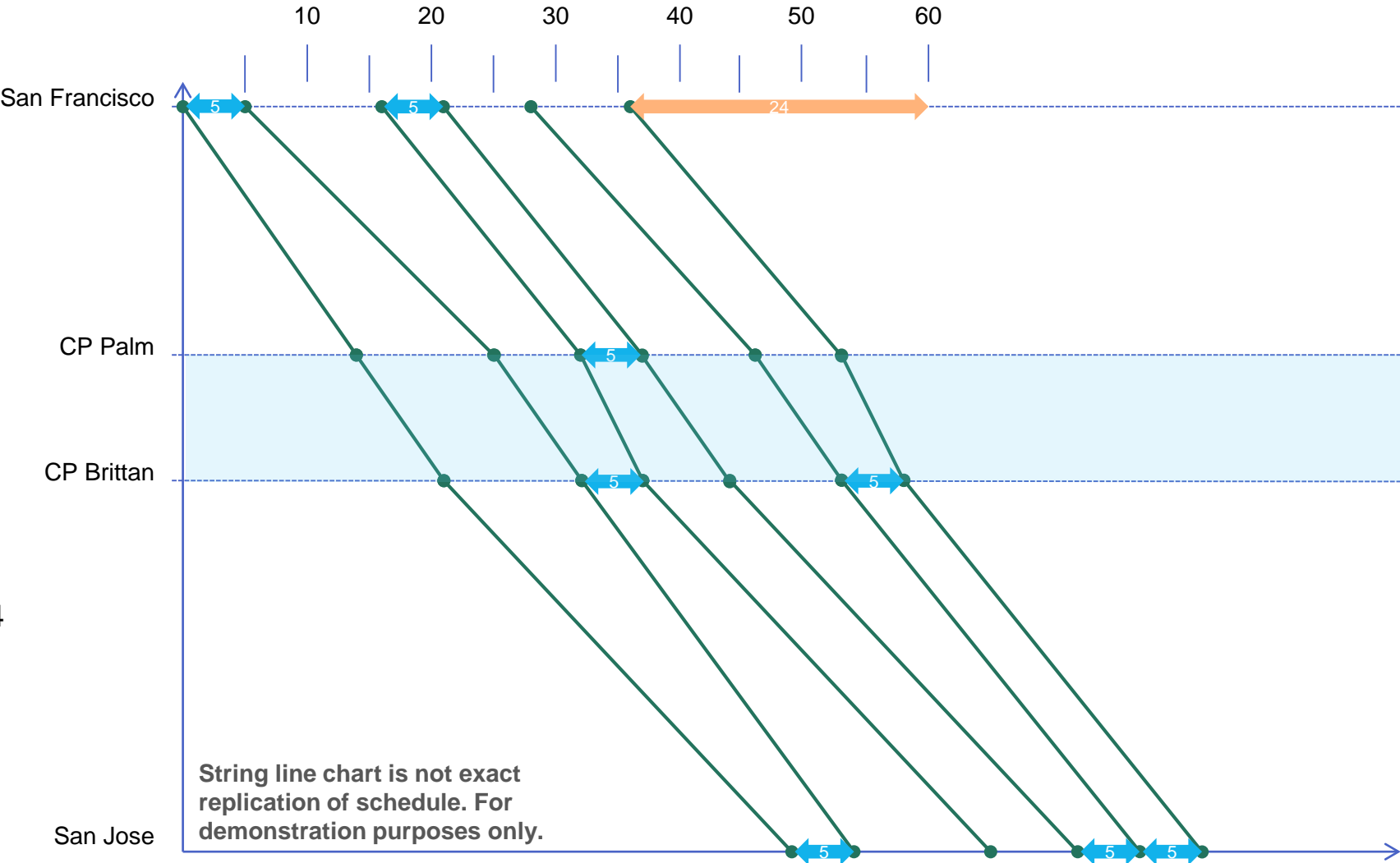
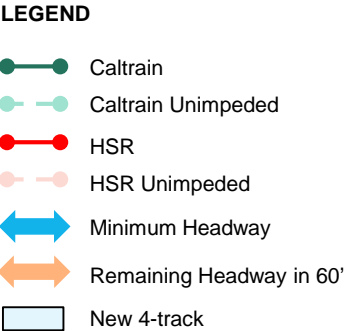
Caltrain: 75.9 min
HSR Nonstop: 78.7 min

TRIP TIME (min)



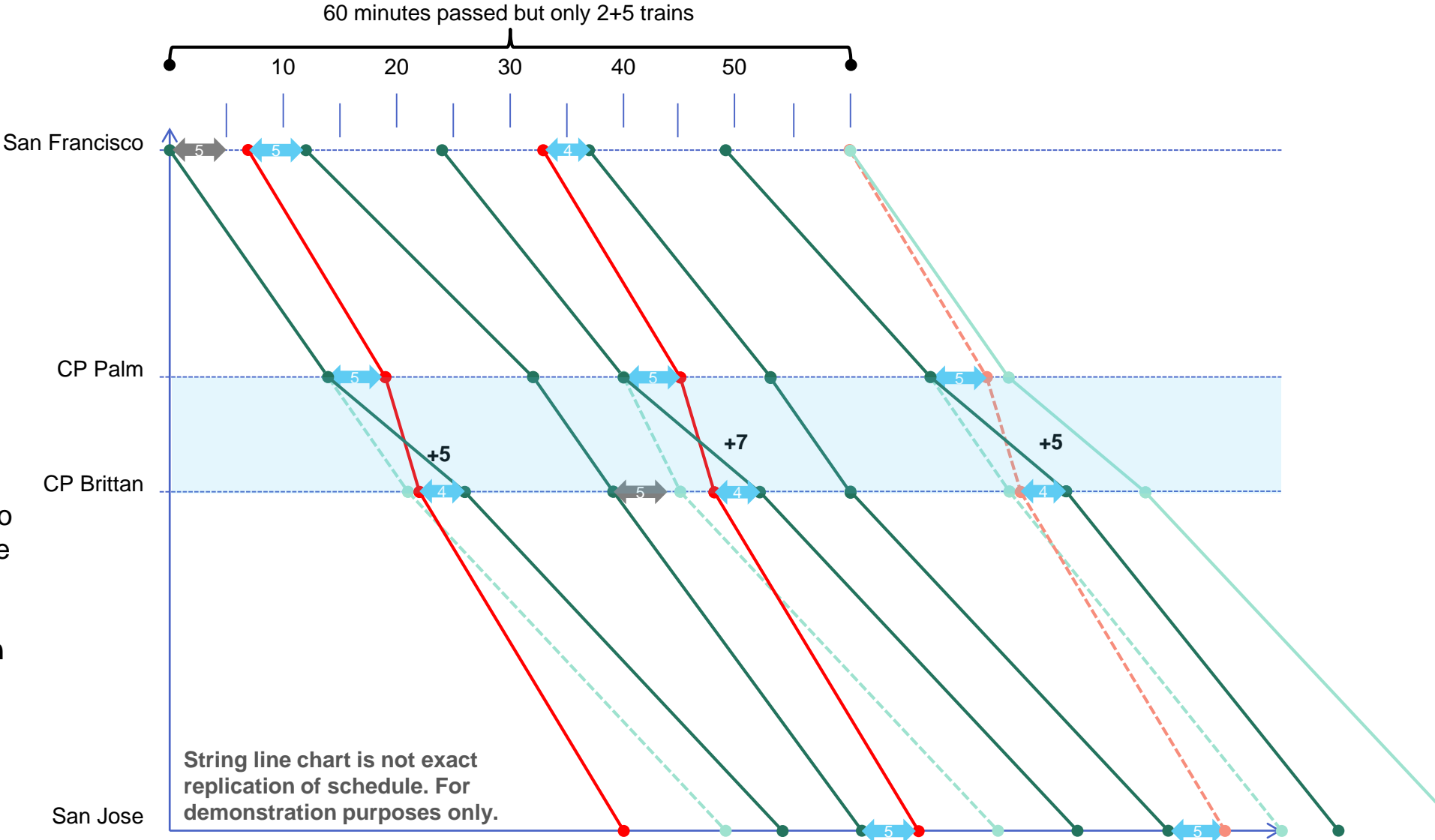
*All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

Overtake Analysis – Caltrain Only – Skip Stop



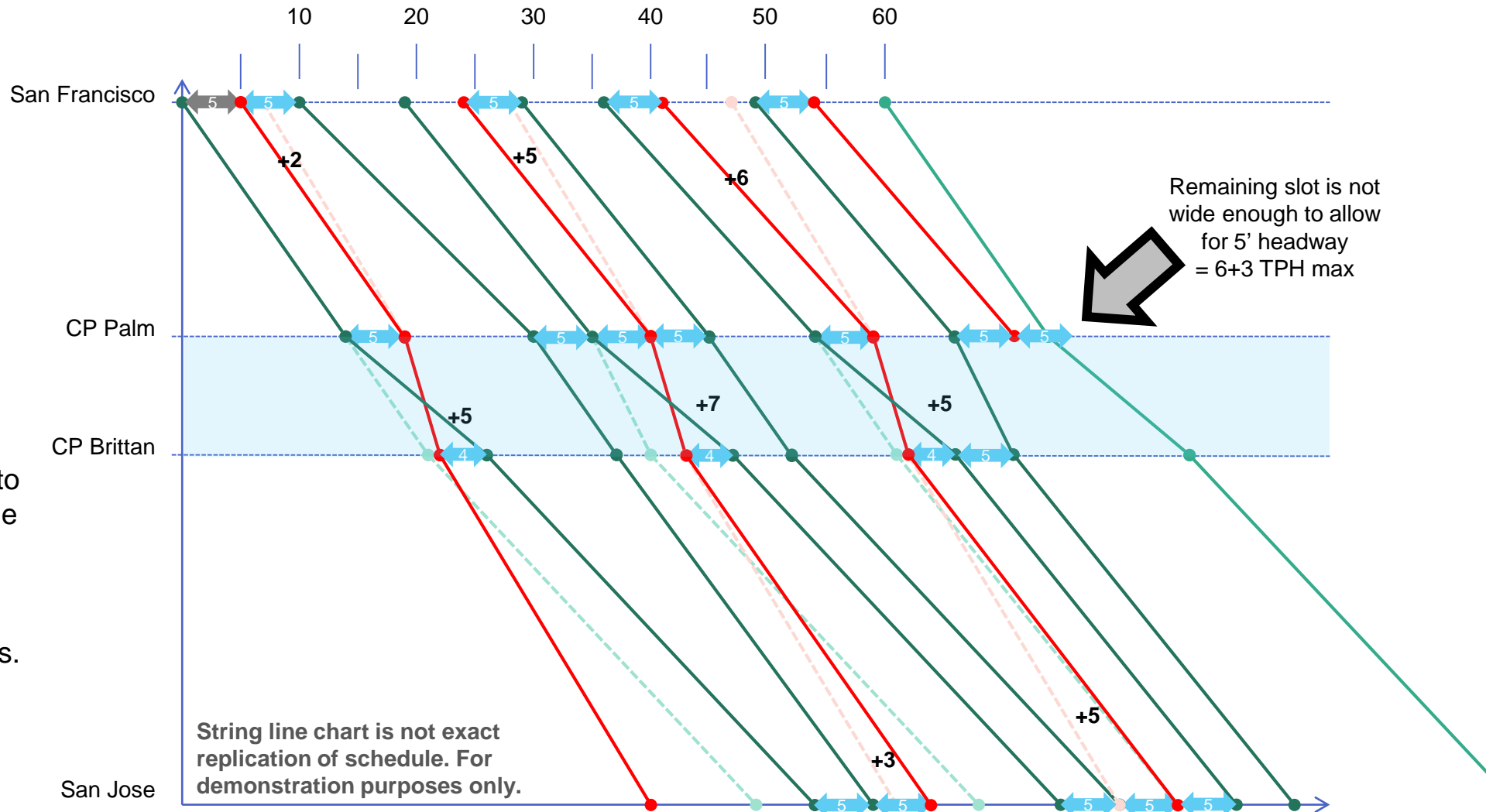
The sketch string line represents the skip stop schedule using the agreed upon parameters with 6 Caltrain TPH and without 4 HSR TPH.

Overtake Analysis



The sketch string line demonstrates an attempt to plan the skip stop schedule using the agreed upon parameters **without adding supplemental run time** outside of the 4-track limits. The result is slot space for only 2 HSR TPH and 5 Caltrain TPH.

Overtake Analysis - Compressed



The sketch string line demonstrates an attempt to plan the skip stop schedule using the agreed upon parameters **and allowing supplemental run time** outside of the 4-track limits. The result is slower trip times and slot space for only 3 HSR TPH and 6 Caltrain TPH.

Iteration 2 – Enhanced signaling system

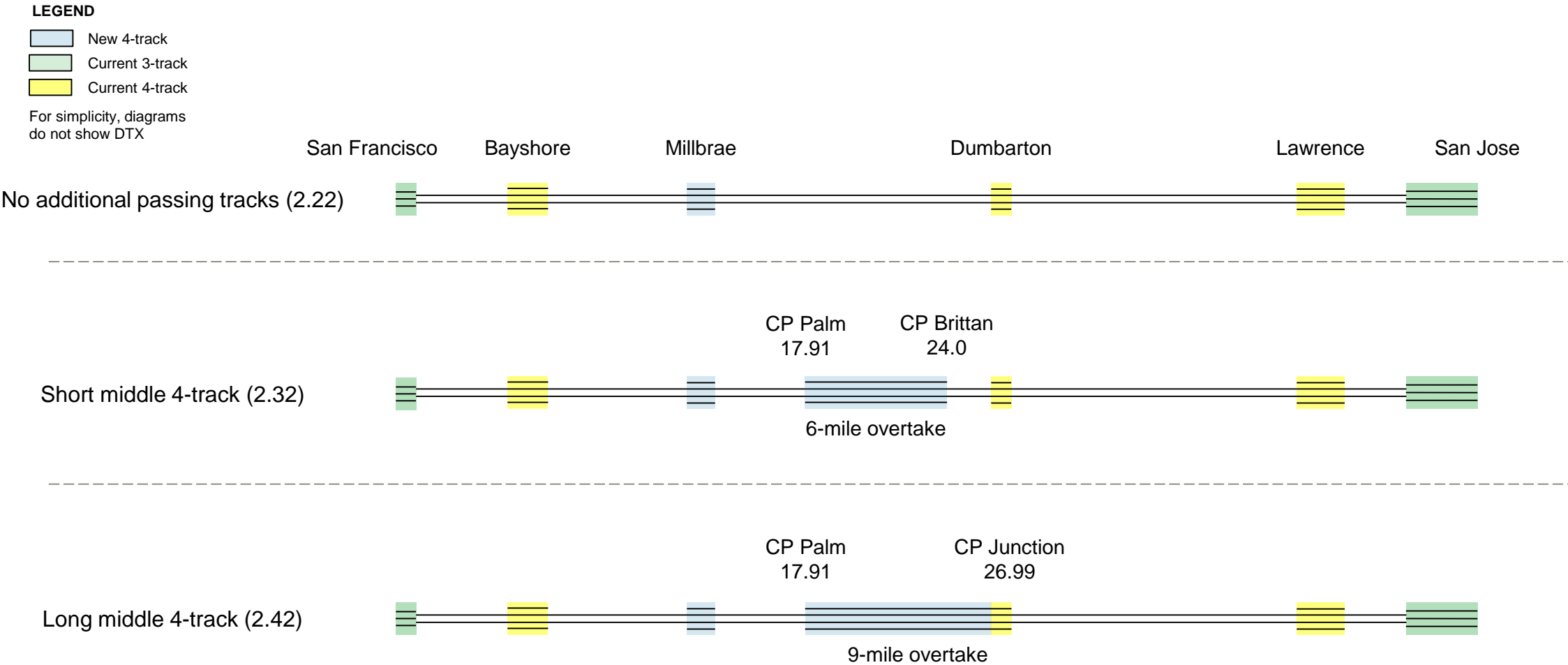
Discussed: Working Group Meeting #5 – August 26, 2016

Planning Assumptions – Iteration 2

| Parameter | Assumption |
|-------------------------|--|
| Headway/ Separation | 3 min corridor, 2 min diverging/merging at junctions |
| Minimum Turnaround Time | HSR: 20 min Caltrain: 20 min |
| Minimum Dwell Time | HSR: 2 min Caltrain: Dwell at least at each station for the times specified in the JPB/CAHSR Blended Operations Studies |
| Rolling Stock | HSR: Generic High Speed Trainset Caltrain: Adapted to EMU RFP train performance and 8 coach train length |
| Infrastructure | Millbrae Station: CP Center (MP 12.65) to CP Trousdale (MP 14.01) Terminal Configurations at San Jose, 4 th /King 4-track Improvement options assumed: <ul style="list-style-type: none">• No additional passing tracks• Short middle 4-track: CP Palm (MP 17.91) to just after CP Brittan (MP 24.00)• Long middle 4-track CP Palm (MP 17.91) to CP Junction (MP 26.99) |
| Speed Limit | 110 mph |
| Terminal Station SF | HSR: TTC Caltrain: 4 th & King and TTC |

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Not for distribution

Infrastructure Options – Iteration 2

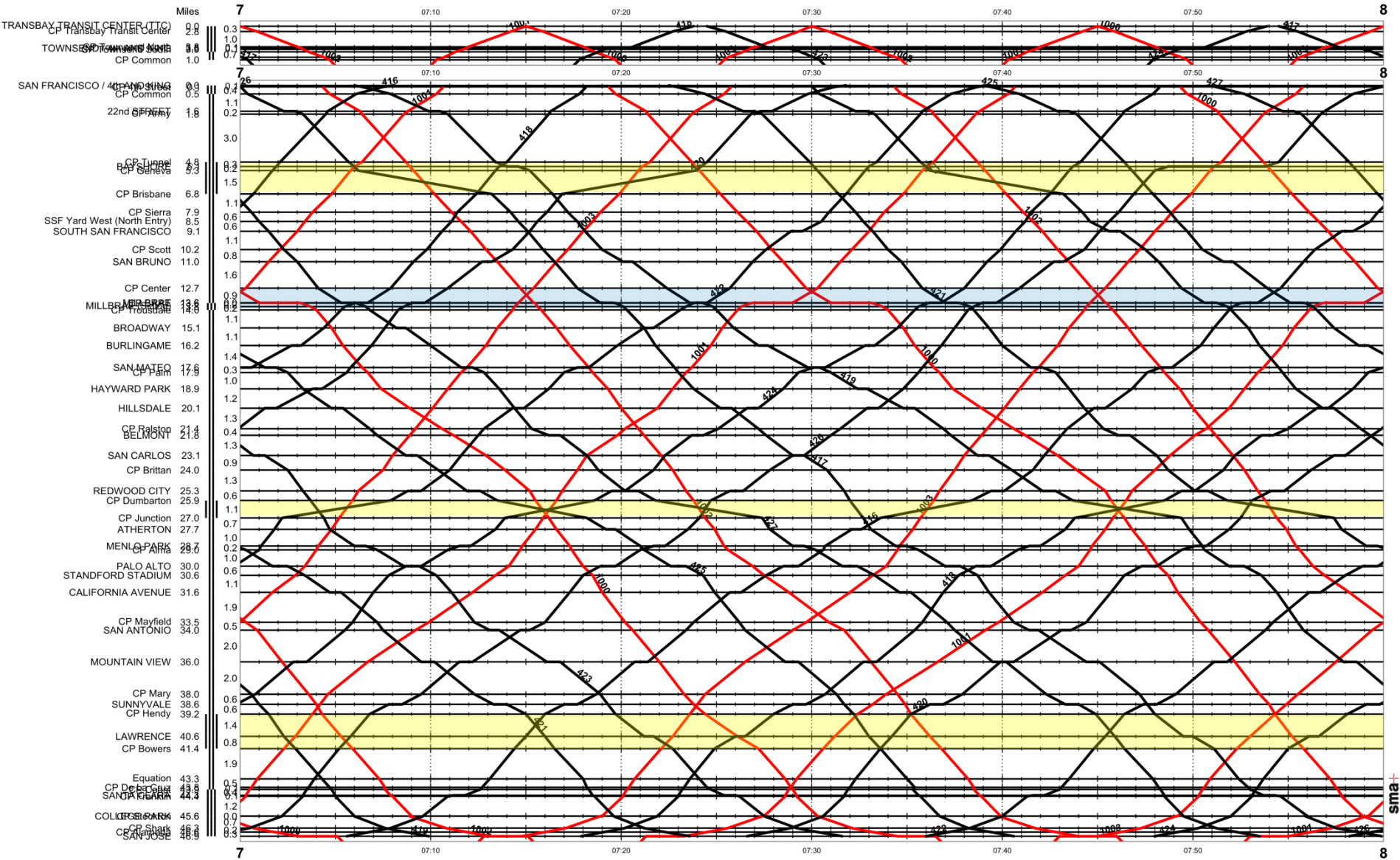


Concept 2.22

Infrastructure Option: No Additional Passing Tracks

DRAFT
Not for distribution

HSR Interval, No Added Passing Tracks (NAPT) String Line Chart



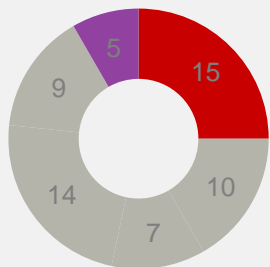
Concept 2.22 Attributes

Caltrain Service: skip-stop
HSR Service: interval
Headways: 3', 2'
New 4-track: Millbrae 4-track

HSR Interval, No Added Passing Tracks (NAPT) Service Evaluation

SERVICE INTERVAL

Southbound Service
Interval at Palo Alto



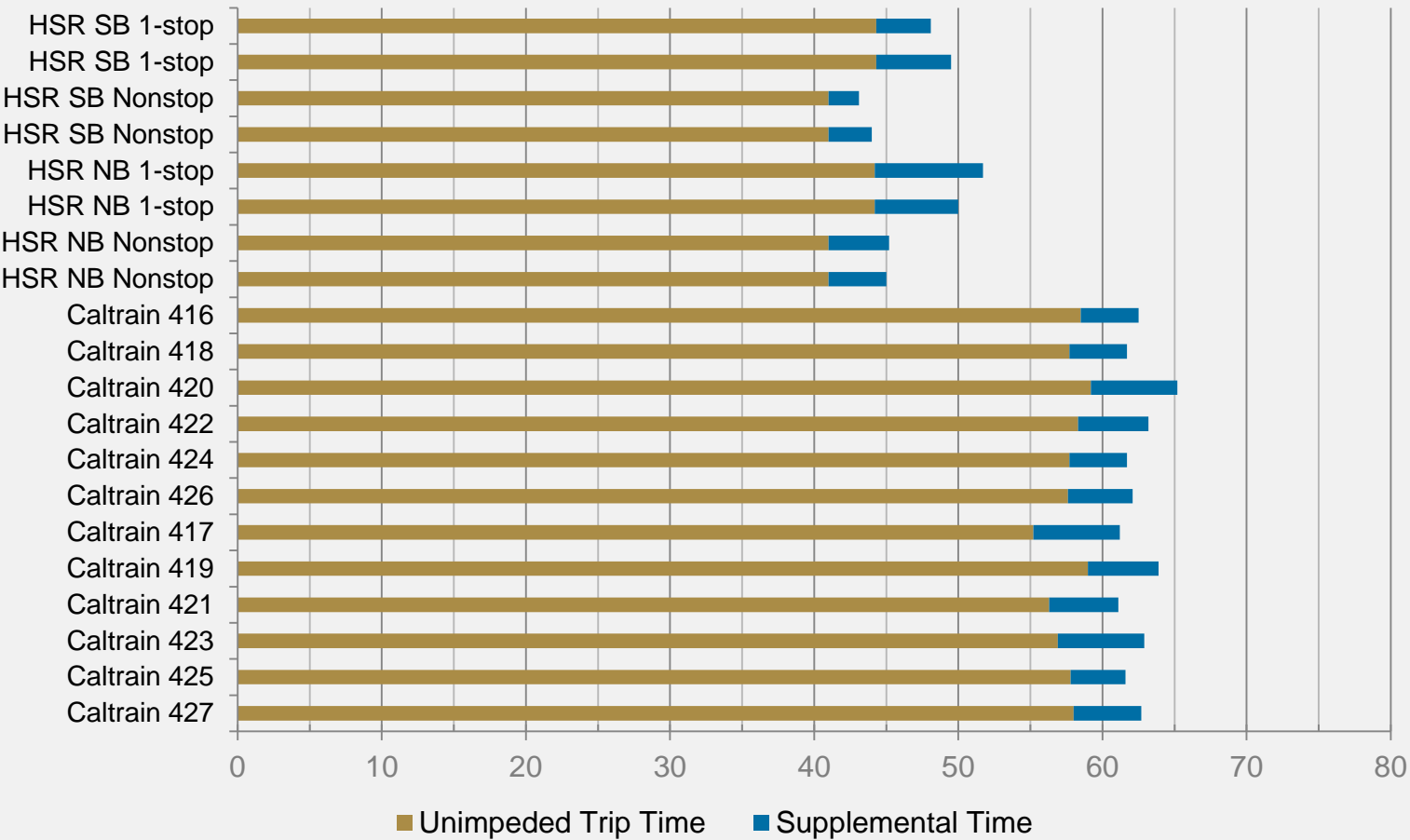
Northbound Service
Interval at Palo Alto



AVERAGE TRIP TIME

Caltrain: 62.5 min
HSR Nonstop: 44.3 min

TRIP TIME (min)

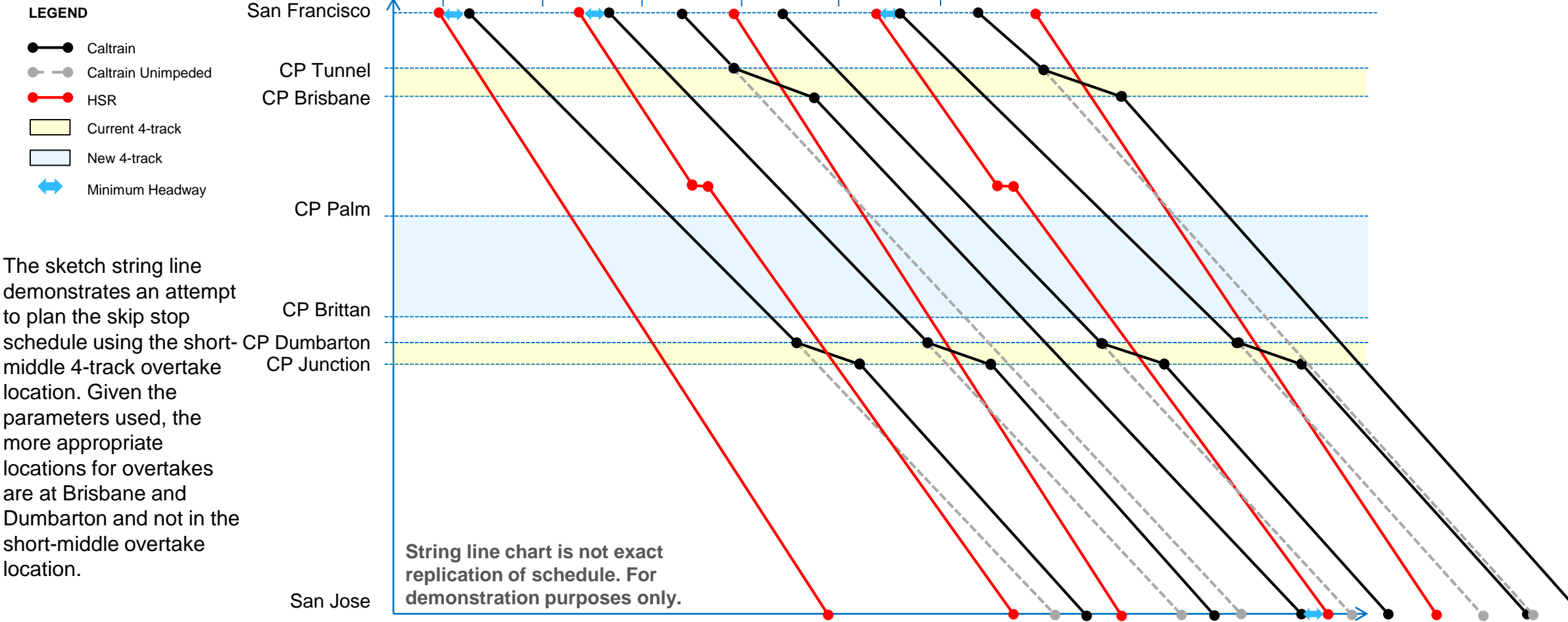


*All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

Concept 2.32

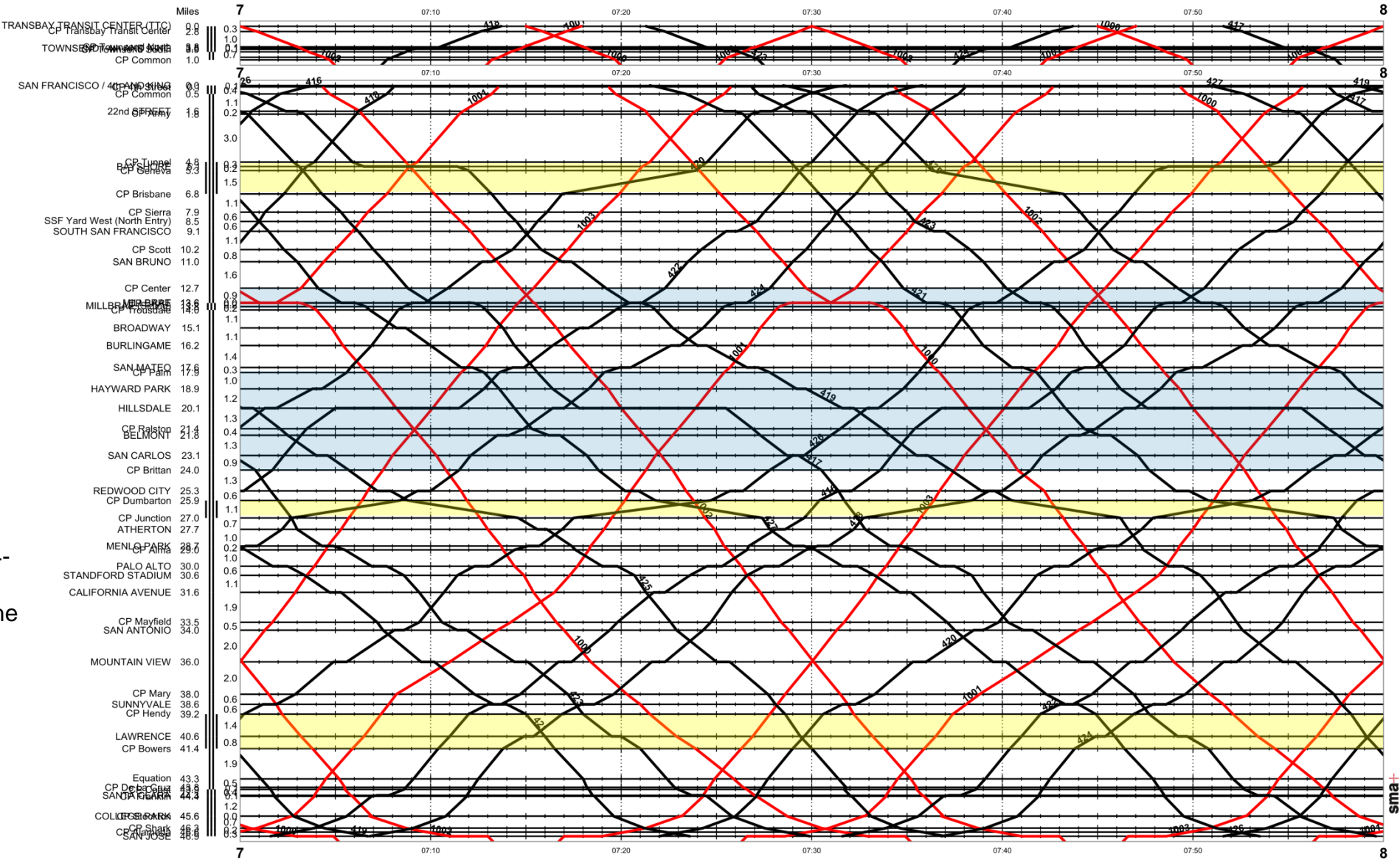
Infrastructure Option: Short Middle 4-Track

Overtake Analysis



DRAFT
Not for distribution

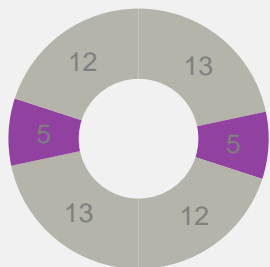
HSR Interval, Short Middle 4-track (SM4T) String Line Chart



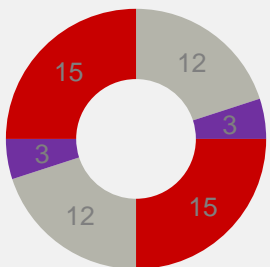
HSR Interval, Short Middle 4-Track (SM4T) Service Evaluation

SERVICE INTERVAL

Southbound Service
Interval at Palo Alto



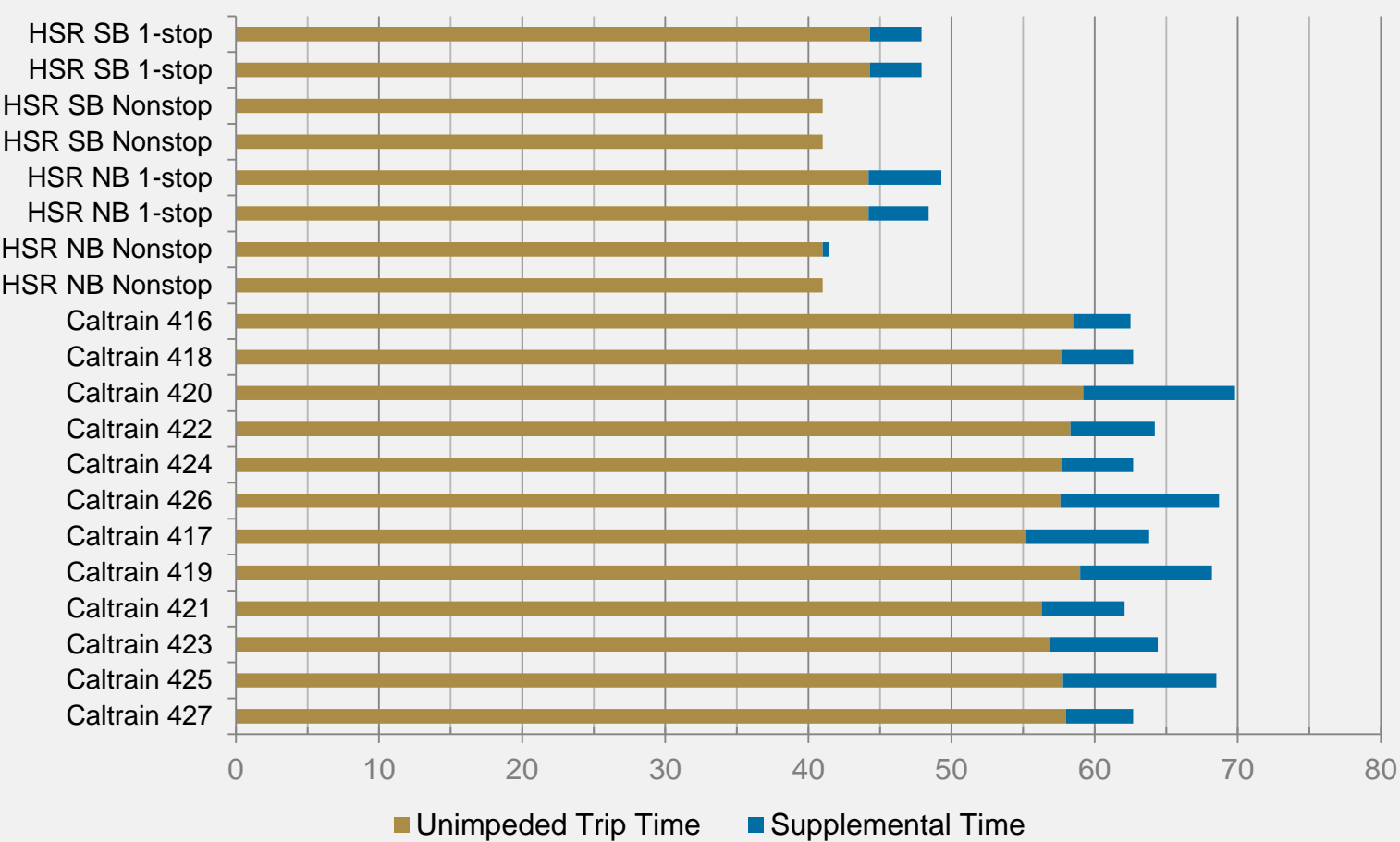
Northbound Service
Interval at Palo Alto



AVERAGE TRIP TIME

Caltrain: 65.0 min
HSR Nonstop: 41.1 min

TRIP TIME (min)



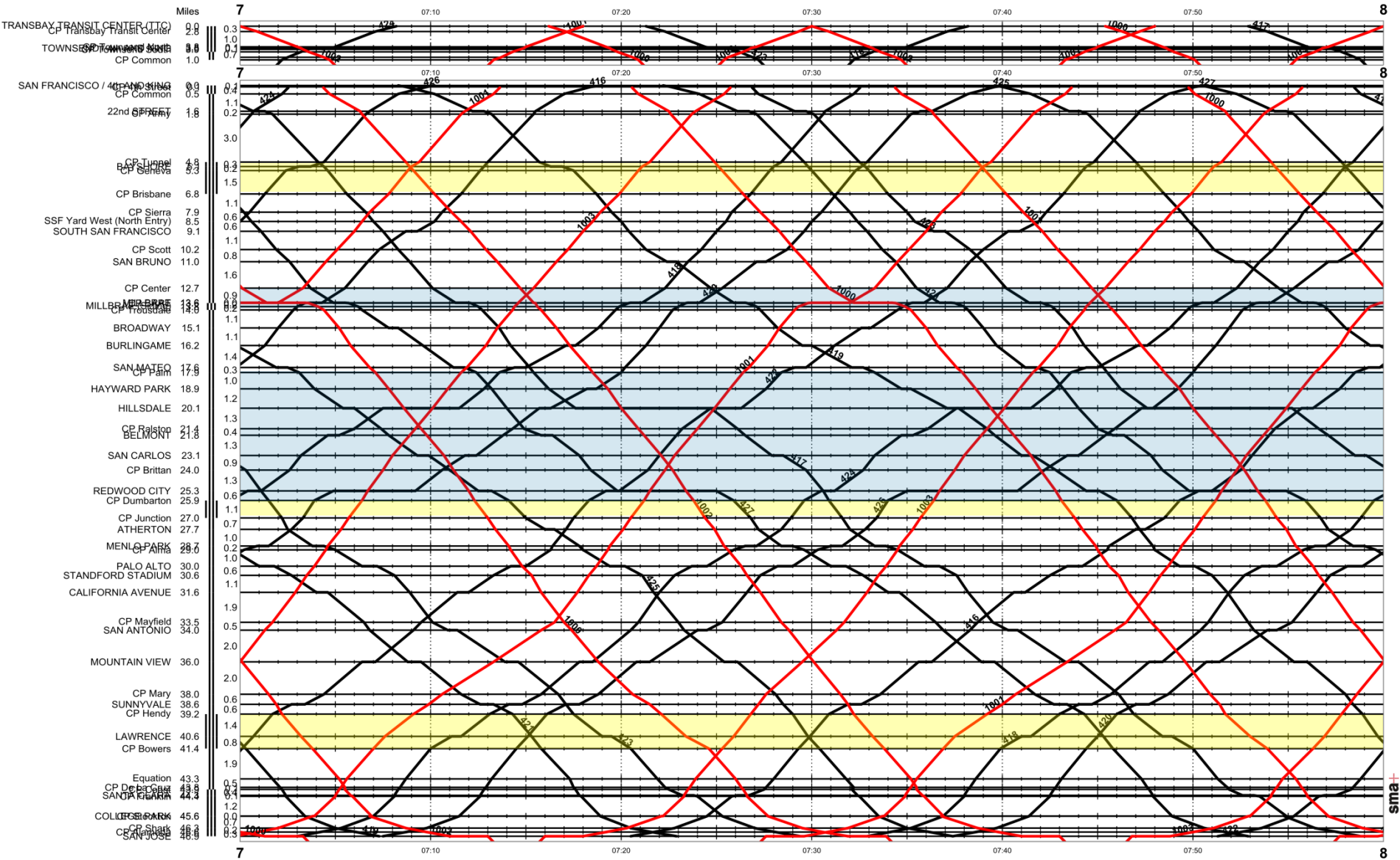
*All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

Concept 2.42

Infrastructure Option: Long Middle 4-track

DRAFT
Not for distribution

HSR Interval, Long Middle 4-Track (LM4T) String Line Chart



Concept 2.42 Attributes

Caltrain Service: skip-stop

HSR Service: interval

Headways: 3', 2'

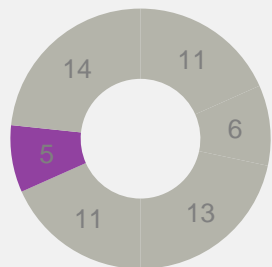
New 4-track: Millbrae 4-track

Long middle 4-track section

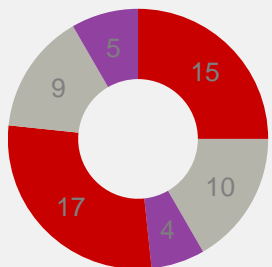
HSR Interval, Long Middle 4-Track (LM4T) Service Evaluation

SERVICE INTERVAL

Southbound Service
Interval at Palo Alto



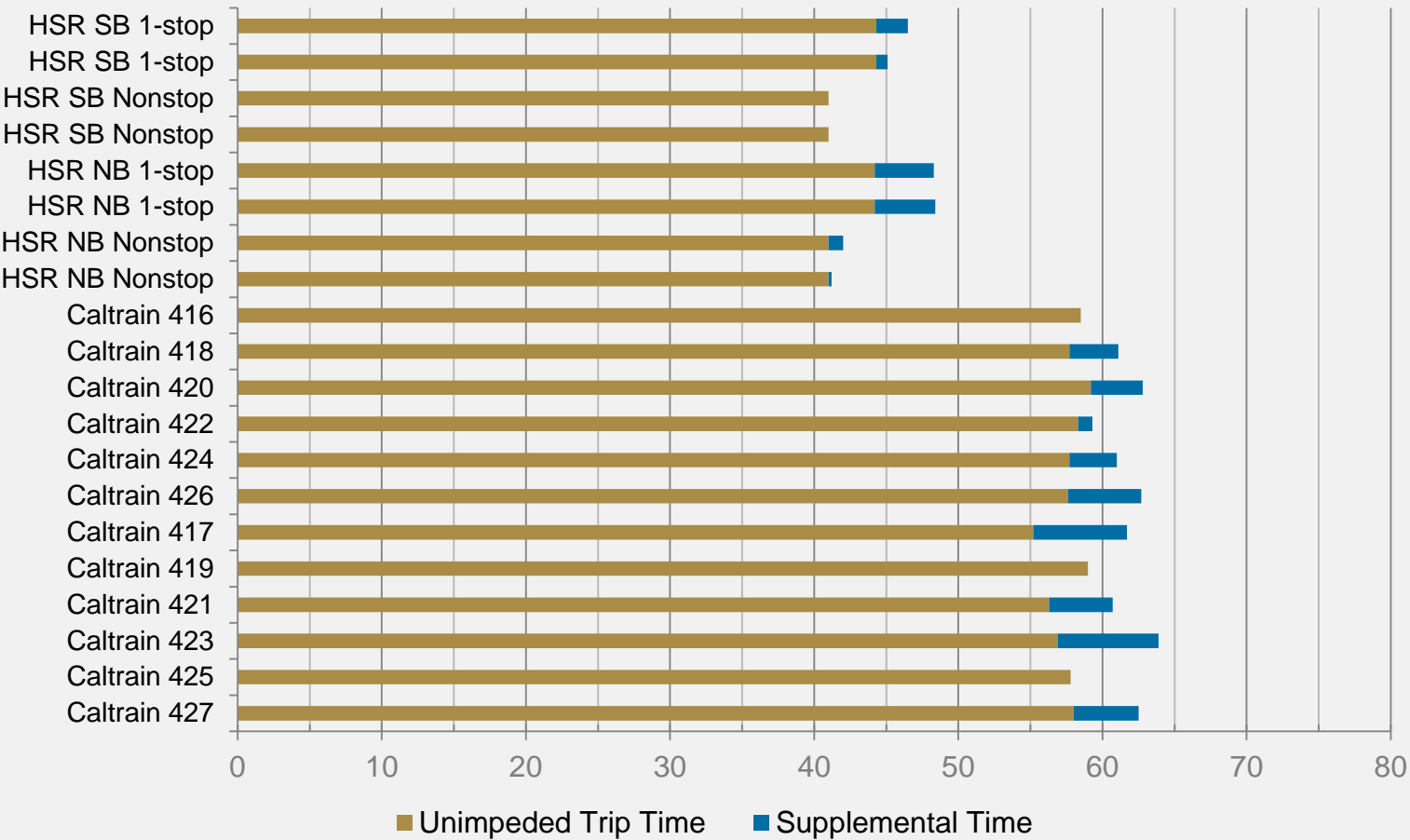
Northbound Service
Interval at Palo Alto



AVERAGE TRIP TIME

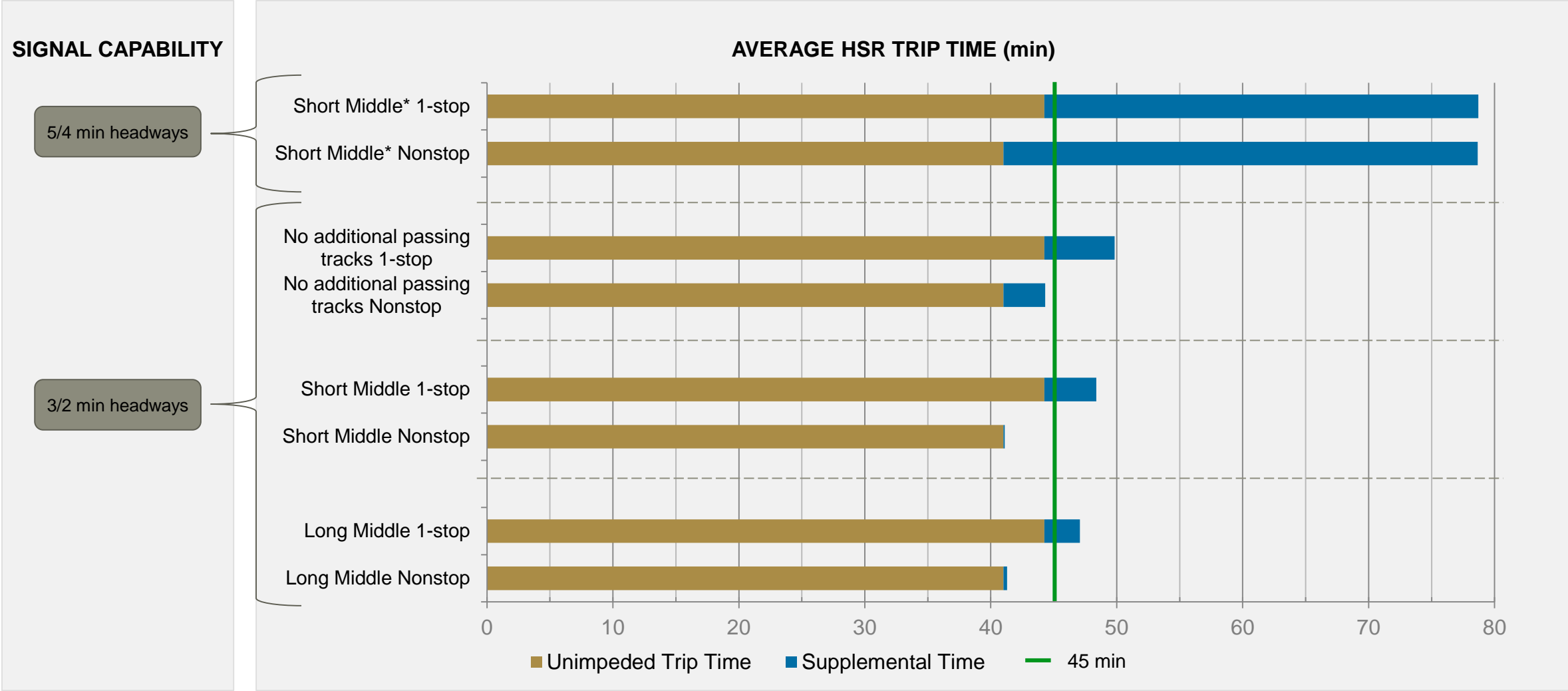
| | |
|--------------|----------|
| Caltrain: | 60.9 min |
| HSR Nonstop: | 41.3 min |

TRIP TIME (min)



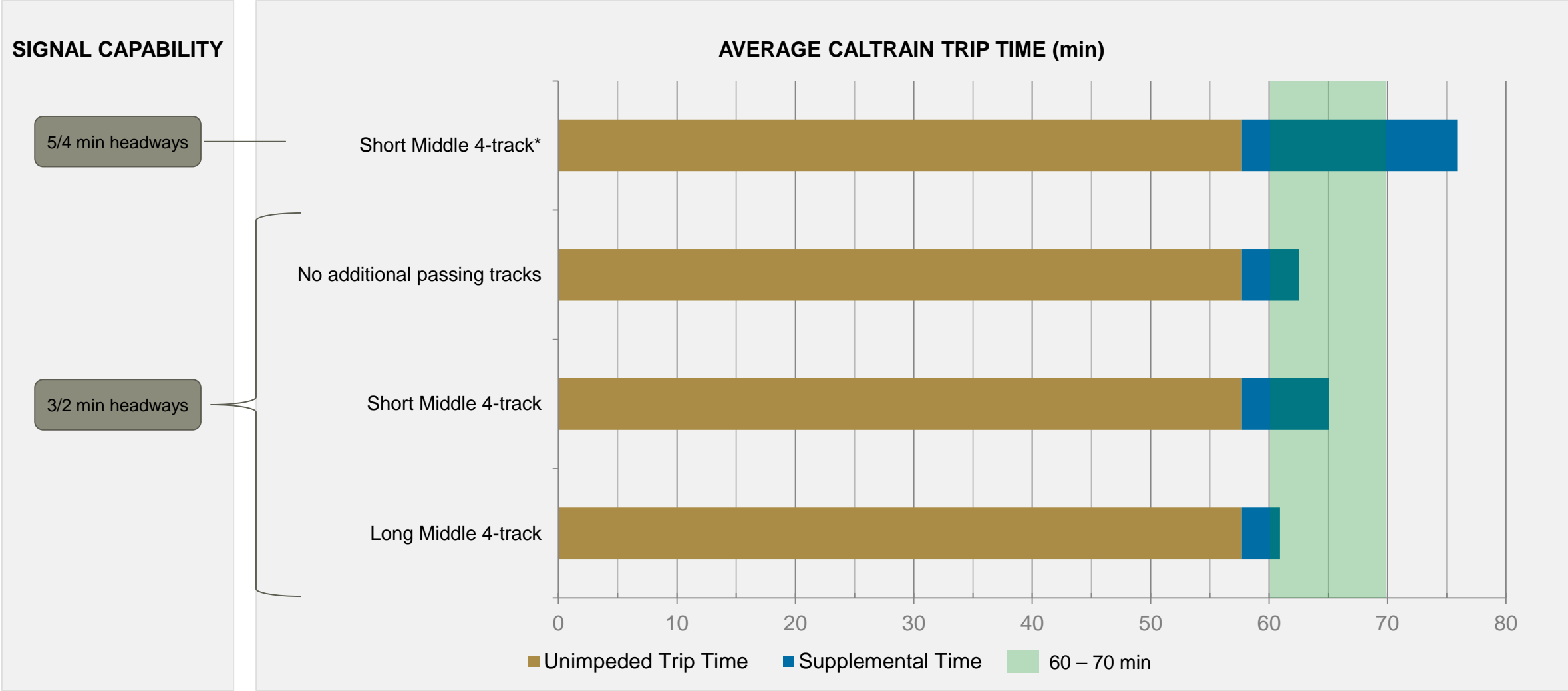
*All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

HSR Interval Concepts – HSR Evaluation



*Unable to effectively use short-middle 4-track overtake with 5-min headways - resulting in a no build scenario
**Results reflect a forced overtake in the short-middle overtake location

HSR Interval Concepts – Caltrain Evaluation



*Unable to effectively use short-middle 4-track overtake with 5-min headways - resulting in a no build scenario
**Results reflect a forced overtake in the short-middle overtake location
All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

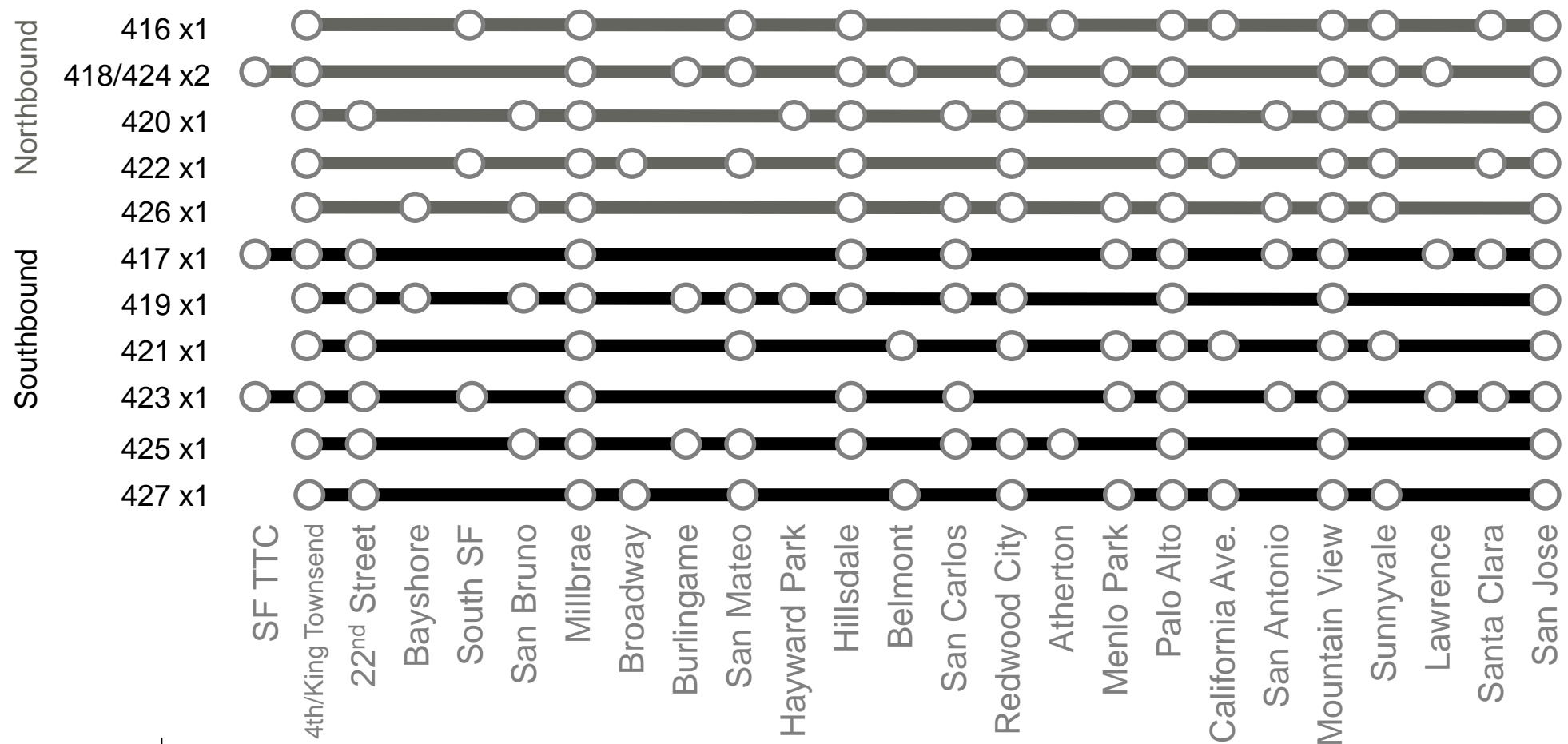
Concept 2.0

Infrastructure Option:

Existing Infrastructure + Caltrain
Identified Capital Program Improvements

Caltrain Prototypical Skip Stop Pattern

2013 Caltrain-HSR Blended Service Plan Ops Con Report, Table 3



Planning Assumptions – Concept 2.0

| Parameter | Assumption |
|-------------------------|--|
| Headway/ Separation | 5 min corridor, 4 min diverging/merging at junctions |
| Minimum Turnaround Time | Caltrain: 20 min |
| Minimum Dwell Time | Caltrain: Dwell at least at each station for the times specified in the JPB/CAHSR Blended Operations Studies |
| Rolling Stock | Caltrain: Adapted to EMU RFP train performance and 8 coach train length |
| Infrastructure | Existing track layout together with Caltrain identified capital program improvements |
| Speed Limit | 79 mph |
| Terminal Station SF | Caltrain: 4 th & King and TTC |

Caltrain 6TPH, 79 MPH – Service Evaluation

SERVICE INTERVAL

Southbound Service Interval at Palo Alto



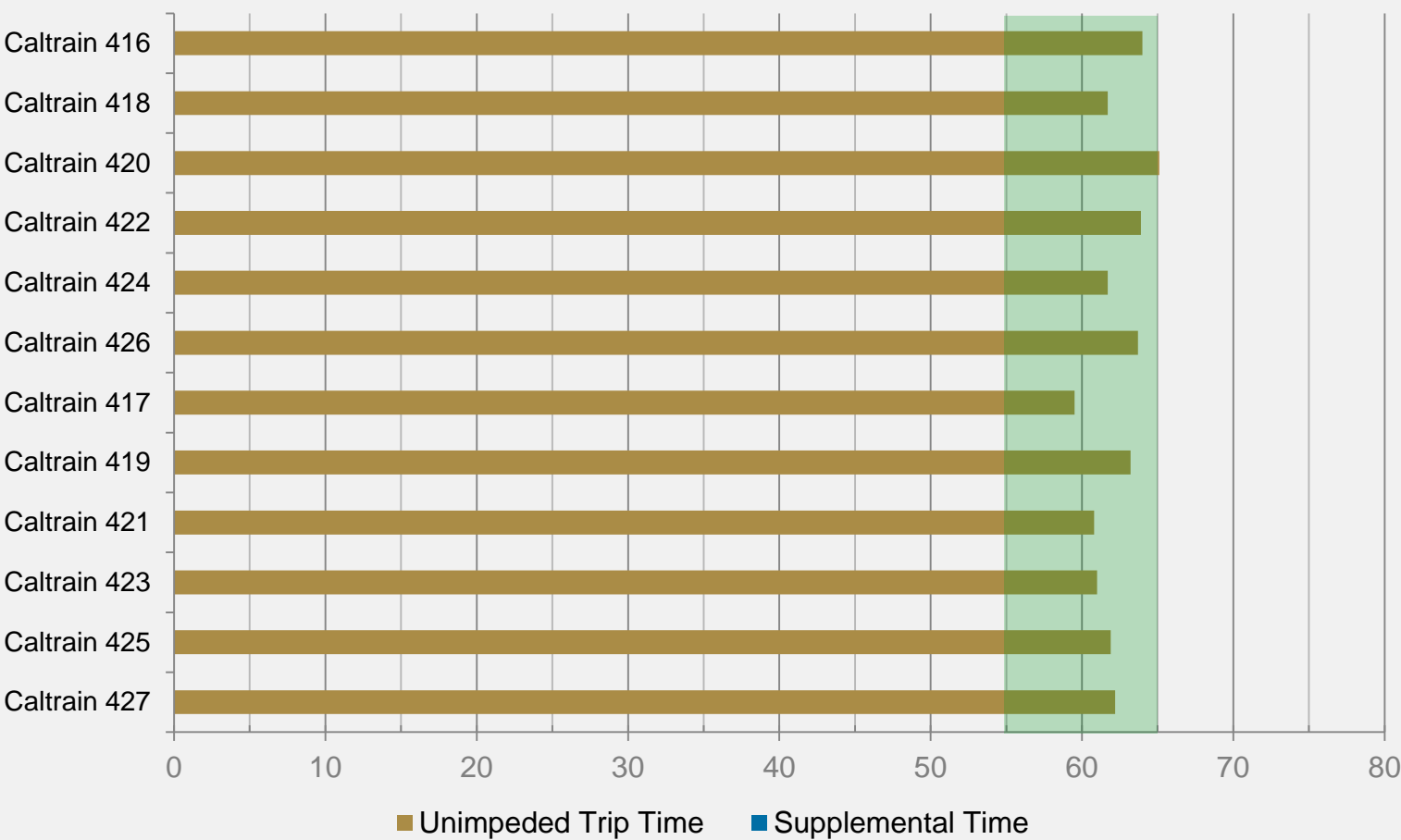
Northbound Service Interval at Palo Alto



AVERAGE TRIP TIME

Caltrain: 62.4 min

TRIP TIME (min)








*All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

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Caltrain 6TPH, 79 MPH – String Line

LEGEND

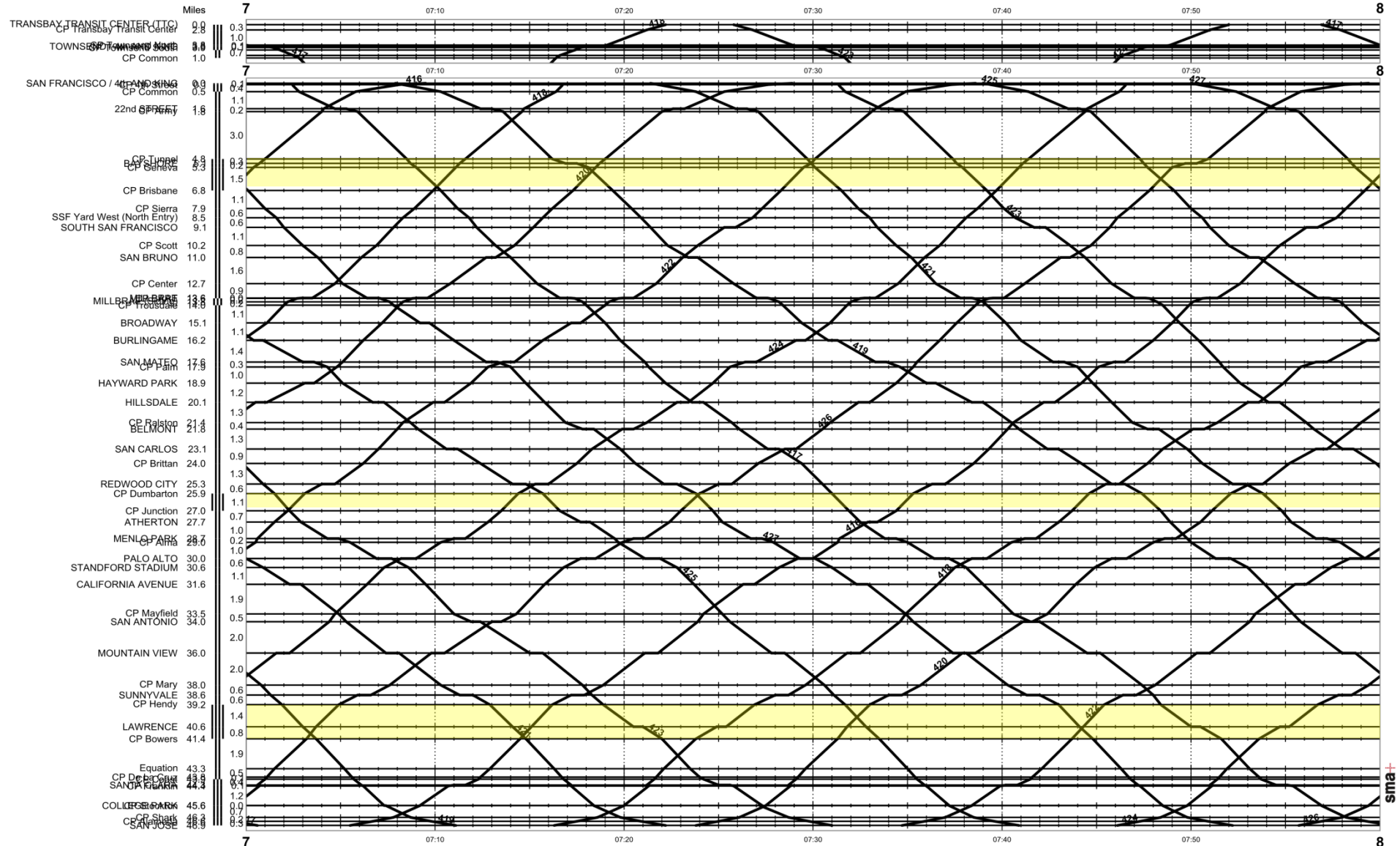
-  HSR
 Caltrain
 New 4-track
 New 3-track
 Current 4-track

Concept Attributes

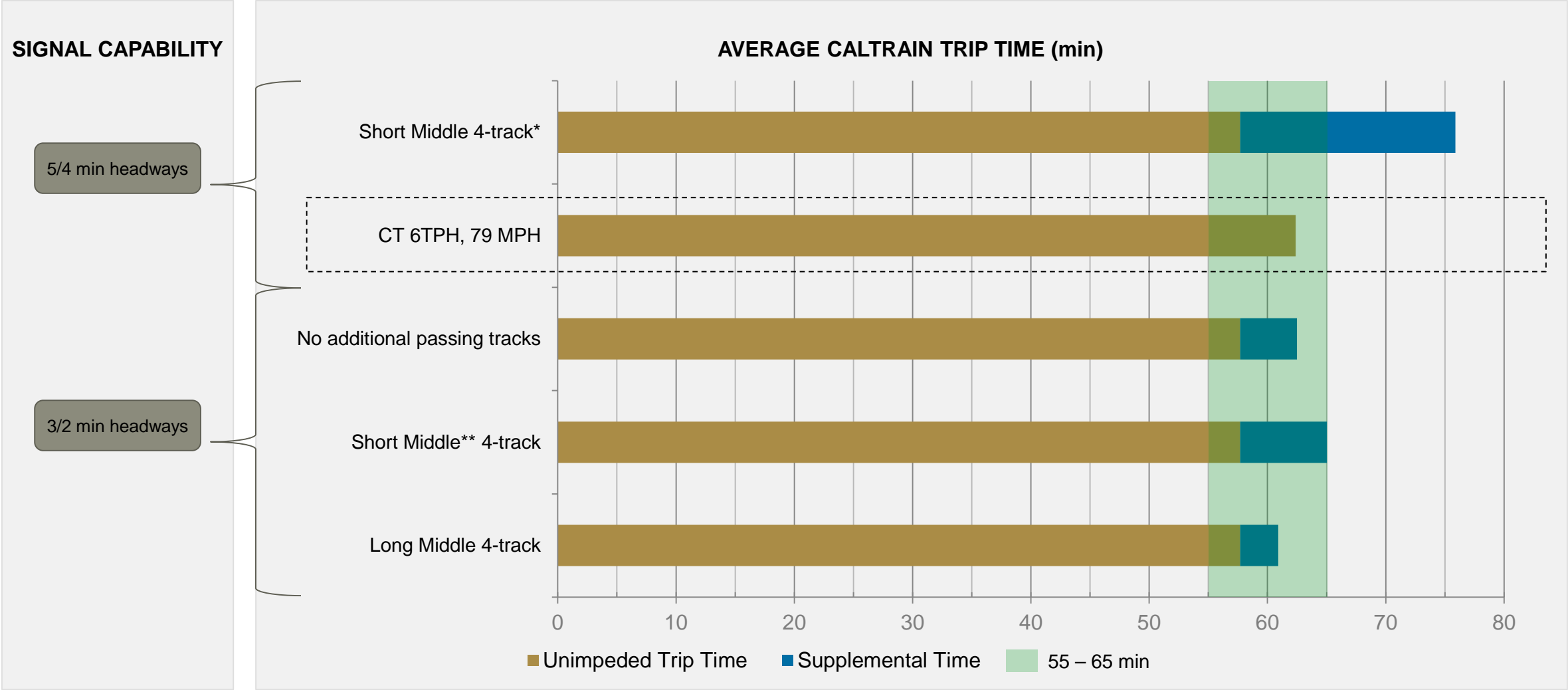
Caltrain Service: skip-stop

HSR Service: interval

Headways: 5', 4'



Caltrain Evaluation



*Unable to effectively use short-middle 4-track overtake with 5-min headways - resulting in a no build scenario
**Results reflect a forced overtake in the short-middle overtake location
All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

Concept 2.5B

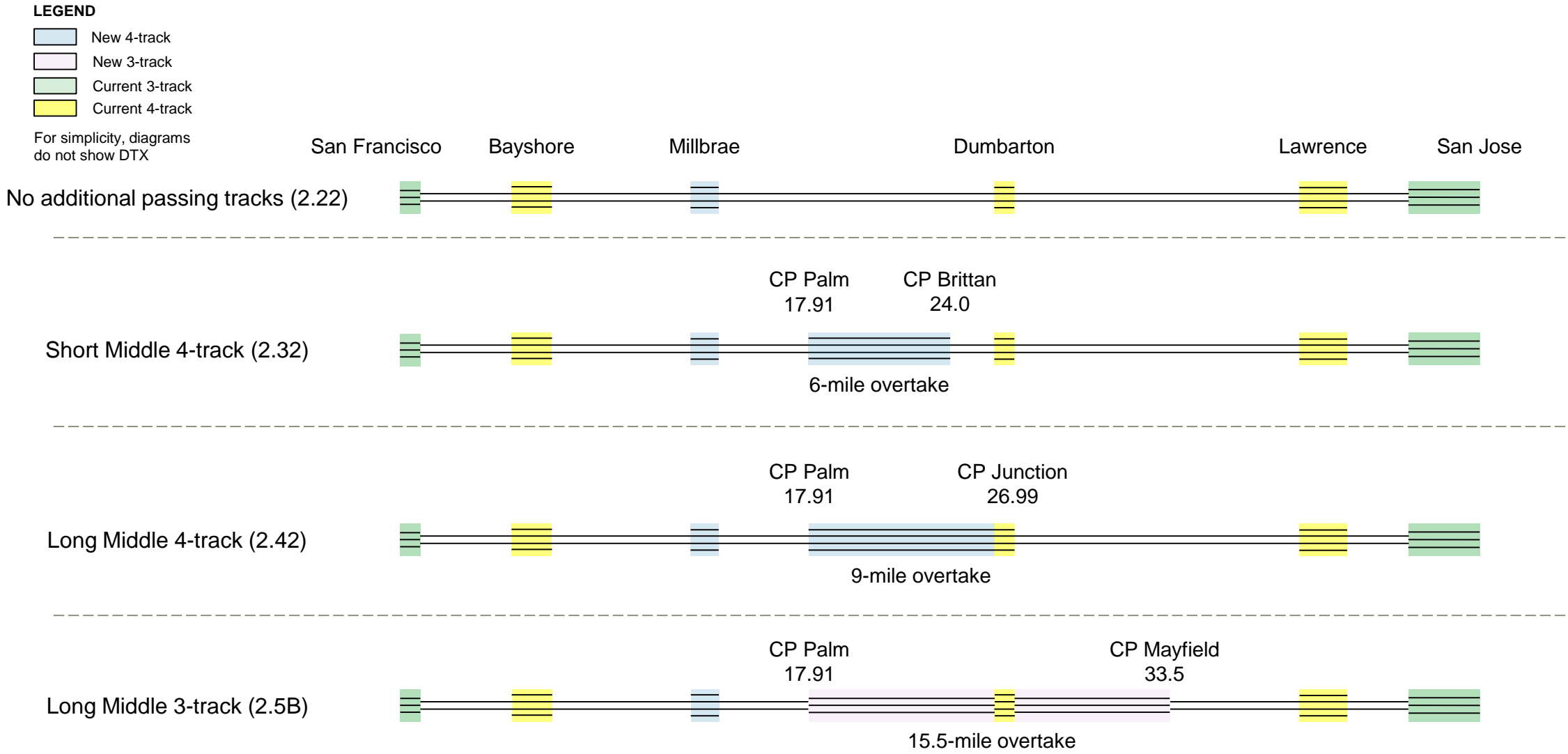
Infrastructure Option:

Long Middle 3-track

Discussed: Working Group Meeting #6 - October 14, 2016

DRAFT
Not for distribution

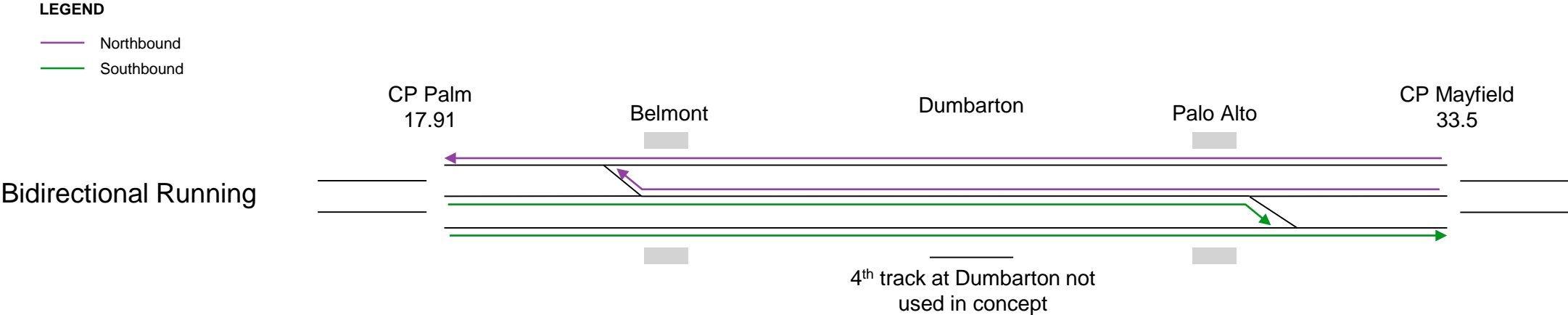
Infrastructure Options



Planning Assumptions – Iteration 2.0

| Parameter | Assumption |
|-------------------------|--|
| Headway/ Separation | 3 min corridor, 2 min diverging/merging at junctions |
| Minimum Turnaround Time | HSR: 20 min Caltrain: 20 min |
| Minimum Dwell Time | HSR: 2 min Caltrain: Dwell at least at each station for the times specified in the JPB/CAHSR Blended Operations Studies |
| Rolling Stock | HSR: Generic High Speed Trainset Caltrain: Adapted to EMU RFP train performance and 8 coach train length |
| Infrastructure | Millbrae Station: CP Center (MP 12.65) to CP Trousdale (MP 14.01) Terminal Configurations at San Jose, 4 th /King 4-track Improvement options assumed: <ul style="list-style-type: none">• No additional passing tracks• Long-middle 3-Track: CP Palm (MP 17.91) - CP Mayfield (MP 33.50)• Short-middle 4-Track: CP Palm (MP 17.91 to just after CP Brittan (MP 24.00)• Long-middle 4-track CP Palm (MP 17.91) to CP Junction (MP 26.99) |
| Speed Limit | 110 mph |
| Terminal Station SF | HSR: TTC Caltrain: 4 th & King and TTC |

Long-middle 3-track– Configuration



Bidirectional running allows for both northbound and southbound trains to use the third track between Belmont and Palo Alto. In this iteration, we have used a minimum 2 min separation time between two opposing trains on the shared track.

DRAFT
Not for distribution

Long Middle 3-Track (LM3T) - String Line

LEGEND

- HSR
- Caltrain
- New 4-track
- New 3-track
- Current 4-track

Concept 2.5B Attributes

Caltrain Service: skip-stop

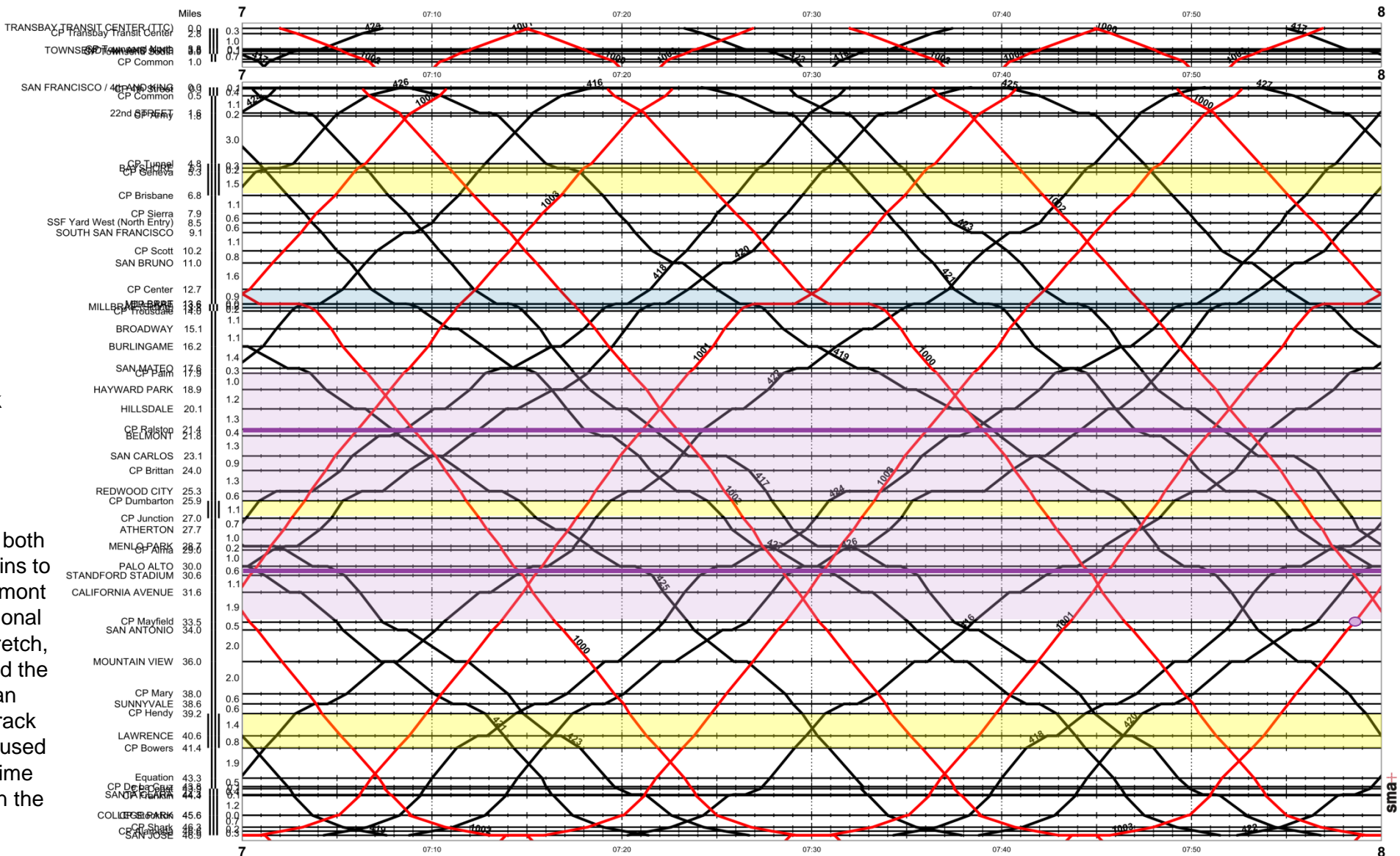
HSR Service: interval

Headways: 3', 2'

New 4-track: Millbrae 4-track

Long middle 3-track section

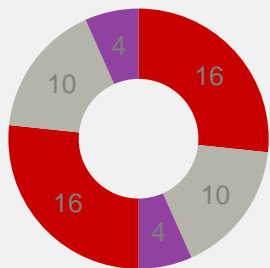
Bidirectional running allows for both northbound and southbound trains to use the third track between Belmont and Palo Alto. By adding additional crossovers along the 3-track stretch, some HSR trains that don't need the full length of the 3-track for an overtake can exit the shared track early. In this iteration, we have used a minimum 2 min separation time between two opposing trains on the shared track.



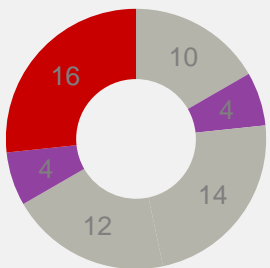
Long Middle 3-Track (LM3T) - Service Evaluation

SERVICE INTERVAL

Southbound Service
Interval at Palo Alto



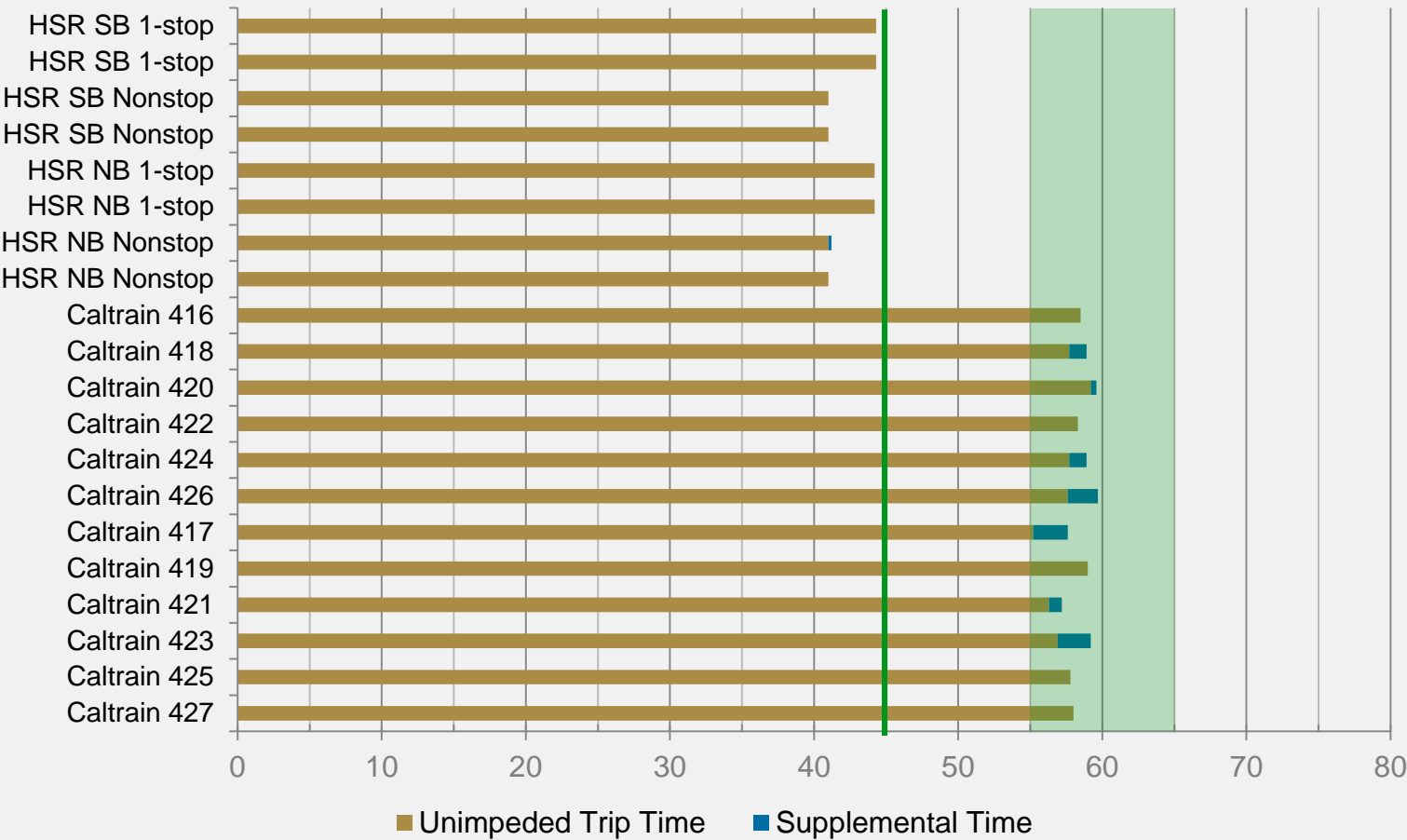
Northbound Service
Interval at Palo Alto



AVERAGE TRIP TIME

Caltrain: 58.6 min
HSR Nonstop: 41.1 min

TRIP TIME (min)

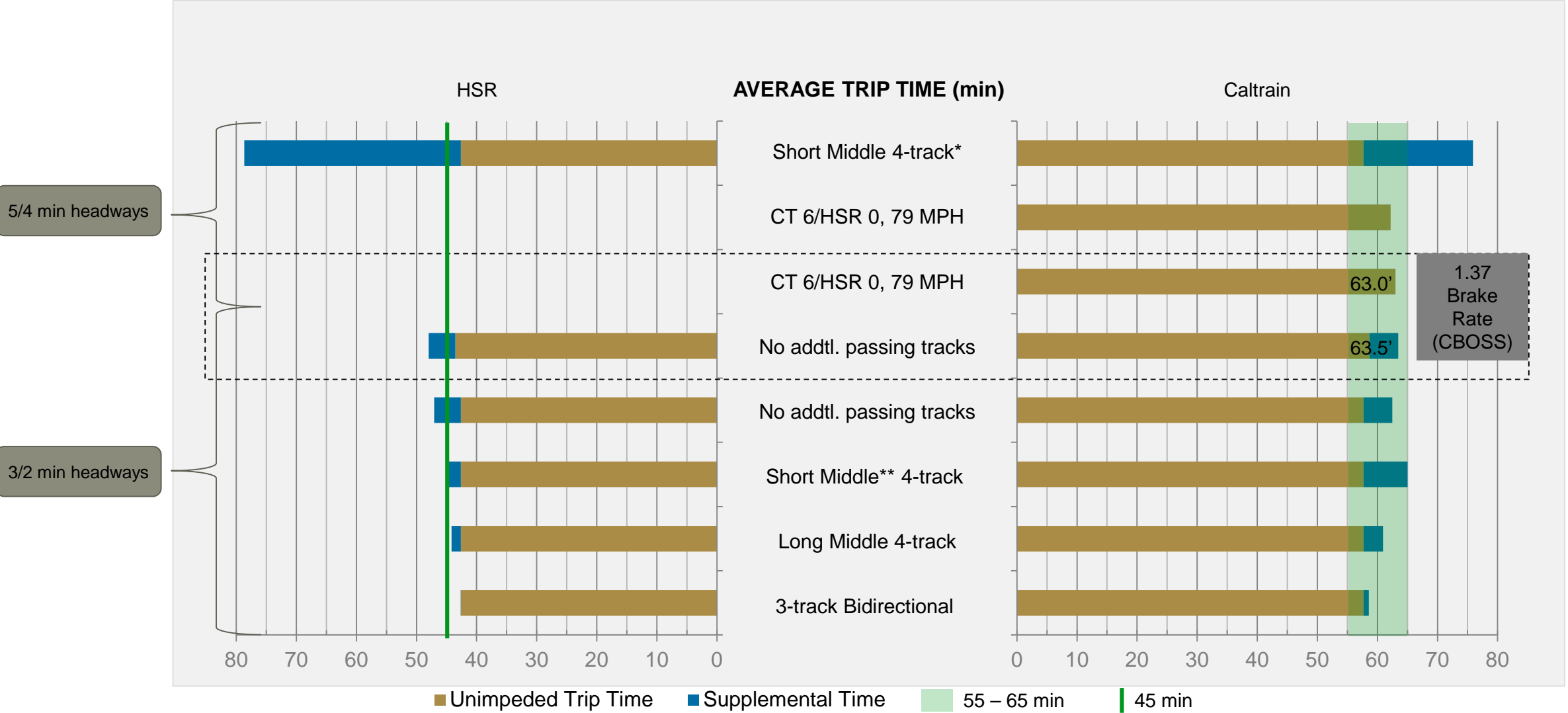


*All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

Concept Comparison

DRAFT
Not for distribution

HSR & Caltrain Trip Time Evaluation *DRAFT*



*Unable to effectively use short-middle 4-track overtake with 5-min headways - resulting in a no build scenario
**Results reflect a forced overtake in the short-middle overtake location
Note that HSR can meet 30 min run time from San Francisco to San Jose on unimpeded run
All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

Baseline Parameter References

JPB/CAHSR Blended Operations Studies

Station Dwell Times

**Table 11 – May 2011
Field Observations**

| | Average | Min | Max |
|---------------------|---------|---------|---------|
| 22nd Street | 0:00:51 | 0:00:33 | 0:01:21 |
| Bayshore | 0:00:55 | 0:00:28 | 0:01:55 |
| Belmont | 0:00:57 | 0:00:34 | 0:01:55 |
| Burlingame | 0:00:46 | 0:00:33 | 0:01:03 |
| California Ave. | 0:00:51 | 0:00:27 | 0:01:14 |
| Hayward Park | 0:00:40 | 0:00:30 | 0:00:52 |
| Hillsdale | 0:00:49 | 0:00:33 | 0:01:08 |
| Lawrence | 0:00:46 | 0:00:31 | 0:01:24 |
| Menlo Park | 0:00:55 | 0:00:34 | 0:01:38 |
| Millbrae | 0:00:53 | 0:00:42 | 0:01:04 |
| Mountain View | 0:01:04 | 0:00:47 | 0:01:47 |
| Palo Alto | 0:01:19 | 0:00:41 | 0:02:23 |
| Redwood City | 0:01:07 | 0:00:41 | 0:01:50 |
| San Antonio | 0:00:44 | 0:00:31 | 0:01:10 |
| San Bruno | 0:00:45 | 0:00:32 | 0:00:56 |
| San Carlos | 0:00:57 | 0:00:30 | 0:02:48 |
| San Mateo | 0:00:53 | 0:00:39 | 0:01:05 |
| Santa Clara | 0:00:51 | 0:00:30 | 0:01:51 |
| South San Francisco | 0:00:53 | 0:00:32 | 0:01:55 |
| Sunnyvale | 0:01:00 | 0:00:34 | 0:01:51 |
| | | | |
| Overall Average | 0:00:54 | 0:00:34 | 0:01:34 |

**Table 12 – Simulated Values with EMU
Dwell Time Improvements
(Without 6% Schedule Margin)**

| | Average | Min | Max |
|---------------------|---------|---------|---------|
| 22nd Street | 0:00:34 | 0:00:25 | 0:00:49 |
| Bayshore | 0:00:36 | 0:00:23 | 0:01:06 |
| Belmont | 0:00:37 | 0:00:26 | 0:01:06 |
| Burlingame | 0:00:31 | 0:00:25 | 0:00:40 |
| California Ave. | 0:00:34 | 0:00:22 | 0:00:45 |
| Hayward Park | 0:00:28 | 0:00:23 | 0:00:34 |
| Hillsdale | 0:00:33 | 0:00:25 | 0:00:43 |
| Lawrence | 0:00:32 | 0:00:24 | 0:00:50 |
| Menlo Park | 0:00:36 | 0:00:26 | 0:00:57 |
| Millbrae | 0:00:35 | 0:00:29 | 0:00:40 |
| Mountain View | 0:00:41 | 0:00:32 | 0:01:02 |
| Palo Alto | 0:00:48 | 0:00:29 | 0:01:20 |
| Redwood City | 0:00:42 | 0:00:29 | 0:01:04 |
| San Antonio | 0:00:31 | 0:00:24 | 0:00:43 |
| San Bruno | 0:00:31 | 0:00:24 | 0:00:36 |
| San Carlos | 0:00:37 | 0:00:23 | 0:01:33 |
| San Mateo | 0:00:35 | 0:00:28 | 0:00:41 |
| Santa Clara | 0:00:34 | 0:00:24 | 0:01:04 |
| South San Francisco | 0:00:35 | 0:00:24 | 0:01:06 |
| Sunnyvale | 0:00:38 | 0:00:26 | 0:01:04 |
| | | | |
| Overall Average | 0:00:36 | 0:00:22 | 0:01:33 |

JPB/CAHSR Blended Operations Studies Prototypical Schedule

Table 3: Peak 60 Minutes Northbound Service – AM Simulated Schedule

| Train: | 416 | 418 | 420 | 422 | 424 | 426 |
|-----------------------------|-------|-------|-------|-------|-------|-------|
| Tamien Station | | 7:02a | | | 7:32a | |
| San Jose Diridon Station | 7:00a | 7:10a | 7:20a | 7:30a | 7:40a | 7:50a |
| College Park Station* | | | | | | |
| Santa Clara Station | 7:05a | | | 7:35a | | |
| Lawrence Station | | 7:18a | | | 7:48a | |
| Sunnyvale Station | 7:11a | 7:21a | 7:30a | 7:41a | 7:51a | 8:00a |
| Mountain View Station | 7:16a | 7:26a | 7:35a | 7:46a | 7:56a | 8:05a |
| San Antonio Station | | | 7:38a | | | 8:08a |
| California Ave. Station | 7:21a | | | 7:51a | | |
| Palo Alto Station | 7:25a | 7:34a | 7:44a | 7:55a | 8:04a | 8:14a |
| Menlo Park Station | | 7:36a | 7:46a | | 8:06a | 8:16a |
| Atherton Station | 7:28a | | | | | |
| Redwood City Station | 7:32a | 7:43a | 7:51a | 8:01a | 8:13a | 8:21a |
| San Carlos Station | | | 7:54a | | | 8:24a |
| Belmont Station | | 7:47a | | | 8:17a | |
| Hillsdale Station | 7:39a | 7:50a | 7:58a | 8:08a | 8:20a | 8:28a |
| Hayward Park Station | | | 8:00a | | | |
| San Mateo Station | 7:42a | 7:53a | | 8:11a | 8:23a | |
| Burlingame Station | | 7:56a | | | 8:26a | |
| Broadway Station | | | | 8:15a | | |
| Millbrae Station | 7:50a | 8:01a | 8:08a | 8:19a | 8:31a | 8:37a |
| San Bruno Station | | | 8:12a | | | 8:41a |
| South San Francisco Station | 7:57a | | | 8:26a | | |
| Bayshore Station | | | | | | 8:45a |
| 22nd Street Station | | | 8:19a | | | |
| 4th & King Station | 8:04a | 8:14a | 8:23a | 8:33a | 8:44a | 8:52a |

*Schedule to be determined

This is a prototypical schedule and was developed as a modeling input only. Additional service plans and schedule options will be developed and considered in subsequent stages of the planning process

Table 4: Peak 60 Minutes Southbound Service – AM Simulated Schedule

| Train: | 417 | 419 | 421 | 423 | 425 | 427 |
|-----------------------------|-------|-------|-------|-------|-------|-------|
| 4th & King Station | 7:00a | 7:10a | 7:20a | 7:30a | 7:40a | 7:50a |
| 22nd Street Station | 7:05a | 7:15a | 7:25a | 7:35a | 7:45a | 7:55a |
| Bayshore Station | | 7:19a | | | | |
| South San Francisco Station | | | | 7:43a | | |
| San Bruno Station | | 7:27a | | | 7:56a | |
| Millbrae Station | 7:18a | 7:30a | 7:38a | 7:49a | 7:59a | 8:08a |
| Broadway Station | | | | | | 8:11a |
| Burlingame Station | | 7:34a | | | 8:03a | |
| San Mateo Station | | 7:37a | 7:44a | | 8:06a | 8:15a |
| Hayward Park Station | | 7:39a | | | | |
| Hillsdale Station | 7:27a | 7:42a | | 7:58a | 8:10a | |
| Belmont Station | | | 7:49a | | | 8:20a |
| San Carlos Station | 7:30a | 7:45a | | 8:01a | 8:13a | |
| Redwood City Station | | 7:51a | 7:56a | | 8:19a | 8:27a |
| Atherton Station | | | | | 8:22a | |
| Menlo Park Station | 7:39a | | 8:00a | 8:10a | | 8:31a |
| Palo Alto Station | 7:42a | 7:57a | 8:03a | 8:13a | 8:26a | 8:34a |
| California Ave. Station | | | 8:06a | | | 8:37a |
| San Antonio Station | 7:47a | | | 8:18a | | |
| Mountain View Station | 7:51a | 8:05a | 8:12a | 8:22a | 8:34a | 8:43a |
| Sunnyvale Station | | | 8:16a | | | 8:47a |
| Lawrence Station | 7:57a | | | 8:28a | | |
| Santa Clara Station | 8:02a | | | 8:33a | | |
| College Park Station* | | | | | | |
| San Jose Diridon Station | 8:07a | 8:18a | 8:29a | 8:38a | 8:47a | 9:00a |
| Tamien Station | 8:14a | | 8:36a | | 8:54p | |

*Schedule to be determined

This is a prototypical schedule and was developed as a modeling input only. Additional service plans and schedule options will be developed and considered in subsequent stages of the planning process

Infrastructure Baseline

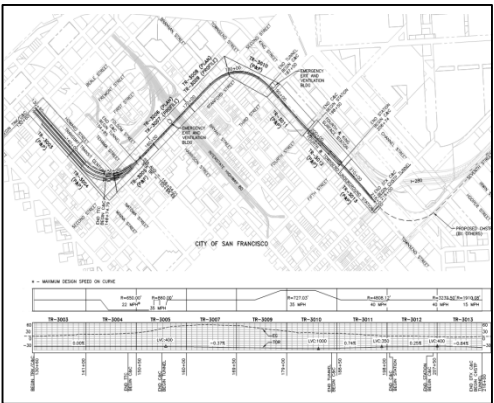
- Existing track layout together with Caltrain identified capital program improvements
- Speed Limits adapted using PB-Supplied Curve Straightening Exercise (using 6" Super-Elevation / 3" Un-balanced)
- Infrastructure adapted using TJPA DTX Track Plan (Oct 2011)



CTC Schematic

| Start MP | End MP | Distance ft. | Existing | | | Increase | | | Proposed | | | Un-balanced SE for 75mph, 110mph | Existing Number of tracks |
|----------|--------|-----------------|------------|---------------|--------------|------------|---------------|--------------|------------|---------------|--------------|--|---------------------------------|
| | | | SE inch | Spiral ft. | Speed mph | SE inch | Spiral ft. | Speed mph | SE inch | Spiral ft. | Speed mph | | |
| 0.53 | 0.70 | 897.6 | 1.75 | 62 | 25 | 2.25 | 82 | 5 | 4 | 144 | 30 | 2.77 | 3 |
| 1.13 | 1.30 | 897.6 | 3.5 | 216 | 40 | 1.75 | 67 | 5 | 5.25 | 283 | 45 | 2.90 | 2 |
| 1.70 | 1.96 | 1372.8 | 0.75 | 75 | 75 | 0 | 0 | 0 | 0.75 | 75 | 75 | 2.82 | 2 |
| 3.93 | 4.34 | 1326.8 | 1.5 | 190 | 79 | 4 | 536 | 31 | 5.5 | 726 | 110 | 3.03 | 2 |
| 4.88 | 4.94 | 336.8 | 0.5 | 50 | 65 | 0 | 0 | 0 | 0.5 | 50 | 65 | 2.46 | 2 |
| 5.00 | 5.17 | 897.6 | 0.75 | 260 | 65 | 2.5 | 0 | 0 | 3.25 | 260 | 65 | 2.91 | 4 |
| 5.93 | 6.73 | 4224.0 | 1.5 | 150 | 79 | 4 | 576 | 31 | 5.5 | 726 | 110 | 2.87 | 4 |
| 7.05 | 7.11 | 316.8 | 0 | 0 | 79 | 1.25 | 165 | 31 | 1.25 | 165 | 110 | 0.87 | 2 |
| 7.17 | 7.23 | 316.8 | 0 | 0 | 79 | 1.25 | 165 | 31 | 1.25 | 165 | 110 | 0.87 | 2 |
| 7.38 | 7.91 | 2798.4 | 5.5 | 556 | 79 | 0.5 | 0 | 0 | 6 | 556 | 79 | 3.73 | 2 |
| 7.91 | 8.09 | 950.4 | 5.5 | 485 | 70 | 0.5 | 0 | 0 | 6 | 485 | 70 | 1.03 | 2 |

Curve Straightening Exercise



Key Map with Speed and Elevation Profile

Caltrain EMU Rolling Stock: Base Assumptions

The Stadler EMU KISS DD was used as the base Caltrain EMU (6-coach study reference EMU) in the first iteration of conceptual planning.

During the refinement process, various EMU models were tested including the TWINDEXX family of Bombardier Transportation against the RFP requirement: The only EMU that met the All Stop 423 and LIM Type A 227 trip time requirement was the Stadler EMU KISS DD 4-coach option (8 axel powered) trainset.

It was ultimately decided to adapt an 8-coach TWINDEXX EMU with 10 MW performance for use in the study. This trainset slightly underperforms the RFP – which is more conservative and enables planning with greater confidence in scheduling.

| Minimal required travel times [hh:mm:ss] | Operating Speed | All Stops 423 | LIM Type A 227 | LIM Type B 225 |
|---|------------------------|------------------|----------------------|----------------------|
| RFP Requirement | 79 mph Initial Service | 00:57:39 | 00:50:09 | 00:46:45 |
| 6-coach study reference EMU | 79 mph Initial Service | 01:00:06 | 00:52:06 | 00:48:42 |
| 4-coach option (8 axle powered) | 79 mph Initial Service | 00:56:42 | 00:49:54 | 00:47:06 |
| 8-coach option (TWINDEXX) | 79 mph Initial Service | 00:59:06 | 00:51:24 | 00:48:12 |
| 8-coach 10 MW (TWINDEXX) | 79 mph Initial Service | 00:58:36 | 00:51:12 | 00:48:06 |
| RFP Requirement | 110 mph Final Service | 00:56:44 | 00:47:21 | 00:42:41 |
| 6-coach reference EMU | 110 mph Final Service | 00:58:48 | 00:49:24 | 00:45:24 |
| 4-coach option (8 axle powered) | 110 mph Final Service | 00:54:18 | 00:46:06 | 00:42:54 |
| 8-coach option (TWINDEXX) | 110 mph Final Service | 00:57:12 | 00:48:12 | 00:44:48 |
| 8-coach 10 MW (TWINDEXX) | 110 mph Final Service | 00:56:48 | 00:47:48 | 00:44:06 |

Headway and Separation Times

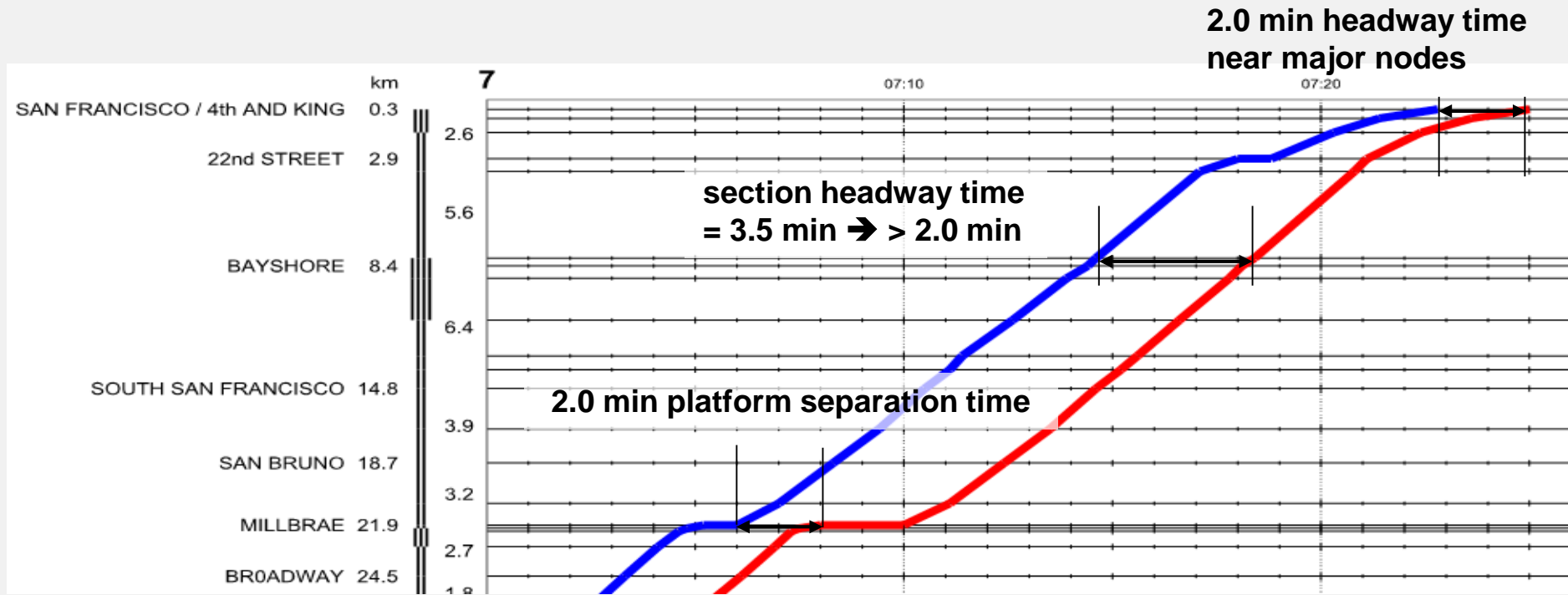
Three headway and separation time limits are defined:

Section headway: time between two trains traveling along the corridor at the same time

Platform separation time: time between departure of first train and arrival of second train at the same platform

Headway near major junctions or nodes: time between two trains as they near major nodes like a terminal station.

Sample EXP – HSR headway times



Joint Schedule Working Group

Communication Based Overlay Signaling System (CBOSS)

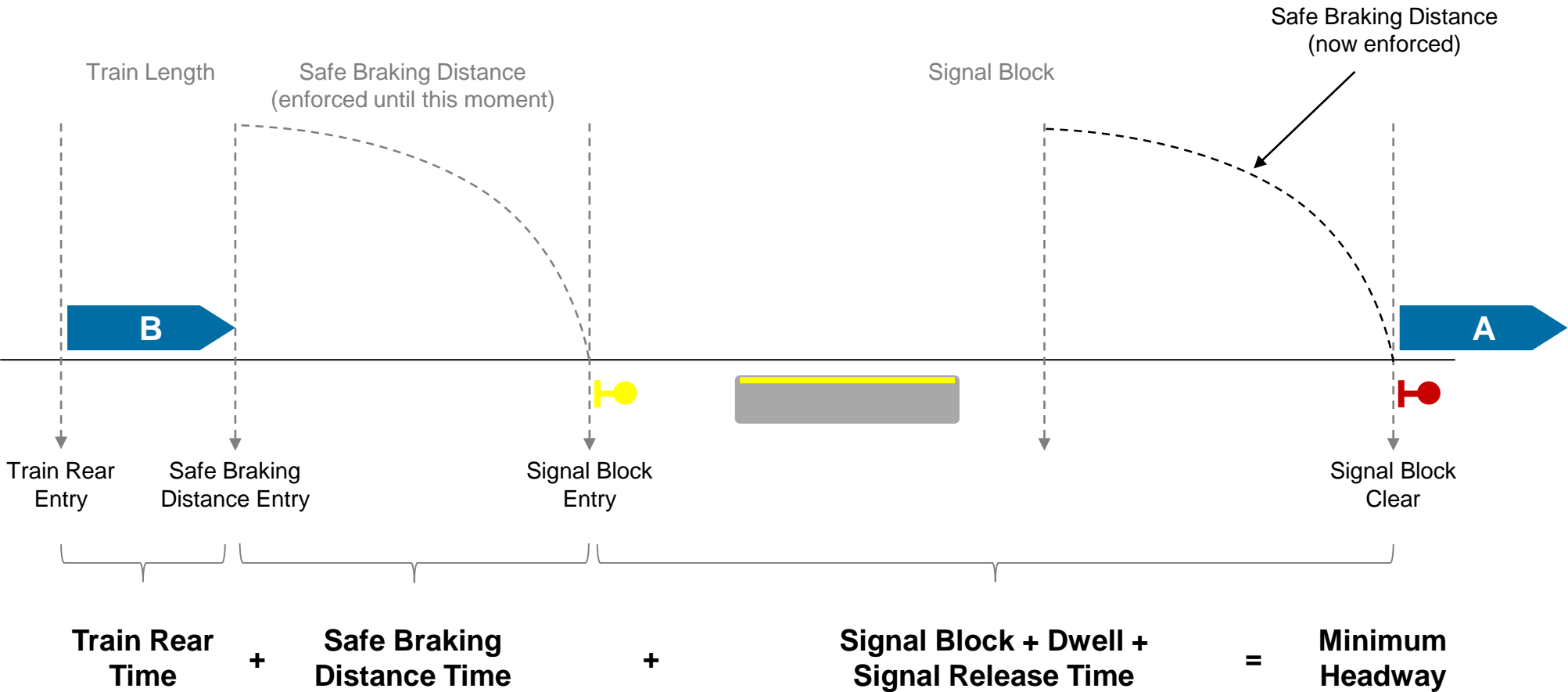
Capacity and capability assessment

Assessment Process Coordination

- September 9:** Participated in a **CBOSS Capabilities Conference Call** with Caltrain/LTK to gain an understanding of anticipated CBOSS signal headway capabilities for 2029 so we could accurately conceptualize the system capabilities in the scheduling process.
- September 19:** Participated in a second **CBOSS Capabilities Conference Call** where SMA explained to LTK the CBOSS signal headway estimation workbook calculations and methodology. LTK confirmed the methodology was sound and requested minor adjustments to the headway estimation workbook calculations (modification in train length and brake rate).
- October 6:** Finalized headway estimations and applied headway line to no additional overtake tracks, 2'²/3' headway concept.
- Found only minor headway infractions in the concept that could easily be resolved by reallocating supplemental time.**

Signal Headway Calculation Diagram

This diagram depicts the very moment that train A clears the signal block and the signal in front of that block changes from red to something better than red.



Steps

- Signal blocks were defined in Viriato using Caltrain signal aspect chart (Aug 27, 2015)
- Slight modifications (-.2) were made to signal block MPs to adjust for new Caltrain track chart alignment
- Using Viriato train performance diagrams, which outputs the speed and location of the train by second, the following was defined:
 - The safe braking distance (SBD) at each signal
 - The second at which the train crosses the SBD threshold
 - The second at which the train enters the signal block
 - The second at which the train clears the signal block
 - The second at which the train is one train length away from the SBD – this is called this the “Train Rear Time” (Viriato calculates from the center of the train, thus, the length of the train was added to the SBD)

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Signal Headway Workbook

Signal Headway Workbook contains the estimated headway at each signal for all Caltrain and HSR stopping patterns

Signal Block Start and End

| | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | |
|----|--------------------------------|-------|--------------------------------|-------|-------------------------|-------|---------------|----------|------------------------|-----------------------|----------------------|----------------|------------------------|-----------------|------------------|---------------------------------|------------------|---------------------|---------------------|--|
| | | MP | Signal Block End | MP | Station in Signal Block | MP | Distance (ft) | SBD (mi) | Train Rear Entry (sec) | Train Rear Time (sec) | SBD Entry Time (sec) | SBD Time (sec) | Block Entry Time (sec) | Exit Time (sec) | Block Time (sec) | Signal Block Release Time (sec) | Dwell Time (sec) | Total Headway (sec) | Total Headway (min) | |
| 1 | Signal Block Start | MP | Signal Block End | MP | Station in Signal Block | MP | Distance (ft) | SBD (mi) | Train Rear Entry (sec) | Train Rear Time (sec) | SBD Entry Time (sec) | SBD Time (sec) | Block Entry Time (sec) | Exit Time (sec) | Block Time (sec) | Signal Block Release Time (sec) | Dwell Time (sec) | Total Headway (sec) | Total Headway (min) | |
| 2 | SAN FRANCISCO | 0.00 | | | San Francisco | | | | | | | | | | | | 0 | | | |
| 3 | NL Sig CP 4th Street | 0.25 | Int Sig SB CP 4th Street | 0.40 | | | 790 | 0.05 | 4 | 32 | 36 | 12 | 48 | 75.00 | 27.00 | 14.00 | 0 | 85.00 | 1.4 | |
| 4 | Int Sig SB CP 4th Street | 0.40 | NL Sig CP Common | 0.64 | | | 1270 | 0.05 | 41 | 25 | 66 | 9 | 75 | 109.00 | 34.00 | 14.00 | 0 | 82.00 | 1.4 | |
| 5 | NL Sig CP Common | 0.64 | Int Sig 16 | 1.73 | 22nd Street | 1.61 | 5760 | 0.1 | 77 | 20 | 97 | 12 | 109 | 228.25 | 119.25 | 14.00 | 34 | 199.25 | 3.3 | |
| 6 | Int Sig 16 | 1.73 | NL Sig CP Army | 1.99 | | | 1355 | 0.1 | 181.75 | 16 | 197.75 | 30.5 | 228.25 | 248.25 | 20.00 | 14.00 | 0 | 80.50 | 1.3 | |
| 7 | NL Sig CP Army | 1.99 | Int Sig 31/32 (27/28) | 2.92 | | | 4910 | 0.37 | 180.75 | 14.5 | 195.25 | 53 | 248.25 | 291.25 | 43.00 | 14.00 | 0 | 124.50 | 2.1 | |
| 8 | Int Sig 31/32 (27/28) | 2.92 | Int Sig 41/42 (37/38) | 3.87 | | | 5047 | 0.92 | 240.25 | 9 | 249.25 | 42 | 291.25 | 324.75 | 33.50 | 14.00 | 0 | 98.50 | 1.6 | |
| 9 | Int Sig 41/42 (37/38) | 3.87 | NL Sig CP Tunnel | 4.79 | | | 4854 | 1.23 | 275.25 | 5 | 280.25 | 44.5 | 324.75 | 365.00 | 40.25 | 14.00 | 0 | 103.75 | 1.7 | |
| 10 | NL Sig CP Tunnel | 4.79 | NL Sig CP Geneva | 5.33 | Bayshore | 5.06 | 2842 | 1.23 | 310.25 | 4 | 314.25 | 50.75 | 365 | 435.00 | 70.00 | 14.00 | 36 | 174.75 | 2.9 | |
| 11 | NL Sig CP Geneva | 5.33 | Int Sig 63/64 (61/62) | 6.14 | | | 4267 | 0.31 | 371.5 | 12 | 383.5 | 51.5 | 435 | 475.00 | 40.00 | 14.00 | 0 | 117.50 | 2 | |
| 12 | Int Sig 63/64 (61/62) | 6.14 | NL Sig CP Brisbane | 6.80 | | | 3464 | 0.83 | 422 | 12 | 434 | 41 | 475 | 500.50 | 25.50 | 14.00 | 0 | 92.50 | 1.5 | |
| 13 | NL Sig CP Brisbane | 6.80 | NL Sig CP Sierra | 7.93 | | | 5972 | 1.02 | 453 | 7 | 460 | 40.5 | 500.5 | 550.50 | 50.00 | 14.00 | 0 | 111.50 | 1.9 | |
| 14 | NL Sig CP Sierra | 7.93 | Int Sig 91/92 (89/90) | 9.00 | | | 5665 | 0.65 | 515.5 | 6 | 521.5 | 29 | 550.5 | 595.25 | 44.75 | 14.00 | 0 | 93.75 | 1.6 | |
| 15 | Int Sig 91/92 (89/90) | 9.00 | Int Sig 99/98 (97/98) | 9.85 | South San Francisco | 9.10 | 4500 | 1.02 | 547.5 | 6 | 553.5 | 41.75 | 595.25 | 631.75 | 36.50 | 14.00 | 0 | 98.25 | 1.6 | |
| 16 | Int Sig 99/98 (97/98) | 9.85 | NL Sig CP Scott | 10.27 | | | 2207 | 0.92 | 587.25 | 5 | 592.25 | 39.5 | 631.75 | 648.25 | 16.50 | 14.00 | 0 | 75.00 | 1.3 | |
| 17 | NL Sig CP Scott | 10.27 | Int Sig 115/114 (113/114) | 11.32 | San Bruno | 11.00 | 5539 | 1.02 | 600.75 | 5 | 605.75 | 42.5 | 648.25 | 745.25 | 97.00 | 14.00 | 31 | 189.50 | 3.2 | |
| 18 | Int Sig 115/114 (113/114) | 11.32 | Int Sig 123/122 (121/122) | 12.19 | | | 4576 | 0.37 | 677.25 | 11.5 | 688.75 | 56.5 | 745.25 | 785.50 | 40.25 | 14.00 | 0 | 122.25 | 2 | |
| 19 | Int Sig 123/122 (121/122) | 12.19 | NL Sig CP Center | 12.68 | | | 2594 | 0.92 | 730.25 | 11 | 741.25 | 44.25 | 785.5 | 806.50 | 21.00 | 14.00 | 0 | 90.25 | 1.5 | |
| 20 | NL Sig CP Center | 12.68 | SL Sig CP Bart Int Sig 137/138 | 13.53 | Millbrae | 13.56 | 4510 | 0.92 | 761.25 | 6 | 767.25 | 39.25 | 806.5 | 856.00 | 49.50 | 14.00 | 35 | 143.75 | 2.4 | |
| 21 | SL Sig CP Bart Int Sig 137/138 | 13.53 | NL Sig CP Trousdale | 14.02 | | | 2570 | 0.74 | 805.5 | 6 | 811.5 | 44.5 | 856 | 919.50 | 63.50 | 14.00 | 0 | 128.00 | 2.1 | |
| 22 | NL Sig CP Trousdale | 14.02 | Int Sig 151/152 (149/150) | 15.04 | | | 5394 | 0.43 | 848.5 | 16 | 864.5 | 55 | 919.5 | 963.50 | 44.00 | 14.00 | 0 | 129.00 | 2.2 | |
| 23 | Int Sig 151/152 (149/150) | 15.04 | Int Sig 159/160 (157/158) | 15.85 | Broadway | 15.13 | 4290 | 1.02 | 911.5 | 8 | 919.5 | 44 | 963.5 | 996.75 | 33.25 | 14.00 | 0 | 99.25 | 1.7 | |
| 24 | Int Sig 159/160 (157/158) | 15.85 | Int Sig 169/170 (167/168) | 16.82 | Burlingame | 16.23 | 5098 | 1.12 | 946.5 | 5 | 951.5 | 45.25 | 996.75 | 1092.75 | 96.00 | 14.00 | 32 | 192.25 | 3.2 | |
| 25 | Int Sig 169/170 (167/168) | 16.82 | Int Sig 175/176 | 17.50 | San Mateo | 17.60 | 3629 | 0.58 | 1014.25 | 14 | 1028.25 | 64.5 | 1092.75 | 1132.50 | 39.75 | 14.00 | 35 | 167.25 | 2.8 | |
| 26 | Int Sig 175/176 | 17.50 | NL Sig CP Palm | 17.92 | | | 2205 | 0.65 | 1087.75 | 7 | 1094.75 | 37.75 | 1132.5 | 1193.50 | 61.00 | 14.00 | 0 | 119.75 | 2 | |
| 27 | NL Sig CP Palm | 17.92 | Int Sig 187/188 (185/186) | 18.65 | | | 3859 | 0.31 | 1130.5 | 14.5 | 1145 | 48.5 | 1193.5 | 1232.50 | 39.00 | 14.00 | 0 | 116.00 | 1.9 | |
| 28 | Int Sig 187/188 (185/186) | 18.65 | Int Sig 193/194 | 19.23 | Hayward Park | 18.93 | 3034 | 0.65 | 1190.5 | 8 | 1198.5 | 34 | 1232.5 | 1306.00 | 73.50 | 14.00 | 29 | 158.50 | 2.6 | |
| 29 | Int Sig 193/194 | 19.23 | Int Sig 199/200 (197/198) | 19.76 | | | 2828 | 0.31 | 1242 | 13 | 1255 | 51 | 1306 | 1335.00 | 29.00 | 14.00 | 0 | 107.00 | 1.8 | |
| 30 | Int Sig 199/200 (197/198) | 19.76 | Int Sig 203/204 | 20.38 | Hillsdale | 20.14 | 3240 | 0.65 | 1268.5 | 28.5 | 1297 | 38 | 1335 | 1410.00 | 75.00 | 14.00 | 33 | 188.50 | 3.1 | |
| 31 | Int Sig 203/204 | 20.38 | Int Sig 211/212 (209/210) | 21.05 | | | 3563 | 0.26 | 1349.5 | 12.5 | 1362 | 48 | 1410 | 1445.00 | 35.00 | 14.00 | 0 | 109.50 | 1.8 | |
| 32 | Int Sig 211/212 (209/210) | 21.05 | NL Sig CP Ralston | 21.43 | | | 1975 | 0.74 | 1373.5 | 30.5 | 1404 | 41 | 1445 | 1461.00 | 16.00 | 14.00 | 0 | 101.50 | 1.7 | |
| 33 | NL Sig CP Ralston | 21.43 | Int Sig 223/222 (221/222) | 22.15 | Belmont | 21.83 | 3825 | 0.92 | 1410 | 8 | 1418 | 43 | 1461 | 1493.00 | 32.00 | 14.00 | 0 | 97.00 | 1.6 | |
| 34 | Int Sig 223/222 (221/222) | 22.15 | Int Sig 229/230 (227/228) | 22.83 | | | 3588 | 0.83 | 1451 | 5.5 | 1456.5 | 36.5 | 1493 | 1524.75 | 31.75 | 14.00 | 0 | 87.75 | 1.5 | |
| 35 | Int Sig 229/230 (227/228) | 22.83 | NL Sig CP Brittan | 23.53 | San Carlos | 23.09 | 3718 | 0.92 | 1477 | 6 | 1483 | 41.75 | 1524.75 | 1606.25 | 81.50 | 14.00 | 37 | 180.25 | 3 | |
| 36 | NL Sig CP Brittan | 23.53 | Int Sig 249/248 (247/248) | 24.72 | | | 6270 | 0.43 | 1535.75 | 14.5 | 1550.25 | 56 | 1606.25 | 1657.75 | 51.50 | 14.00 | 0 | 136.00 | 2.3 | |
| 37 | Int Sig 249/248 (247/248) | 24.72 | Int Sig 255/256 (254) | 25.42 | Redwood City | 25.30 | 3705 | 1.02 | 1608.25 | 6 | 1614.25 | 43.5 | 1657.75 | 1732.25 | 74.50 | 14.00 | 42 | 180.00 | 3 | |
| 38 | Int Sig 255/256 (254) | 25.42 | NL Sig CP Dumbarton | 25.92 | | | 2600 | 0.1 | 1686.25 | 16 | 1702.25 | 30 | 1732.25 | 1773.25 | 41.00 | 14.00 | 0 | 101.00 | 1.7 | |
| 39 | NL Sig CP Dumbarton | 25.92 | NL Sig CP Junction | 27.00 | | | 5734 | 0.37 | 1731.25 | 12 | 1743.25 | 30 | 1773.25 | 1904.25 | 131.00 | 14.00 | 0 | 187.00 | 3.1 | |
| 40 | NL Sig CP Junction | 27.00 | Int Sig 277/276 (275/276) | 27.62 | | | 3260 | 0.1 | 1876.25 | 16 | 1892.25 | 12 | 1904.25 | 1952.25 | 48.00 | 14.00 | 0 | 90.00 | 1.5 | |
| 41 | Int Sig 277/276 (275/276) | 27.62 | Int Sig 285/286 (283/284) | 28.48 | Atherton | 27.70 | 4550 | 0.58 | 1893.25 | 16 | 1909.25 | 43 | 1952.25 | 1989.25 | 37.00 | 14.00 | 0 | 110.00 | 1.8 | |

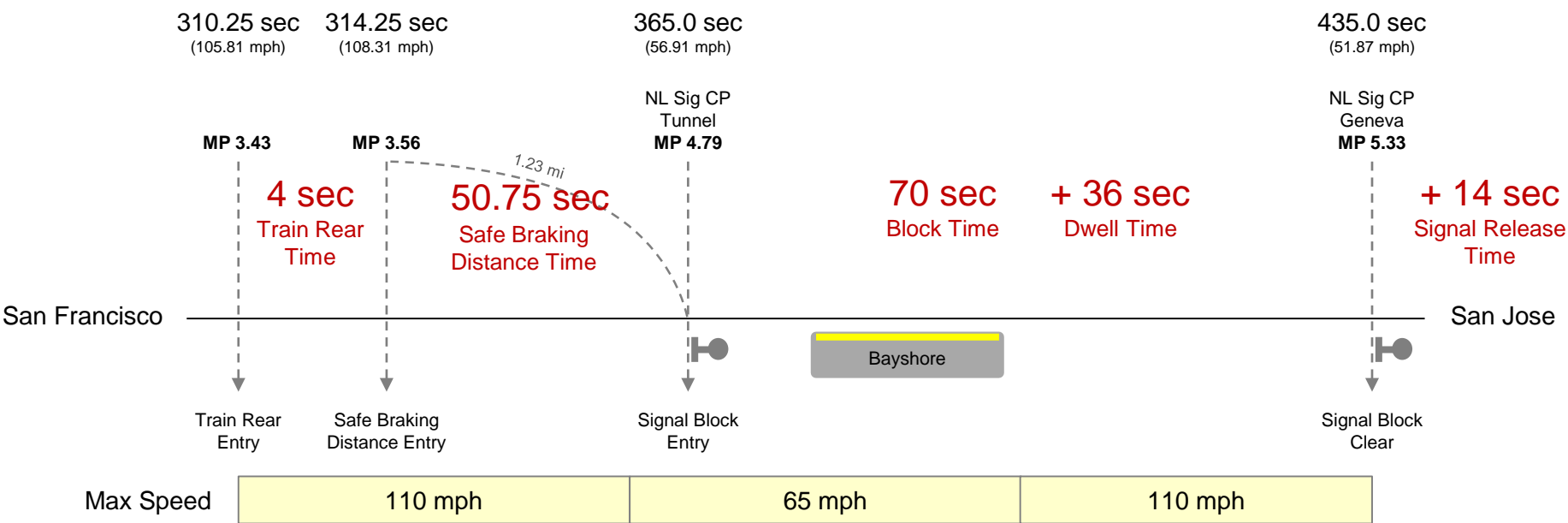
Headway

Tab for Each
Stopping Pattern

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Signal Headway Calculation Detailed

| | A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S |
|----|--------------------------|-------|---------------------------|-------|-------------------------|-------|---------------|----------|------------------------|-----------------------|----------------------|----------------|------------------------|-----------------------|------------------|---------------------------------|------------------|---------------------|---------------------|
| | | MP | Signal Block End | MP | Station in Signal Block | MP | Distance (ft) | SBD (mi) | Train Rear Entry (sec) | Train Rear Time (sec) | SBD Entry Time (sec) | SBD Time (sec) | Block Entry Time (sec) | Block Exit Time (sec) | Block Time (sec) | Signal Block Release Time (sec) | Dwell Time (sec) | Total Headway (sec) | Total Headway (min) |
| 1 | Signal Block Start | | | | San Francisco | | 0.00 | | | | | | | | | | | | |
| 2 | SAN FRANCISCO | 0.00 | | | | | | | | | | | | | | | | | |
| 3 | NL Sig CP 4th Street | 0.25 | Int Sig SB CP 4th Street | 0.40 | | | 790 | 0.05 | 4 | 32 | 36 | 12 | 48 | 75.00 | 27.00 | 14.00 | 0 | 85.00 | 1.4 |
| 4 | Int Sig SB CP 4th Street | 0.40 | NL Sig CP Common | 0.64 | | | 1270 | 0.05 | 41 | 25 | 66 | 9 | 75 | 109.00 | 34.00 | 14.00 | 0 | 82.00 | 1.4 |
| 5 | NL Sig CP Common | 0.64 | Int Sig 16 | 1.73 | 22nd Street | 1.61 | 5760 | 0.1 | 77 | 20 | 97 | 12 | 109 | 228.25 | 119.25 | 14.00 | 34 | 199.25 | 3.3 |
| 6 | Int Sig 16 | 1.73 | NL Sig CP Army | 1.99 | | | 1355 | 0.1 | 181.75 | 16 | 197.75 | 30.5 | 228.25 | 248.25 | 20.00 | 14.00 | 0 | 80.50 | 1.3 |
| 7 | NL Sig CP Army | 1.99 | Int Sig 31/32 (27/28) | 2.92 | | | 4910 | 0.37 | 180.75 | 14.5 | 195.25 | 53 | 248.25 | 291.25 | 43.00 | 14.00 | 0 | 124.50 | 2.1 |
| 8 | Int Sig 31/32 (27/28) | 2.92 | Int Sig 41/42 (37/38) | 3.87 | | | 5047 | 0.92 | 240.25 | 9 | 249.25 | 42 | 291.25 | 324.75 | 33.50 | 14.00 | 0 | 98.50 | 1.6 |
| 9 | Int Sig 41/42 (37/38) | 3.87 | NL Sig CP Tunnel | 4.79 | | | 4854 | 1.23 | 275.25 | 5 | 280.25 | 44.5 | 324.75 | 365.00 | 40.25 | 14.00 | 0 | 103.75 | 1.7 |
| 10 | NL Sig CP Tunnel | 4.79 | NL Sig CP Geneva | 5.33 | Bayshore | 5.06 | 2842 | 1.23 | 310.25 | 4 | 314.25 | 50.75 | 365 | 435.00 | 70.00 | 14.00 | 36 | 174.75 | 2.9 |
| 11 | NL Sig CP Geneva | 5.33 | Int Sig 63/64 (61/62) | 6.14 | | | 4267 | 0.31 | 371.5 | 12 | 383.5 | 51.5 | 435 | 475.00 | 40.00 | 14.00 | 0 | 117.50 | 2 |
| 12 | Int Sig 63/64 (61/62) | 6.14 | NL Sig CP Brisbane | 6.80 | | | 3464 | 0.83 | 422 | 12 | 434 | 41 | 475 | 500.50 | 25.50 | 14.00 | 0 | 92.50 | 1.5 |
| 13 | NL Sig CP Brisbane | 6.80 | NL Sig CP Sierra | 7.93 | | | 5972 | 1.02 | 453 | 7 | 460 | 40.5 | 500.5 | 550.50 | 50.00 | 14.00 | 0 | 111.50 | 1.9 |
| 14 | NL Sig CP Sierra | 7.93 | Int Sig 91/92 (89/90) | 9.00 | | | 5665 | 0.65 | 515.5 | 6 | 521.5 | 29 | 550.5 | 595.25 | 44.75 | 14.00 | 0 | 93.75 | 1.6 |
| 15 | Int Sig 91/92 (89/90) | 9.00 | Int Sig 99/98 (97/98) | 9.85 | South San Francisco | 9.10 | 4500 | 1.02 | 547.5 | 6 | 553.5 | 41.75 | 595.25 | 631.75 | 36.50 | 14.00 | 0 | 98.25 | 1.6 |
| 16 | Int Sig 99/98 (97/98) | 9.85 | NL Sig CP Scott | 10.27 | | | 2207 | 0.92 | 587.25 | 5 | 592.25 | 39.5 | 631.75 | 648.25 | 16.50 | 14.00 | 0 | 75.00 | 1.3 |
| 17 | NL Sig CP Scott | 10.27 | Int Sig 115/114 (113/114) | 11.32 | San Bruno | 11.00 | 5539 | 1.02 | 600.75 | 5 | 605.75 | 42.5 | 648.25 | 745.25 | 97.00 | 14.00 | 31 | 189.50 | 3.2 |

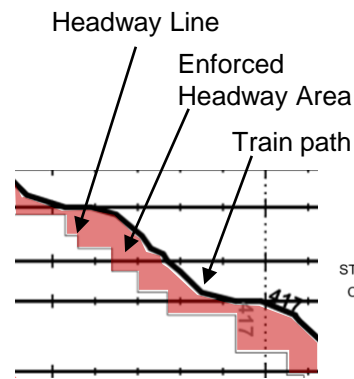


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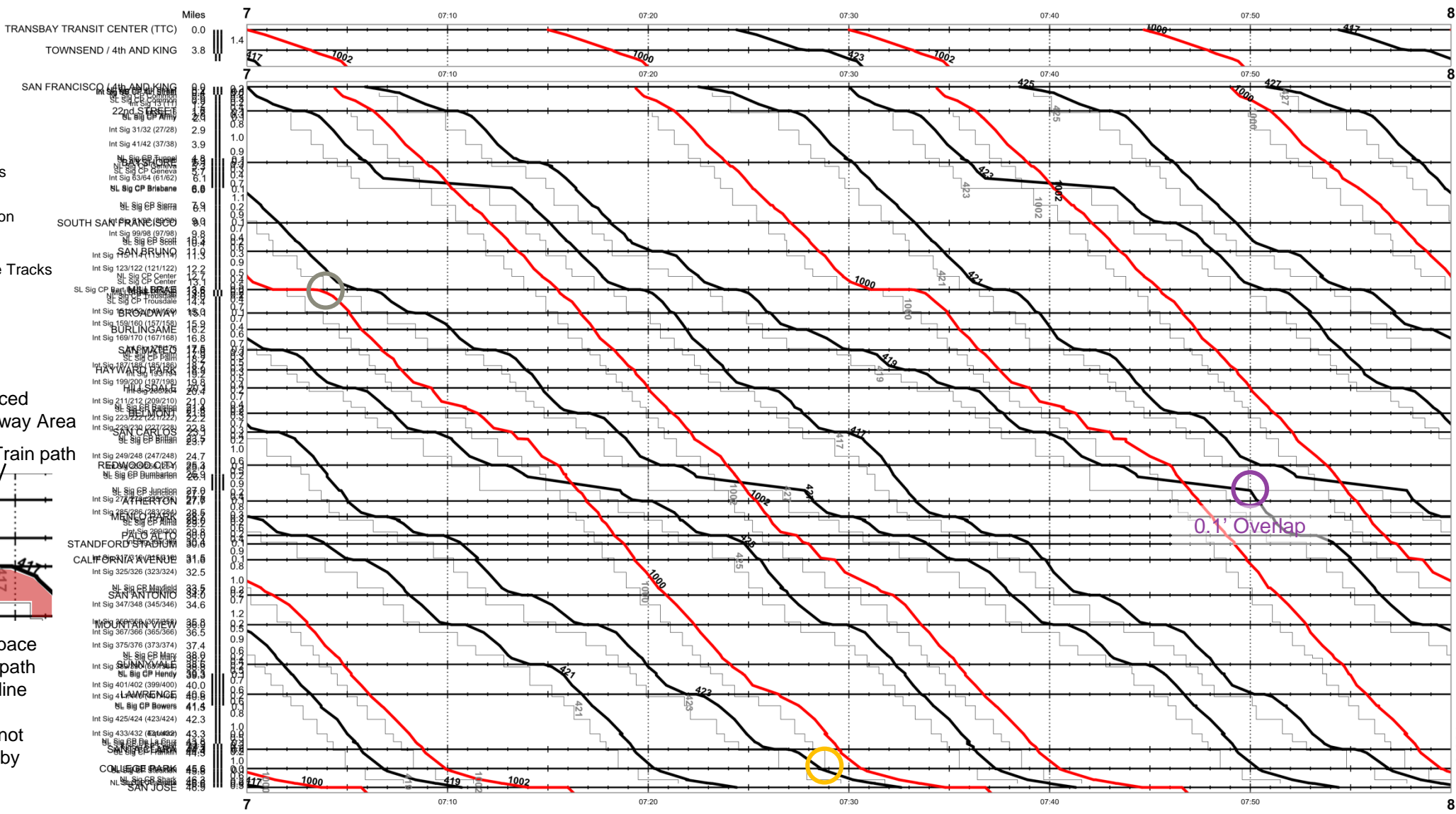
Signal Headway Calculation Application

No additional passing tracks, 2'/'3' Headways - Southbound

- LEGEND**
- HSR
 - Caltrain
 - Headway Line
 - Headway Violations
 - Close, Non-infraction
 - False Violation – Trains on Separate Tracks



The red shaded space between the train path and the headway line is the enforced headway and cannot be impeded upon by another train



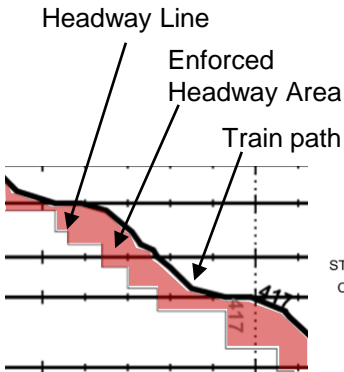
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Not for distribution

Signal Headway Calculation Application

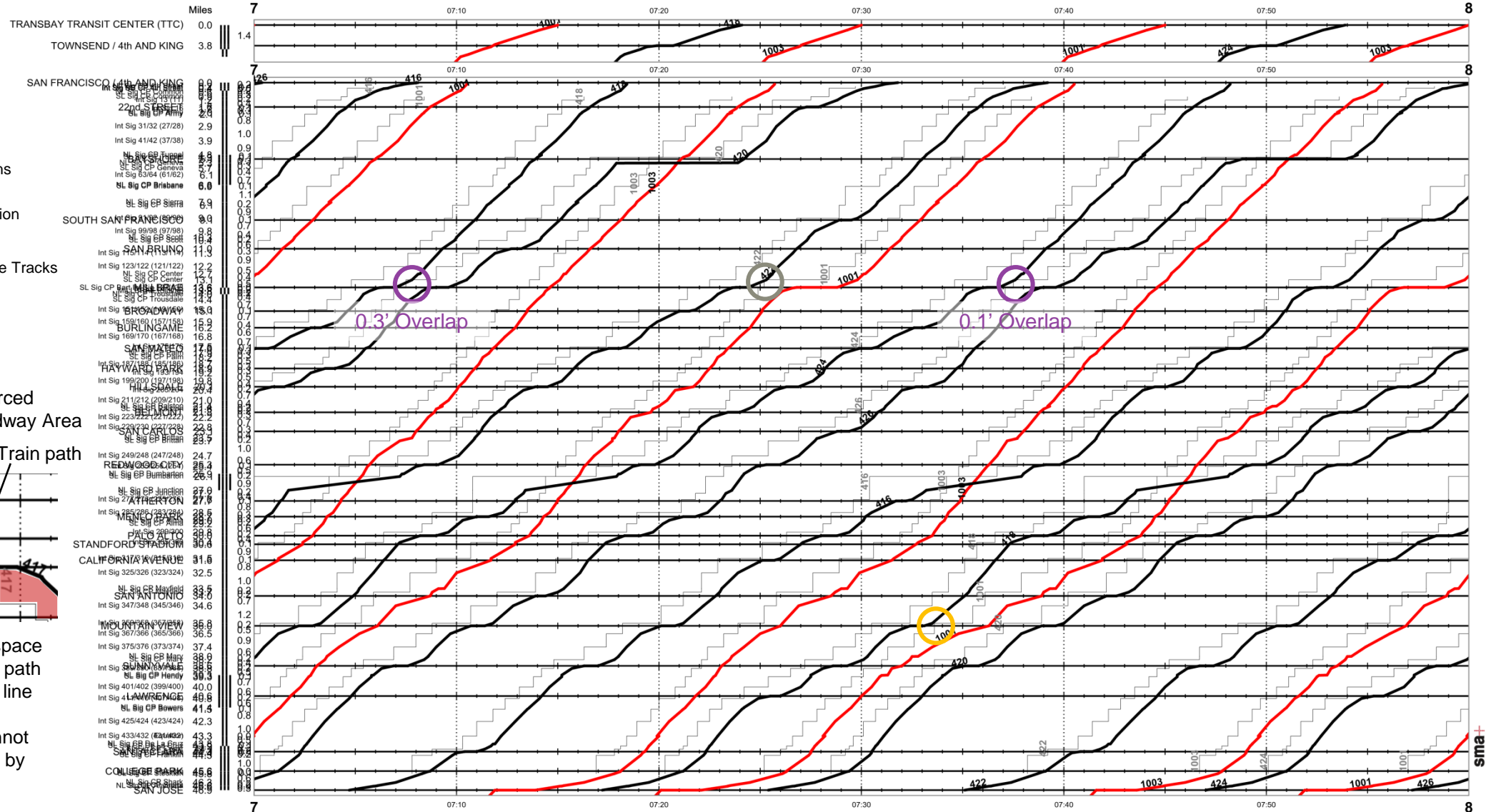
No additional passing tracks, 2'/'3' Headways - Northbound

LEGEND

- HSR
- Caltrain
- Headway Line
- Headway Violations
- Close, Non-infraction
- False Violation –
Trains on Separate Tracks



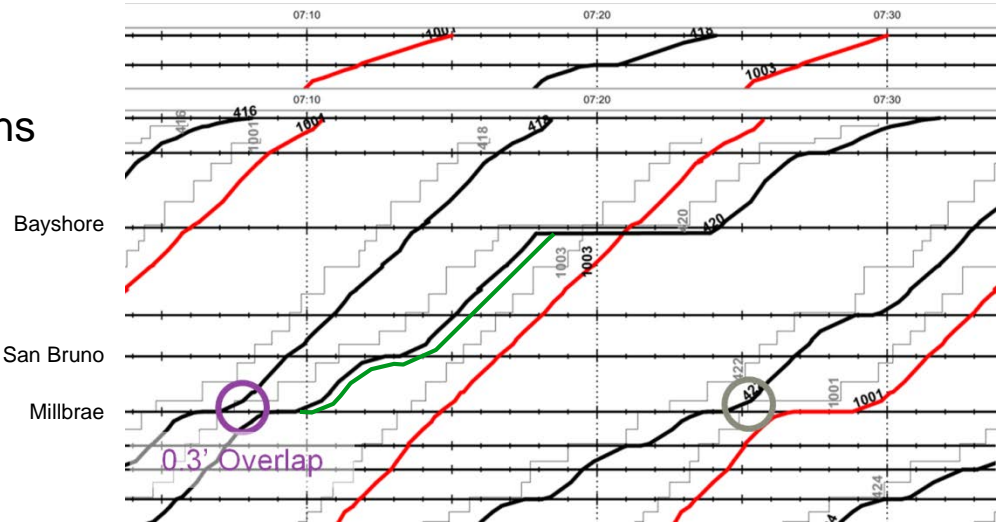
The red shaded space between the train path and the headway line is the enforced headway and cannot be impeded upon by another train



Signal Headway – Violation Explanation

Headway Violations

Instances where a train impedes on another train's headway line, thus violating the enforced headway



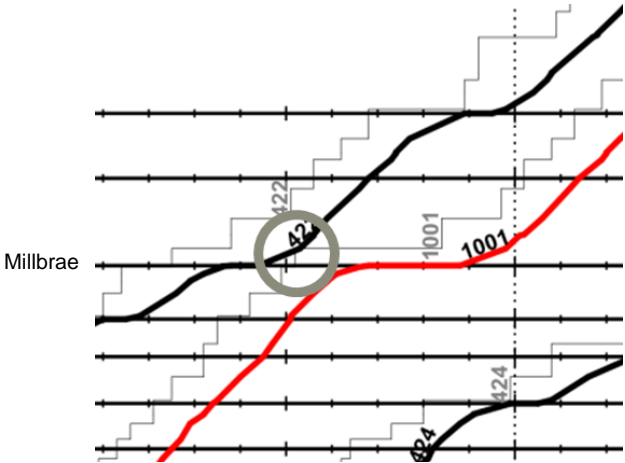
When evaluating the no-build concept headway estimations there were three instances where a train's headway was violated. These infractions ranged between 0.1' and 0.3'. In the example to the right we demonstrate how this infraction could be eliminated through the addition of minimal supplemental run time added to the train.

- The black line represents 420's current train run
- The green line represents a roughly adjusted 420 train run

The adjusted train run results in no headway violation. If the train adds ~.3' in dwell at Millbrae it can continue on a parallel route and arrive at Bayshore ~.3' later than the current schedule without violating any additional headway lines.

False Violation

Instances where it may look like a train violates a headway line, but the two trains are on separate tracks



Close, Non-infraction

Instances where it may look like a train violates a headway line, but the train doesn't actually cross the headway line

In these instances minor adjustments to the train run may be advised in order to improve conceptual robustness





Appendix 3

CBOSS Assessment

Collaboration

Diversity

Excellence

Innovation

Safety

Sustainability



CBOSS Concepts

1.37 Brake Rate

Concept 2.0C

Infrastructure Option:
Baseline with CBOSS headways

DRAFT
Not for distribution

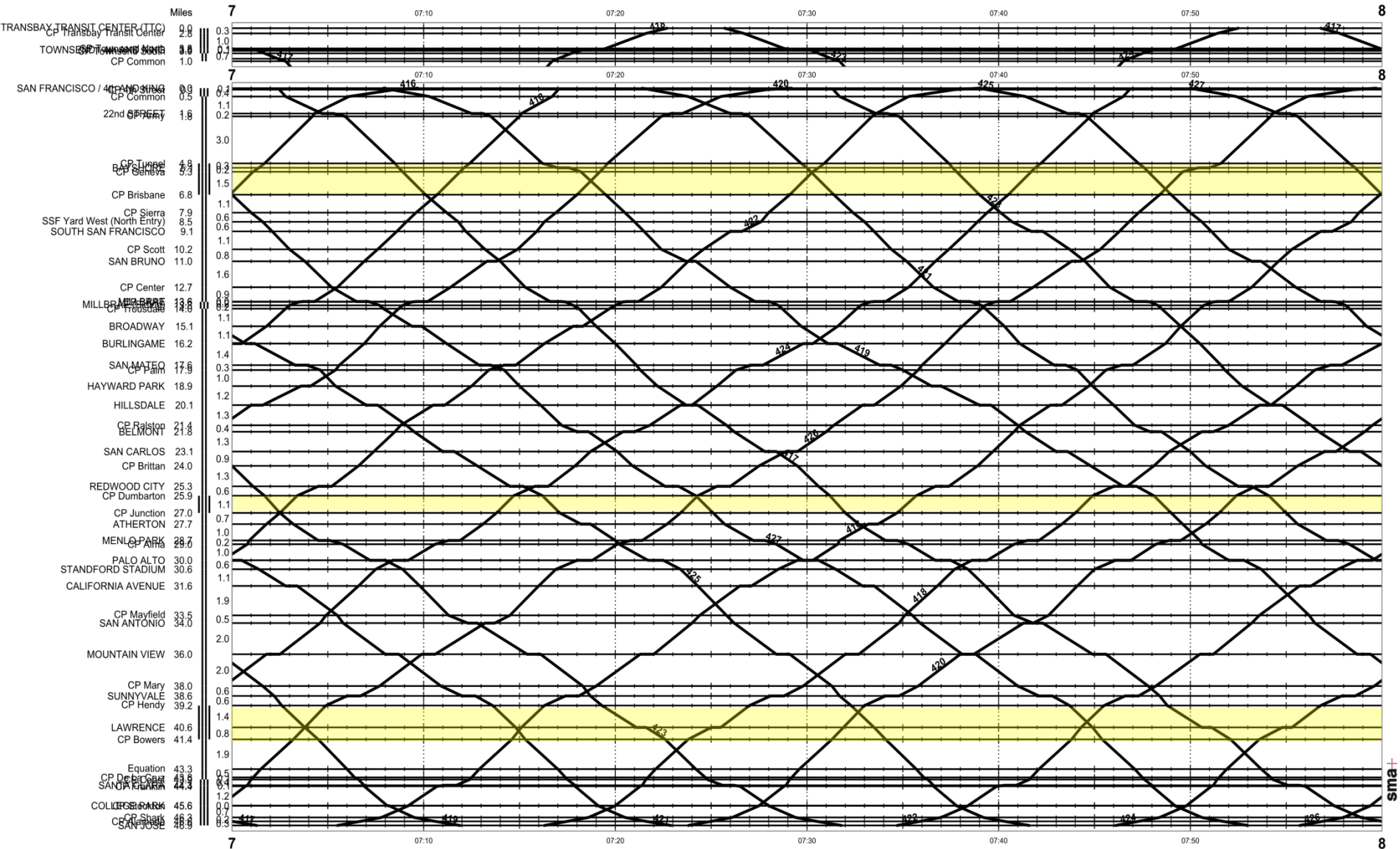
CBOSS 6 CT/0 HSR, 79 MPH – String Line

LEGEND

- HSR
- Caltrain
- New 4-track
- New 3-track
- Current 4-track

Concept 2.0C Attributes

Caltrain Service: skip-stop
HSR Service: interval
Headways: 5', 4'
Speed Limit: 79 mph
Brake Rate: 1.37



DRAFT
Not for distribution

CBOSS 6 CT/0 HSR, 79 MPH

– Service Evaluation

SERVICE INTERVAL

**Southbound Service
Interval at Palo Alto**



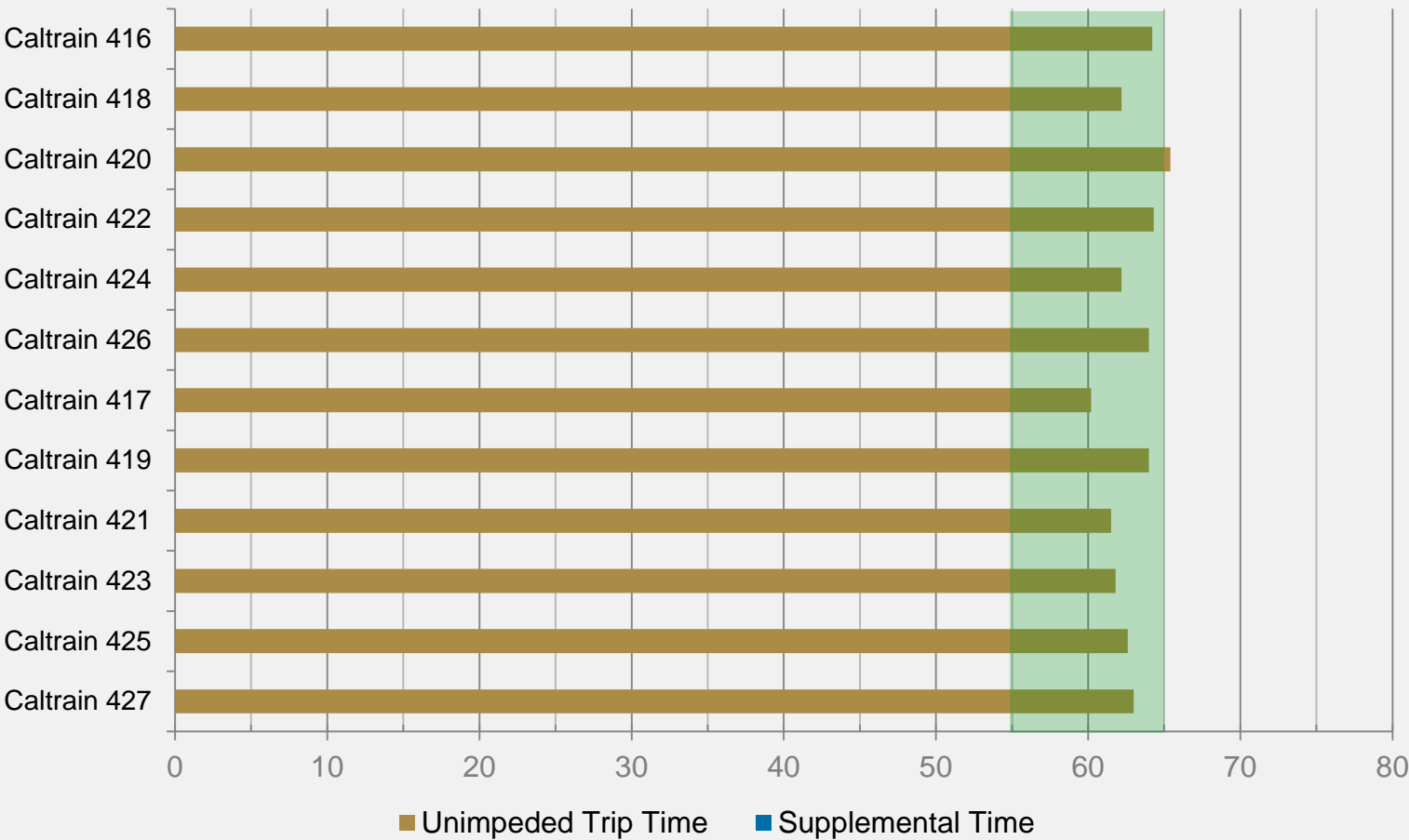
**Northbound Service
Interval at Palo Alto**



AVERAGE TRIP TIME

Caltrain: 63.0 min

TRIP TIME (min)



*All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC

Concept 2.22C:





Infrastructure Option:

No additional passing tracks with CBOSS headways

Not for distribution

CBOSS 6 CT/4 HSR, No Additional Overtake Tracks – String Line Chart

LEGEND

-  HSR
 Caltrain
 New 4-track
 Current 4-track

Concept 2.22C Attributes

Caltrain Service: skip-stop

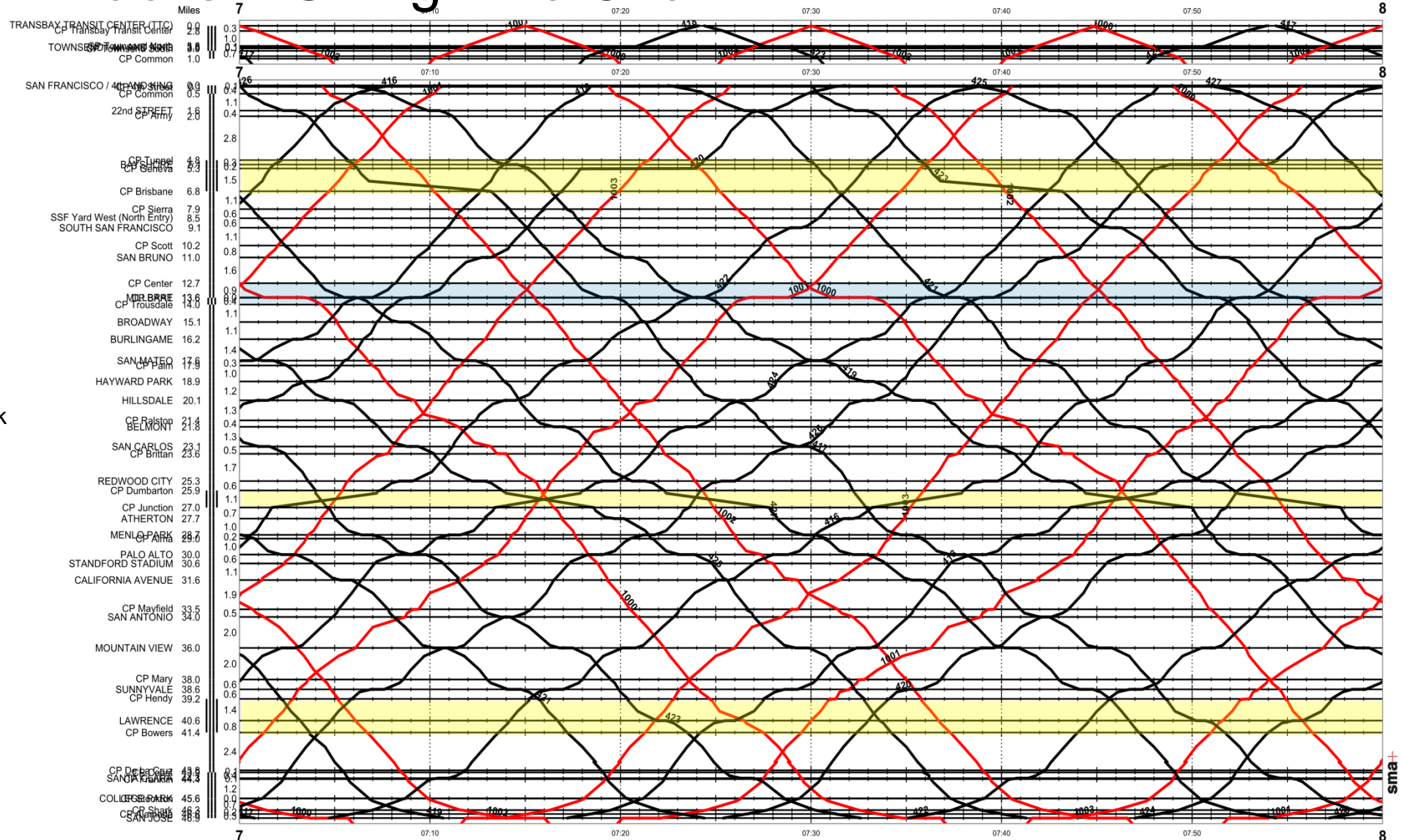
HSR Service: interval

Headways: 3', 2'

Speed Limit: 110 mph

New 4-track: Millbrae 4-track

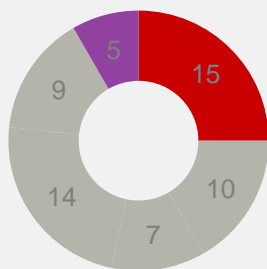
Brake Rate: 1.37



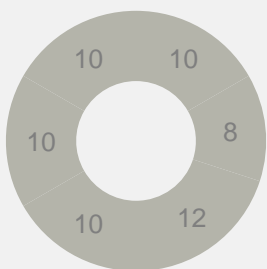
CBOSS 6 CT/4 HSR, No Additional Overtake Tracks – Service Evaluation

SERVICE INTERVAL

Southbound Service Interval at Palo Alto



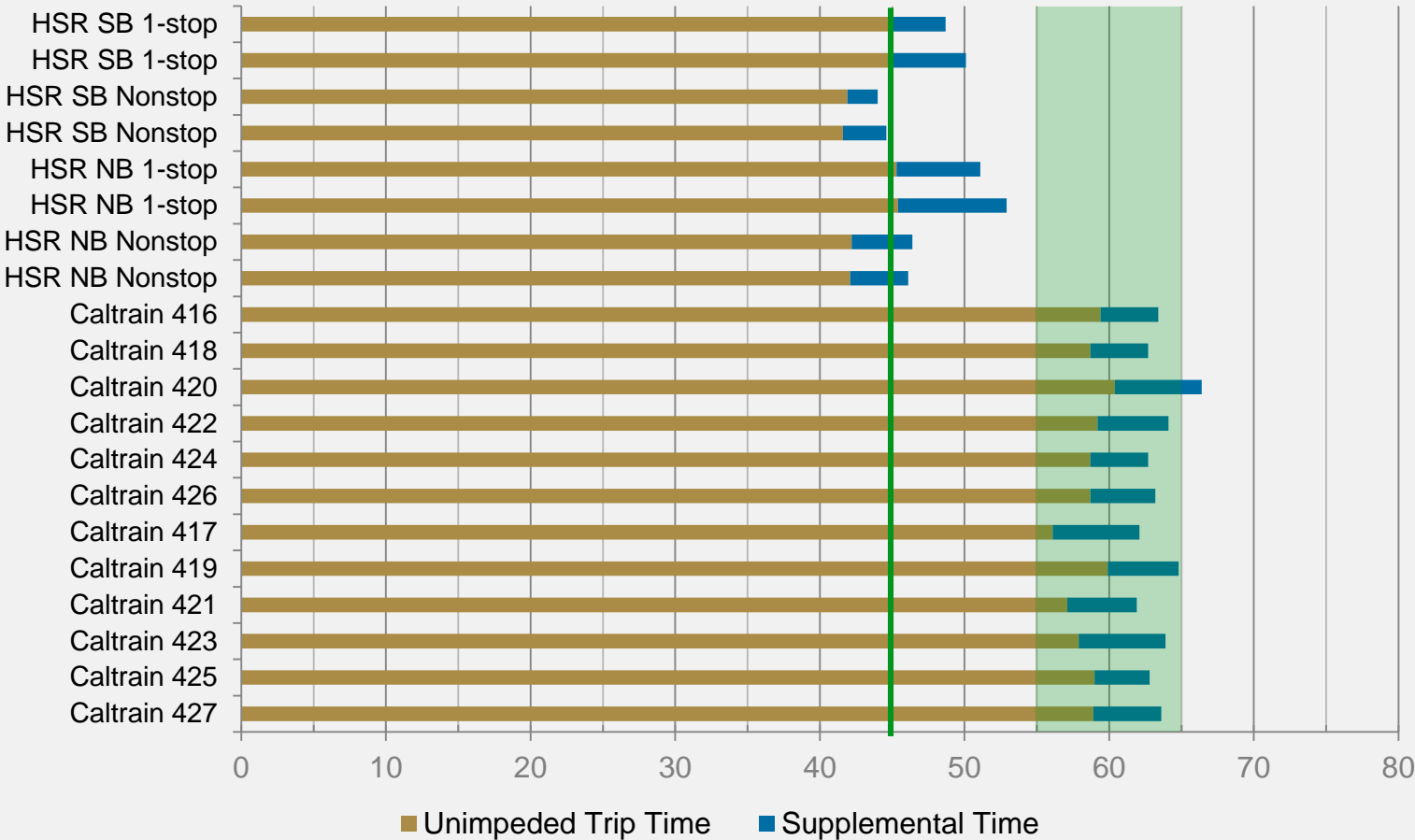
Northbound Service Interval at Palo Alto



AVERAGE TRIP TIME

Caltrain: 63.5 min
HSR Nonstop: 45.3 min

TRIP TIME (min)



*All Caltrain trip times are San Jose – 4th&King/Townsend. Additional ~4.2' to TTC



Appendix 4

Consideration Table Results

Collaboration

Diversity

Excellence

Innovation

Safety

Sustainability



2029 Peninsula Corridor Service, Operations and Infrastructure Considerations Table

| CONSIDERATIONS CLASSIFICATION | | | | | | | | | | | | | | | | | | |
|--|--------------|---|---|--|--|---|---|--|---|--|---|--|---|--|--|--|--------------|----------------------------|
| | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | |
| Constraints: Stakeholder expectations that must be fulfilled by the project. | | | | | | | | | | | | | | | | | | |
| Needs: Stakeholder expectations that must be fulfilled by the project, if the project constraints are not violated or other stakeholder needs are not in conflict. | | | | | | | | | | | | | | | | | | |
| Wants: Stakeholder expectations that will be considered if they are feasible. | | | | | | | | | | | | | | | | | | |
| # | Stakeholder | Consideration | CBOSS Analysis (1.37 brake rate) | | | | | | | | | | | | | | | |
| | | | Concept 1.1 | | Concept 2.0 | | Concept 2.0C | | Concept 2.22C | | Concept 2.22 | | Concept 2.32 | | Concept 2.42 | | Concept 2.5B | |
| | | | Approach G 110mph, Short-Middle 4 Track Overtake 6 CT/4 HSR 4/5-min Headways | Approach G 79 mph, no additional passing tracks 6 CT/0 HSR 2/3-min Headways | Approach G 79 mph, no additional passing tracks 6 CT/0 HSR CBOSS derived headways | Approach G 110 mph, no additional passing tracks 6 CT/4 HSR, HSR Interval CBOSS derived headways | Approach G 110 mph, no additional passing tracks 6 CT/4 HSR, HSR Interval 2/3-min Headways | Approach G 110mph, Short-Middle 4 Track Overtake 6 CT/4 HSR, HSR Interval 2/3-min Headways | Approach G 110mph, Short-Middle 4 Track Overtake 6 CT/4 HSR, HSR Interval 2/3-min Headways | Approach G 110mph, Long-Middle 3 Track Overtake - Bidirectional 6 CT/4 HSR, HSR Interval 2/3-min Headways | | | | | | | | |
| 01-C | HSR | 4 TPH between San Jose and San Francisco | ✓ | ✗ | ✗ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | |
| 02-C | HSR | stop 2 TPH at Millbrae | ✓ | ✗ | ✗ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | |
| 03-C | Caltrain | run 6 TPH between San Jose and San Francisco | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | |
| 04-C | Caltrain | serve all stations, including weekend stations, during the peak | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | |
| 05-C | Caltrain | ensure that signal system delivers the performance required to operate Caltrain and HSR service/schedule developed as part of this project | 5 min Headway/Separation | 5 min Headway/Separation | 5 min Headway/Separation | ? | 3 min corridor/2 min junction Headway/Separation | ? | 3 min corridor/2 min junction Headway/Separation | ? | 3 min corridor/2 min junction Headway/Separation | ? | 3 min corridor/2 min junction Headway/Separation | ? | 3 min corridor/2 min junction Headway/Separation | ? | | |
| | | | TTC: 31' 31' 37' 37' | | ✗ | No HSR | ✗ | No HSR | ✓ | TTC: 30' 30' 30' 29' | ✓ | TTC: 30' 30' 30' 30' | ✓ | TTC: 28' 28' 30' 30' | ✓ | TTC: 27' 28' 30' 30' | ✓ | TTC: 29' 29' 36' 36' |
| 07-C | HSR | have at least 20 minutes to turn a train at a terminal station | ✓ | ✗ | ✗ | ✓ | ✓ | 2 minute HSR dwell time at Millbrae assumed | ✓ | 2 minute HSR dwell time at Millbrae assumed | ✓ | 2 minute HSR dwell time at Millbrae assumed | ✓ | 2 minute HSR dwell time at Millbrae assumed | ✓ | 2 minute HSR dwell time at Millbrae assumed | | |
| 08-C | HSR | dwell at least for 2 minutes at intermediate station stops (such as Millbrae, San Jose) | ✓ | ✗ | ✗ | ✓ | ✓ | 2 minute HSR dwell time at Millbrae assumed | ✓ | 2 minute HSR dwell time at Millbrae assumed | ✓ | 2 minute HSR dwell time at Millbrae assumed | ✓ | 2 minute HSR dwell time at Millbrae assumed | ✓ | 2 minute HSR dwell time at Millbrae assumed | | |
| 09-C | HSR | use 400m "AGV" trains with the performance characteristics as provided by PB | ✓ | ✗ | ✗ | ✓ | ✓ | EMU, PB_AGV | ✓ | EMU, PB_AGV | ✓ | EMU, PB_AGV | ✓ | EMU, PB_AGV | ✓ | EMU, PB_AGV | | |
| 10-C | Caltrain | have at least 20 minutes to turn a train at a terminal station | ✓ | ✓ | ✓ | ✓ | ✓ | TTC: 22' 22' 4th and King: 24' 25' 48' 49' S.J: 22' 22' 22' 22' 28' 28' | ✓ | TTC: 31' 30' 4th and King: 34' 38' 42' 43' S.J: 23' 23' 23' 25' 25' 25' | ✓ | TTC: 38' 38' 4th and King: 39' 39' 42' 43' S.J: 24' 25' 25' 27' 27' 27' | ✓ | TTC: 38' 38' 4th and King: 27' 28' 46' 46' S.J: 25' 28' 29' 29' 32' 32' | ✓ | TTC: 43' 44' 4th and King: 28' 29' 31' 32' S.J: 28' 29' 30' 30' 34' 34' | | |
| 11-C | Caltrain | Dwell at least at each station for the times specified in the JPB/CAHSR Blended Operations Studies | ✓ | ✓ | ✓ | ✓ | ✓ | Caltrain dwell times based on Caltrain LTK Blended Operations Analysis | ✓ | Caltrain dwell times based on Caltrain LTK Blended Operations Analysis | ✓ | Caltrain dwell times based on Caltrain LTK Blended Operations Analysis | ✓ | Caltrain dwell times based on Caltrain LTK Blended Operations Analysis | ✓ | Caltrain dwell times based on Caltrain LTK Blended Operations Analysis | | |
| 12-C | Caltrain | use 8-car EMU's for all their 2029 service between San Francisco and San Jose with the performance defined in Caltrain's EMU RFP | ✓ | ✓ | ✓ | ✓ | ✓ | EMU, 8-Car Consist, Adapted to EMU RFP train performance and 8 coach train length | ✓ | EMU, 8-Car Consist, Adapted to EMU RFP train performance and 8 coach train length | ✓ | EMU, 8-Car Consist, Adapted to EMU RFP train performance and 8 coach train length | ✓ | EMU, 8-Car Consist, Adapted to EMU RFP train performance and 8 coach train length | ✓ | EMU, 8-Car Consist, Adapted to EMU RFP train performance and 8 coach train length | | |
| 13-C | Caltrain/HSR | limit speed increase to 110-MPH speed profile provided by HSR | ✓ | ✗ | ✗ | ✓ | ✓ | 110 MPH speed profile used | ✓ | 110 MPH speed profile used | ✓ | 110 MPH speed profile used | ✓ | 110 MPH speed profile used | ✓ | 110 MPH speed profile used | | |
| 14-C | Caltrain/HSR | limit the analysis of new passing tracks to consider only a) JPB/CAHSR Blended Operations Studies short-middle 4-track section, b) Millbrae 4-track station | ✓ | ✓ | ✓ | ✓ | ✓ | Short-middle 4 Track Overtake not utilized Millbrae 4 Track utilized | ✓ | Short-middle 4 Track Overtake not utilized Millbrae 4 Track utilized | ✓ | Short-middle 4 Track Overtake not utilized Millbrae 4 Track utilized | ✓ | Short-middle 4 Track Overtake utilized Millbrae 4 Track utilized | ✗ | Long-middle 4 Track Overtake utilized Millbrae 4 Track utilized | | |
| 15-C | Caltrain/HSR | use existing track layout together with Caltrain identified capital program improvements as a baseline | ✓ | ✓ | ✓ | ✓ | ✓ | 2016 Caltrain Track Charts Capital Improvements incorporated (South Terminal Phase III, South SF Station, South Terminal Phase II) | ✓ | 2016 Caltrain Track Charts Capital Improvements incorporated (South Terminal Phase III, South SF Station, South Terminal Phase II) | ✓ | 2016 Caltrain Track Charts Capital Improvements incorporated (South Terminal Phase III, South SF Station, South Terminal Phase II) | ✓ | 2016 Caltrain Track Charts Capital Improvements incorporated (South Terminal Phase III, South SF Station, South Terminal Phase II) | ✓ | 2016 Caltrain Track Charts Capital Improvements incorporated (South Terminal Phase III, South SF Station, South Terminal Phase II) | | |
| 06-N | HSR | use dedicated platforms at all stations that are served by HSR trains | Assumed 4-track improvements at Millbrae | | No HSR | | No HSR | | Assumed 4-track improvements at Millbrae | | Assumed 4-track improvements at Millbrae | | Assumed 4-track improvements at Millbrae | | Assumed 4-track improvements at Millbrae | | | |
| 51-N | HSR | avoid adding supplemental dwell or run-time to HSR trip times | TOTAL SUPP TIME: 288.4' SB 1-stop: +34.4' SB Nonstop: +37.7' NB 1-stop: +34.5' NB Nonstop: +37.6' | | No HSR | | No HSR | | TOTAL SUPP TIME: 35.6' SB 1-stop: +5.2', +3.8' SB Nonstop: +3.0', +2.1' NB 1-stop: +5.8', +7.5' NB Nonstop: +4.0', +4.2' | | TOTAL SUPP TIME: 35.6' SB 1-stop: +5.2', +3.8' SB Nonstop: +3.0', +2.1' NB 1-stop: +5.8', +7.5' NB Nonstop: +4.0', +4.2' | | TOTAL SUPP TIME: 16.9' SB 1-stop: +3.6', +3.6' SB Nonstop: +0.0', +0.0' NB 1-stop: +4.2', +5.1' NB Nonstop: +0.0', +0.4' | | TOTAL SUPP TIME: 12.5' SB 1-stop: +2.2', +0.8' SB Nonstop: +0.0', +0.0' NB 1-stop: +4.1', +4.2' NB Nonstop: +1.0', +0.2 | | | |
| 53-N | HSR | achieve 45 min TTC - San Jose trip time | SB 1-stop: 78.7' SB Nonstop: 78.7' NB 1-stop: 78.7' NB Nonstop: 78.6' | | No HSR | | No HSR | | SB 1-stop: 50.1', 48.7' SB Nonstop: 44.6', 44.0' NB 1-stop: 52.9', 51.1' NB Nonstop: 46.1', 46.4' | | SB 1-stop: 49.5', 48.1' SB Nonstop: 44.0', 43.1' NB 1-stop: 50.0', 51.7' NB Nonstop: 45.2', 45.0' | | SB 1-stop: 47.9', 47.9' SB Nonstop: 41.0', 41.0' NB 1-stop: 48.4', 49.3' NB Nonstop: 41.0', 41.4' | | SB 1-stop: 46.5', 45.1' SB Nonstop: 41.0', 41.0' NB 1-stop: 48.3', 48.4' NB Nonstop: 42.0', 41.2' | | | |
| 16-N | Caltrain | Provide as many trains per hour to all stations during the peak as in the prototypical skip stop schedule from the JPB/CAHSR Blended Operations Studies | schedule based on prototype skip stop | | schedule based on prototype skip stop | | schedule based on prototype skip stop | | schedule based on prototype skip stop | | schedule based on prototype skip stop | | schedule based on prototype skip stop | | schedule based on prototype skip stop | | | |
| 17-N | Caltrain | operate a clock-face/regular interval service | 6 NB and 6 SB train types repeat hourly | | 6 NB and 6 SB train types repeat hourly | | 6 NB and 6 SB train types repeat hourly | | 6 NB and 6 SB train types repeat hourly | | 6 NB and 6 SB train types repeat hourly | | 6 NB and 6 SB train types repeat hourly | | 6 NB and 6 SB train types repeat hourly | | | |
| 20-N | Caltrain | avoid bunched train service | SB 22nd St: 6 - 12 - 12 - 6 - 12 - 12 SB Palo Alto: 6 - 12 - 12 - 6 - 12 - 12 SB San Jose: 6 - 12 - 12 - 6 - 12 - 12 NB Palo Alto: 12 - 13 - 5 - 12 - 13 - 5 | | SB 22nd St: 14 - 8 - 10 - 11 - 10 - 8 SB Palo Alto: 8 - 8 - 14 - 8 - 7 - 15 SB San Jose: 11 - 9 - 10 - 11 - 8 - 11 NB Palo Alto: 9 - 7 - 13 - 10 - 9 - 12 | | SB 22nd St: 8 - 14 - 7 - 10 - 11 - 10 SB Palo Alto: 15 - 7 - 8 - 15 - 8 - 7 SB San Jose: 11 - 11 - 9 - 10 - 11 - 8 NB Palo Alto: 12 - 9 - 8 - 13 - 9 - 9 | | SB 22nd St: 8 - 17 - 6 - 10 - 12 - 7 SB Palo Alto: 15 - 10 - 7 - 14 - 9 - 5 SB San Jose: 10 - 14 - 8 - 9 - 13 - 6 NB Palo Alto: 10 - 10 - 8 - 12 - 10 - 10 | | SB 22nd St: 8 - 17 - 5 - 11 - 12 - 7 SB Palo Alto: 15 - 10 - 7 - 14 - 9 - 5 SB San Jose: 10 - 14 - 8 - 9 - 13 - 6 NB Palo Alto: 10 - 9 - 11 - 10 - 10 - 10 | | SB 22nd St: 23 - 3 - 4 - 22 - 5 - 3 SB Palo Alto: 13 - 5 - 12 - 13 - 5 - 12 SB San Jose: 17 - 6 - 8 - 15 - 6 - 9 NB Palo Alto: 12 - 3 - 15 - 12 - 3 - 15 | | SB 22nd St: 14 - 3 - 15 - 10 - 7 - 11 SB Palo Alto: 11 - 6 - 13 - 11 - 5 - 14 SB San Jose: 15 - 7 - 8 - 15 - 6 - 9 NB Palo Alto: 15 - 10 - 4 - 17 - 9 - 5 | | | |
| 21-N | Caltrain | Uniform trip times for all services with end-to-end trip times of approximately 55-65 minutes between San Jose and 4th & King and up to 70 minute scheduled trip time for trains terminating at TTC | 4th&King/Townsend - SJ 417: 75.8' 419: 75.9' 421: 75.9' 423: 75.8' 425: 75.9' 427: 75.9' | | 4th&King/Townsend - SJ 417: 59.5' 419: 63.2' 421: 60.8' 423: 61.0' 425: 61.9' 427: 62.2' | | 4th&King/Townsend - SJ 417: 60.2' 419: 64.0' 421: 61.5' 423: 61.8' 425: 62.6' 427: 63.0' | | 4th&King/Townsend - SJ 417: 62.1' 419: 64.8' 421: 61.9' 423: 63.9' 425: 62.8' 427: 63.6' | | 4th&King/Townsend - SJ 417: 61.7' 419: 63.9' 421: 61.1' 423: 62.9' 425: 61.6' 427: 62.7' | | 4th&King/Townsend - SJ 417: 63.8' 419: 68.2' 421: 62.1' 423: 64.4' 425: 68.5' 427: 62.7' | | 4th&King/Townsend - SJ 417: 61.7' 419: 59.0' 421: 60.7' 423: 63.9' 425: 57.8' 427: 62.7' | | | |
| 22-N | Caltrain | avoid dwelling at any intermediate station for longer than the minium dwell | no additional dwell | | no additional dwell | | no additional dwell | | 426: +4.5 Bayshore | | 426: +4.5 Bayshore | | 417: +7.1' Hillsdale, +1.5' Millbrae 418: +4.0' Hillsdale 419: +4.3' Bayshore 426: +4.5' Bayshore | | 417: +6.5' Hillsdale 418: +3.4' Hillsdale 420: +3.6' Redwood City 421: +4.4' Redwood City 422: +1.0' Hillsdale 423: +7.0' Hillsdale 424: +3.3' Hillsdale 426: +5.1' Redwood City 427: +4.5' Redwood City | | | |
| 50-N | Caltrain | avoid adding supplemental run-time to Caltrain trip times | 417: +20.6' 419: +16.9' 421: +19.6' 423: +18.9' 425: +18.1' 427: +17.9' | | no supplemental run-time | | no supplemental run-time | | 417: +6.0' 419: +4.9' 421: +4.8' 423: +6.0' 425: +3.8' 427: +4.7' | | 417: +6.0' 419: +4.9' 421: +4.8' 423: +6.0' 425: +3.8' 427: +4.7' | | 417: +0.0' 419: +4.9' 421: +5.8' 423: +7.5' 425: +10.7' 427: +4.7' | | 417: +0.0' 419: +0.0' 421: +0.0' 423: +0.0' 425: +0.0' 427: +0.0' | | | |
| 52-N | Caltrain | avoid adding supplemental dwell or run-time to Caltrain trip times | TOTAL SUPP TIME: 218.4' 417: +20.6' 419: +16.9' 421: +19.6' 423: +18.9' 425: +18.1' 427: +17.9' | | no supplemental time | | no supplemental time | | TOTAL SUPP TIME: 57.6' 417: +6.0' 419: +4.9' 421: +4.8' 423: +6.0' 425: +3.8' 427: +4.7' | | TOTAL SUPP TIME: 57.6' 417: +6.0' 419: +4.9' 421: +4.8' 423: +6.0' 425: +3.8' 427: +4.7' | | TOTAL SUPP TIME: 88.1' 417: +8.6' 419: +6.5' 421: +9.2' 423: +7.5' 425: +10.7' 427: +4.7' | | TOTAL SUPP TIME: 38.8' 417: +6.5' 419: +0.0' 421: +0.0' 423: +7.0' 425: +0.0' 427: +4.5' | | | |
| 23-N | Caltrain/HSR | use TTC as HSR terminal station and TTC and 4th/King as Caltrain terminal station | 6 TPH TTC, 4 TPH 4th&King | | No HSR | | No HSR | | 6 TPH TTC, 4 TPH 4th&King | | 6 TPH TTC, 4 TPH 4th&King | | 6 TPH TTC, 4 TPH 4th&King | | 6 TPH TTC, 4 TPH 4th&King | | | |

| | | | | | CBOSS Analysis (1.37 brake rate) | | | | | | | | | | | |
|---|----------|--------------|----------|-------|--|--|--------------|-------|---|--|--------------|-------|---|---------|--------------|-------|
| Concept 1.1 | | | | | Concept 2.0 | | | | Concept 2.0C | | | | Concept 2.22C | | | |
| Approach G 110mph, Short-Middle 4 Track Overtake 6 CT/4 HSR 4/5-min Headways | | | | | Approach G 79 mph, no additional passing tracks 6 CT/0 HSR 2/3-min Headways** | | | | Approach G 79 mph, no additional passing tracks 6 CT/ 0 HSR CBOSS derived headways** | | | | Approach G 110 mph, no additional passing tracks 6 CT/4 HSR, HSR Interval CBOSS derived headways | | | |
| | | Supplemental | | | | | Supplemental | | | | Supplemental | | | | Supplemental | |
| Caltrain | Run-time | vs 2.0 | Run-time | Dwell | Run-time | | Run-time | Dwell | Run-time | | Run-time | Dwell | Run-time | vs 2.0C | Run-time | Dwell |
| Average | 75.9 | (12.9) | 18.2 | 0.0 | 62.2 | | 0.0 | 0.0 | 63.0 | | 0.0 | 0.0 | 63.5 | (0.5) | 4.4 | 0.4 |
| Total | | (155.1) | 218.4 | 0.0 | | | 0.0 | 0.0 | | | 0.0 | 0.0 | | (6.2) | 53.1 | 4.5 |
| CHSR | | | | | | | | | | | | | | | | |
| Average | 78.7 | | 36.1 | | n/a | | | | n/a | | | | 48.0 | | 4.5 | |
| Total | | | 288.4 | | | | | | | | | | | | 35.6 | |

| | | | | | | | | | | | | | | | | |
|-------|------|--------|------|-----|------|-----------------------------------|-----|-----|------|---------------------------------|-----|-----|------|-------|-----|-----|
| Train | | | | | | | | | | | | | | | | |
| 416 | 76.0 | (11.8) | 17.5 | 0.0 | 63.5 | | 0.0 | 0.0 | 64.2 | | 0.0 | 0.0 | 63.4 | 0.8 | 4.0 | 0.0 |
| 417 | 75.8 | (15.6) | 20.6 | 0.0 | 59.5 | | 0.0 | 0.0 | 60.2 | | 0.0 | 0.0 | 62.1 | (1.9) | 6.0 | 0.0 |
| 418 | 75.8 | (13.6) | 18.1 | 0.0 | 61.3 | | 0.0 | 0.0 | 62.2 | | 0.0 | 0.0 | 62.7 | (0.5) | 4.0 | 0.0 |
| 419 | 75.9 | (11.9) | 16.9 | 0.0 | 63.2 | | 0.0 | 0.0 | 64.0 | | 0.0 | 0.0 | 64.8 | (0.8) | 4.9 | 0.0 |
| 420 | 76.0 | (10.6) | 16.8 | 0.0 | 65.1 | | 0.0 | 0.0 | 65.4 | | 0.0 | 0.0 | 66.4 | (1.0) | 6.0 | 0.0 |
| 421 | 75.8 | (14.3) | 19.6 | 0.0 | 60.8 | 2/3-min assumed headway base case | 0.0 | 0.0 | 61.5 | CBOSS derived headway base case | 0.0 | 0.0 | 61.9 | (0.4) | 4.8 | 0.0 |
| 422 | 75.9 | (11.6) | 17.6 | 0.0 | 63.4 | | 0.0 | 0.0 | 64.3 | | 0.0 | 0.0 | 64.1 | 0.2 | 4.9 | 0.0 |
| 423 | 75.8 | (14.0) | 18.9 | 0.0 | 61.0 | | 0.0 | 0.0 | 61.8 | | 0.0 | 0.0 | 63.9 | (2.1) | 6.0 | 0.0 |
| 424 | 75.8 | (13.6) | 18.1 | 0.0 | 61.3 | | 0.0 | 0.0 | 62.2 | | 0.0 | 0.0 | 62.7 | (0.5) | 4.0 | 0.0 |
| 425 | 75.9 | (13.3) | 18.1 | 0.0 | 61.9 | | 0.0 | 0.0 | 62.6 | | 0.0 | 0.0 | 62.8 | (0.2) | 3.8 | 0.0 |
| 426 | 75.9 | (11.9) | 18.3 | 0.0 | 63.3 | | 0.0 | 0.0 | 64.0 | | 0.0 | 0.0 | 63.2 | 0.8 | 0.0 | 4.5 |
| 427 | 75.9 | (12.9) | 17.9 | 0.0 | 62.2 | | 0.0 | 0.0 | 63.0 | | 0.0 | 0.0 | 63.6 | (0.6) | 4.7 | 0.0 |
| SB1* | 78.7 | | 34.4 | | | | | | | | | | 50.1 | | 5.2 | |
| NB2* | 78.7 | | 37.7 | | | | | | | | | | 52.9 | | 5.8 | |
| SB3 | 78.7 | | 34.5 | | | | | | | | | | 44.6 | | 3.0 | |
| NB4 | 78.6 | | 37.6 | | | | | | | | | | 46.1 | | 4.0 | |
| SB5* | 78.7 | | 34.4 | | | | | | | | | | 48.7 | | 3.8 | |
| NB6* | 78.7 | | 37.7 | | | | | | | | | | 51.1 | | 7.5 | |
| SB7 | 78.7 | | 34.5 | | | | | | | | | | 44.0 | | 2.1 | |
| NB8 | 78.6 | | 37.6 | | | | | | | | | | 46.4 | | 4.2 | |

* stops at Millbrae

** the same results are achieved with any signalling configuration delivering 4/5-min headways or better

| | Concept 2.22 | | | | Concept 2.32 | | | | Concept 2.42 | | | | Concept 2.5B | | | |
|---------|---|--------|--------------|-------|---|--------|--------------|-------|--|--------|--------------|-------|--|--------|--------------|-------|
| | Approach G 110 mph, no additional passing tracks 6 CT/4 HSR, HSR Interval 2/3-min Headways | | | | Approach G 110mph, Short-Middle 4 Track Overtake 6 CT/4 HSR, HSR Interval 2/3-min Headways | | | | Approach G 110mph, Long-Middle 4 Track Overtake 6 CT/4 HSR, HSR Interval 2/3-min Headways | | | | Approach G 110mph, Long-Middle 3 Track Overtake - Bidirectional 6 CT/4 HSR, HSR Interval 2/3-min Headways | | | |
| | | | Supplemental | | | | Supplemental | | | | Supplemental | | | | Supplemental | |
| | Run-time | vs 2.0 | Run-time | Dwell | Run-time | vs 2.0 | Run-time | Dwell | Run-time | vs 2.0 | Run-time | Dwell | Run-time | vs 2.0 | Run-time | Dwell |
| Average | 62.5 | (0.3) | 4.4 | 0.4 | 65.0 | (2.8) | 5.6 | 1.8 | 60.9 | 1.3 | 0.0 | 3.3 | 58.6 | 3.7 | 0.0 | 0.9 |
| Total | | (3.3) | 53.1 | 4.5 | | (33.6) | 66.9 | 21.4 | | 15.5 | 0.0 | 39.0 | | 43.8 | 0.0 | 10.5 |
| CHSR | | | | | | | | | | | | | | | | |
| Average | 47.1 | | 4.5 | | 44.7 | | 2.1 | | 44.2 | | 1.6 | | 42.7 | | 0.0 | |
| Total | | | 35.6 | | | | 16.9 | | | | 12.5 | | | | 0.2 | |

| | | | | | | | | | | | | | | | | |
|-------|------|-------|-----|-----|------|-------|------|-----|------|-------|-----|-----|------|-----|-----|-----|
| Train | | | | | | | | | | | | | | | | |
| 416 | 62.5 | 1.0 | 4.0 | 0.0 | 62.5 | 1.0 | 0.0 | 4.0 | 58.5 | 5.0 | 0.0 | 0.0 | 58.5 | 5.0 | 0.0 | 0.0 |
| 417 | 61.2 | (1.7) | 6.0 | 0.0 | 63.8 | (4.3) | 0.0 | 8.6 | 61.7 | (2.2) | 0.0 | 6.5 | 57.6 | 1.9 | 0.0 | 2.4 |
| 418 | 61.7 | (0.4) | 4.0 | 0.0 | 62.7 | (1.4) | 5.0 | 0.0 | 61.1 | 0.2 | 0.0 | 3.4 | 58.9 | 2.4 | 0.0 | 1.2 |
| 419 | 63.9 | (0.7) | 4.9 | 0.0 | 68.2 | (5.0) | 4.9 | 4.3 | 59.0 | 4.2 | 0.0 | 0.0 | 59.0 | 4.2 | 0.0 | 0.0 |
| 420 | 65.2 | (0.1) | 6.0 | 0.0 | 69.8 | (4.7) | 10.6 | 0.0 | 62.8 | 2.3 | 0.0 | 3.6 | 59.6 | 5.5 | 0.0 | 0.4 |
| 421 | 61.1 | (0.3) | 4.8 | 0.0 | 62.1 | (1.3) | 5.8 | 0.0 | 60.7 | 0.1 | 0.0 | 4.4 | 57.2 | 3.6 | 0.0 | 0.9 |
| 422 | 63.2 | 0.2 | 4.9 | 0.0 | 64.2 | (0.8) | 5.9 | 0.0 | 59.3 | 4.1 | 0.0 | 1.0 | 58.3 | 5.1 | 0.0 | 0.0 |
| 423 | 62.9 | (1.9) | 6.0 | 0.0 | 64.4 | (3.4) | 7.5 | 0.0 | 63.9 | (2.9) | 0.0 | 7.0 | 59.2 | 1.8 | 0.0 | 2.3 |
| 424 | 61.7 | (0.4) | 4.0 | 0.0 | 62.7 | (1.4) | 5.0 | 0.0 | 61.0 | 0.3 | 0.0 | 3.3 | 58.9 | 2.4 | 0.0 | 1.2 |
| 425 | 61.6 | 0.3 | 3.8 | 0.0 | 68.5 | (6.6) | 10.7 | 0.0 | 57.8 | 4.1 | 0.0 | 0.0 | 57.8 | 4.1 | 0.0 | 0.0 |
| 426 | 62.1 | 1.2 | 0.0 | 4.5 | 68.7 | (5.4) | 6.8 | 4.5 | 62.7 | 0.6 | 0.0 | 5.1 | 59.7 | 3.6 | 0.0 | 2.1 |
| 427 | 62.7 | (0.5) | 4.7 | 0.0 | 62.5 | (0.3) | 4.7 | 0.0 | 62.5 | (0.3) | 0.0 | 4.7 | 58.0 | 4.2 | 0.0 | 0.0 |
| SB1* | 49.5 | | 5.2 | | 47.9 | | 3.6 | | 46.5 | | 2.2 | | 44.3 | | 0.0 | |
| NB2* | 50.0 | | 5.8 | | 48.4 | | 4.2 | | 48.3 | | 4.1 | | 44.2 | | 0.0 | |
| SB3 | 44.0 | | 3.0 | | 41.0 | | 0.0 | | 41.0 | | 0.0 | | 41.0 | | 0.0 | |
| NB4 | 45.2 | | 4.0 | | 41.0 | | 0.0 | | 42.0 | | 1.0 | | 41.0 | | 0.0 | |
| SB5* | 48.1 | | 3.8 | | 47.9 | | 3.6 | | 45.1 | | 0.8 | | 44.3 | | 0.0 | |
| NB6* | 51.7 | | 7.5 | | 49.3 | | 5.1 | | 48.4 | | 4.2 | | 44.2 | | 0.0 | |
| SB7 | 43.1 | | 2.1 | | 41.0 | | 0.0 | | 41.0 | | 0.0 | | 41.0 | | 0.0 | |
| NB8 | 45.0 | | 4.2 | | 41.4 | | 0.4 | | 41.2 | | 0.2 | | 41.2 | | 0.2 | |

* stops at Millbrae